

A GROSZEK - LAVER PAIR OF UNDISTINGUISHABLE E_0 CLASSES

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ABSTRACT. A generic extension $\mathbf{L}[x, y]$ of \mathbf{L} by reals x, y is defined, in which the union of E_0 -classes of x and y is a Π_2^1 set, but neither of these two E_0 -classes is separately ordinal-definable.

1. INTRODUCTION

Let a *Groszek - Laver pair* be any unordered OD (ordinal-definable) pair $\{X, Y\}$ of sets $X, Y \subseteq \omega^\omega$ such that neither of X, Y is separately OD. As demonstrated in [3], if $\langle x, y \rangle$ is a Sacks \times Sacks generic pair of reals over \mathbf{L} , the constructible universe, then their degrees of constructibility $X = [x]_{\mathbf{L}} \cap \omega^\omega$ and $Y = [y]_{\mathbf{L}} \cap \omega^\omega$ form such a pair in $\mathbf{L}[x, y]$; the set $\{X, Y\}$ is definable as the set of all \mathbf{L} -degrees of reals, \mathbf{L} -minimal over \mathbf{L} .

As the sets X, Y in this example are obviously uncountable, one may ask whether there can consistently exist a Groszek – Laver pair of *countable* sets. The next theorem answers this question in the positive in a rather strong way: both sets are E_0 -classes in the example! (Recall that the equivalence relation E_0 is defined on 2^ω as follows: $x E_0 y$ iff $x(n) = y(n)$ for all but finite n .)

Theorem 1.1. *It is true in a suitable generic extension $\mathbf{L}[x, y]$ of \mathbf{L} , by a pair of reals $x, y \in 2^\omega$ that the union of E_0 -equivalence classes $[x]_{E_0} \cup [y]_{E_0}$ is Π_2^1 , but neither of the sets $[x]_{E_0}, [y]_{E_0}$ is separately OD.*

The forcing we employ is a conditional product $\mathbb{P} \times_{E_0} \mathbb{P}$ of an “ E_0 -large tree”¹ version \mathbb{P} of a forcing notion, introduced in [12] to define a model with a Π_2^1 E_0 -class containing no OD elements. The forcing in [12] was a clone of Jensen’s minimal Π_2^1 real singleton forcing [7] (see also Section 28A of [6]), but defined on the base of the Silver forcing instead of the Sacks forcing. The crucial advantage of Silver’s forcing here is that it leads to a

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¹ An E_0 -large tree is a perfect tree $T \subseteq 2^{<\omega}$ such that $E_0 \upharpoonright [T]$ is not smooth, see [9, 10.9].

Jensen-type forcing naturally closed under the 0-1 flip at any digit, so that the corresponding extension contains a Π_2^1 \mathbf{E}_0 -class of generic reals instead of a Π_2^1 generic singleton as in [7].

In another relevant note [11] it is demonstrated that a countable OD set of reals (not an \mathbf{E}_0 -class), containing no OD elements, exists in a generic extension of \mathbf{L} via the countable finite-support product of Jensen's [7] forcing itself. The existence of such a set was discussed as an open question at the *Mathoverflow* website² and at FOM³, and the result in [11] was conjectured by Enayat (Footnote 3) on the base of his study of finite-support products of Jensen's forcing in [2].

The remainder of the paper is organized as follows.

We introduce \mathbf{E}_0 -large perfect trees in $2^{<\omega}$ in Section 2, study their splitting properties in Section 3, and consider \mathbf{E}_0 -large-tree forcing notions in Section 4, *i.e.*, collections of \mathbf{E}_0 -large trees closed under both restriction and action of a group of transformations naturally associated with \mathbf{E}_0 .

If \mathbb{P} is an \mathbf{E}_0 -large-tree forcing notion then the *conditional product forcing* $\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ is a part of the full forcing product $\mathbb{P} \times \mathbb{P}$ which contains all conditions $\langle T, T' \rangle$ of trees $T, T' \in \mathbb{P}$, \mathbf{E}_0 -connected in some way. This key notion, defined in Section 5, goes back to early research on the Gandy – Harrington forcing [5, 4].

The basic \mathbf{E}_0 -large-tree forcing \mathbb{P} employed in the proof of Theorem 1.1 is defined, in \mathbf{L} , in the form $\mathbb{P} = \bigcup_{\xi < \omega_1} \mathbb{U}_\xi$ in Section 10. The model $\mathbf{L}[x, y]$ which proves the theorem is then a $(\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P})$ -generic extension of \mathbf{L} ; it is studied in Section 11. The elements \mathbb{U}_ξ of this inductive construction are countable \mathbf{E}_0 -large-tree forcing notions in \mathbf{L} .

The key issue is, given a subsequence $\{\mathbb{U}_\eta\}_{\eta < \xi}$ and accordingly the union $\mathbb{P}_{<\xi} = \bigcup_{\eta < \xi} \mathbb{U}_\eta$, to define the next level \mathbb{U}_ξ . We maintain this task in Section 7 with the help of a well-known splitting/fusion construction, modified so that it yields \mathbf{E}_0 -large perfect trees. Generic aspects of this construction lead to the CCC property of \mathbb{P} and $\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ and very simple reading of real names, but most of all to the crucial property that if $\langle x, y \rangle$ is a pair of reals $(\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P})$ -generic over \mathbf{L} then any real $z \in \mathbf{L}[x, y]$ \mathbb{P} -generic over \mathbf{L} belongs to $[x]_{\mathbf{E}_0} \cup [y]_{\mathbf{E}_0}$. This is Lemma 11.4 proved, on the base of preliminary results in Section 9.

The final Section 12 briefly discusses some related topics.

2. \mathbf{E}_0 -LARGE TREES

Let $2^{<\omega}$ be the set of all strings (finite sequences) of numbers 0, 1, including the empty string Λ . If $t \in 2^{<\omega}$ and $i = 0, 1$ then $t \hat{\ } i$ is the extension of t by i as the rightmost term. If $s, t \in 2^{<\omega}$ then $s \subseteq t$ means that t extends

² A question about ordinal definable real numbers. *Mathoverflow*, March 09, 2010. <http://mathoverflow.net/questions/17608>.

³ Ali Enayat. Ordinal definable numbers. FOM Jul 23, 2010. <http://cs.nyu.edu/pipermail/fom/2010-July/014944.html>

$s, s \subset t$ means proper extension, and $s \frown t$ is the concatenation. If $s \in 2^{<\omega}$ then $\text{lh}(s)$ is the length of s , and we let $2^n = \{s \in 2^{<\omega} : \text{lh}(s) = n\}$ (strings of length n).

Let any $s \in 2^{<\omega}$ **act** on 2^ω so that $(s \cdot x)(k) = x(k) + s(k) \pmod{2}$ whenever $k < \text{lh}(s)$ and simply $(s \cdot x)(k) = x(k)$ otherwise. If $X \subseteq 2^\omega$ and $s \in 2^{<\omega}$ then, as usual, let $s \cdot X = \{s \cdot x : x \in X\}$.

Similarly if $s, t \in 2^{<\omega}$ and $\text{lh}(s) = m \leq n = \text{lh}(t)$, then define $s \cdot t \in 2^n$ so that $(s \cdot t)(k) = t(k) + s(k) \pmod{2}$ whenever $k < m$ and $(s \cdot t)(k) = t(k)$ whenever $m \leq k < n$. If $m > n$ then let simply $s \cdot t = (s \upharpoonright n) \cdot t$. Note that $\text{lh}(s \cdot t) = \text{lh}(t)$ in both cases. Let $s \cdot T = \{s \cdot t : t \in T\}$ for $T \subseteq 2^{<\omega}$.

If $T \subseteq 2^{<\omega}$ is a tree and $s \in T$ then put $T \upharpoonright_s = \{t \in T : s \subseteq t \vee t \subseteq s\}$.

Let **PT** be the set of all *perfect trees* $\emptyset \neq T \subseteq 2^{<\omega}$ (those with no endpoints and no isolated branches). If $T \in \mathbf{PT}$ then there is a largest string $s \in T$ such that $T = T \upharpoonright_s$; it is denoted by $s = \mathbf{stem}(T)$ (the *stem* of T); we have $s \frown 1 \in T$ and $s \frown 0 \in T$ in this case. If $T \in \mathbf{PT}$ then

$$[T] = \{a \in 2^\omega : \forall n (a \upharpoonright n \in T)\} \subseteq 2^\omega$$

is the perfect set of all *paths through* T ; clearly $[S] \subseteq [T]$ iff $S \subseteq T$.

Let **LT** (large trees) be the set of all *special E_0 -large trees*: those $T \in \mathbf{PT}$ such that there is a double sequence of non-empty strings $q_n^i = q_n^i(T) \in 2^{<\omega}$, $n < \omega$ and $i = 0, 1$, such that

- $\text{lh}(q_n^0) = \text{lh}(q_n^1) \geq 1$ and $q_n^i(0) = i$ for all n ;
- T consists of all substrings of strings of the form $r \frown q_0^{i(0)} \frown q_1^{i(1)} \frown \dots \frown q_n^{i(n)}$ in $2^{<\omega}$, where $r = \mathbf{stem}(T)$, $n < \omega$, and $i(0), i(1), \dots, i(n) \in \{0, 1\}$.

We let $\mathbf{spl}_0(T) = \text{lh}(r)$ and then by induction $\mathbf{spl}_{n+1}(T) = \mathbf{spl}_n(T) + \text{lh}(q_n^i)$, so that $\mathbf{spl}(T) = \{\mathbf{spl}_n(T) : n < \omega\} \subseteq \omega$ is the set of *splitting levels* of T . Then

$$[T] = \{a \in 2^\omega : a \upharpoonright \text{lh}(r) = r \wedge \forall n (a \upharpoonright [\mathbf{spl}_n(T), \mathbf{spl}_{n+1}(T)) = q_n^0 \text{ or } q_n^1)\}.$$

Lemma 2.1. *Assume that $T \in \mathbf{LT}$ and $h \in \mathbf{spl}(T)$. Then*

- (i) *if $u, v \in 2^h \cap T$ then $T \upharpoonright_v = (u \cdot v) \cdot T \upharpoonright_u$ and $(u \cdot v) \cdot T = T$;*
- (ii) *if $\sigma \in 2^{<\omega}$ then $T = \sigma \cdot T$ or $T \cap (\sigma \cdot T)$ is finite.*

Proof. (ii) Suppose that $T \cap (\sigma \cdot T)$ is infinite. Then there is an infinite branch $x \in [T]$ such that $y = \sigma \cdot x \in [T]$, too. We can assume that $\text{lh}(\sigma)$ is equal to some $h = \mathbf{spl}_n(T)$. (If $\mathbf{spl}_{n-1}(T) < h < \mathbf{spl}_n(T)$ then extend σ by $\mathbf{spl}_n(T) - h$ zeros.) Then $\sigma = (x \upharpoonright h) \cdot (y \upharpoonright h)$. It remains to apply (i). \square

Example 2.2. If $s \in 2^{<\omega}$ then $T[s] = \{t \in 2^{<\omega} : s \subseteq t \vee t \subset s\}$ is a tree in **LT**, $\mathbf{stem}(T[s]) = s$, and $q_n^i(T[s]) = \langle i \rangle$ for all n, i . Note that $T[\Lambda] = 2^{<\omega}$ (the full binary tree), and $T[\Lambda] \upharpoonright_s = (2^{<\omega}) \upharpoonright_s = T[s]$ for all $s \in 2^{<\omega}$. \square

3. SPLITTING OF LARGE TREES

The *simple splitting* of a tree $T \in \mathbf{LT}$ consists of smaller trees

$$T(\rightarrow 0) = T \upharpoonright_{\text{stem}(T) \frown 0} \quad \text{and} \quad T(\rightarrow 1) = T \upharpoonright_{\text{stem}(T) \frown 1},$$

so that $[T(\rightarrow i)] = \{x \in [T] : x(h) = i\}$, where $h = \mathbf{spl}_0(T) = \mathbf{lh}(\text{stem}(T))$. Clearly $T(\rightarrow i) \in \mathbf{LT}$ and $\mathbf{spl}(T(\rightarrow i)) = \mathbf{spl}(T) \setminus \{\mathbf{spl}_0(T)\}$.

Lemma 3.1. *If $R, S, T \in \mathbf{LT}$, $S \subseteq R(\rightarrow 0)$, $T \subseteq R(\rightarrow 1)$, $\sigma \in 2^{<\omega}$, $T = \sigma \cdot S$, and $\mathbf{lh}(\sigma) \leq \mathbf{lh}(\text{stem}(S)) = \mathbf{lh}(\text{stem}(T))$ then $U = S \cup T \in \mathbf{LT}$, $\text{stem}(U) = \text{stem}(R)$, and $S = U(\rightarrow 0)$, $T = U(\rightarrow 1)$. \square*

The splitting can be iterated, so that if $s \in 2^n$ then we define

$$T(\rightarrow s) = T(\rightarrow s(0))(\rightarrow s(1))(\rightarrow s(2)) \dots (\rightarrow s(n-1)).$$

We separately define $T(\rightarrow \Lambda) = T$, where Λ is the empty string as usual.

Lemma 3.2. *In terms of Example 2.2, $T[s] = (2^{<\omega})(\rightarrow s) = (2^{<\omega}) \upharpoonright_s$, $\forall s$. Generally if $T \in \mathbf{LT}$ and $2^n \subseteq T$ then $T(\rightarrow s) = T \upharpoonright_s$ for all $s \in 2^n$. \square*

If $T, S \in \mathbf{LT}$ and $n \in \omega$ then let $S \subseteq_n T$ (S n -refines T) mean that $S \subseteq T$ and $\mathbf{spl}_k(T) = \mathbf{spl}_k(S)$ for all $k < n$. In particular, $S \subseteq_0 T$ iff simply $S \subseteq T$. By definition if $S \subseteq_{n+1} T$ then $S \subseteq_n T$ (and $S \subseteq T$), too.

Lemma 3.3. *Suppose that $T \in \mathbf{LT}$, $n < \omega$, and $h = \mathbf{spl}_n(T)$. Then*

- (i) $T = \bigcup_{s \in 2^n} T(\rightarrow s)$ and $[T(\rightarrow s)] \cap [T(\rightarrow t)] = \emptyset$ for all $s \neq t$ in 2^n ;
- (ii) if $S \in \mathbf{LT}$ then $S \subseteq_n T$ **iff** $S(\rightarrow s) \subseteq T(\rightarrow s)$ for all strings $s \in 2^{\leq n}$ **iff** $S \subseteq T$ and $S \cap 2^h = T \cap 2^h$;
- (iii) if $s \in 2^n$ then $\mathbf{lh}(\text{stem}(T(\rightarrow s))) = h$ and there is a string $u[s] \in 2^h \cap T$ such that $T(\rightarrow s) = T \upharpoonright_{u[s]}$;
- (iv) if $u \in 2^h \cap T$ then there is a string $s[u] \in 2^n$ s.t. $T \upharpoonright_u = T(\rightarrow s[u])$;
- (v) if $s_0 \in 2^n$ and $S \in \mathbf{LT}$, $S \subseteq T(\rightarrow s_0)$, then there is a unique tree $T' \in \mathbf{LT}$ such that $T' \subseteq_n T$ and $T'(\rightarrow s_0) = S$.

Proof. (iii) Define $u[s] = \text{stem}(T) \frown q_0^{s(0)}(T) \frown q_1^{s(1)}(T) \frown \dots \frown q_{n-1}^{s(n-1)}(T)$.

(iv) Define $s = s[u] \in 2^n$ by $s(k) = u(\mathbf{spl}_k(T))$ for all $k < n$.

(v) Let $u_0 = u[s_0] \in 2^h$. Following Lemma 2.1, define T' so that $T' \cap 2^h = T \cap 2^h$, and if $u \in T \cap 2^h$ then $T' \upharpoonright_u = (u \cdot u_0) \cdot S$; in particular $T' \upharpoonright_{u_0} = S$. \square

Lemma 3.4 (fusion). *Suppose that $\dots \subseteq_5 T_4 \subseteq_4 T_3 \subseteq_3 T_2 \subseteq_2 T_1 \subseteq_1 T_0$ is an infinite decreasing sequence of trees in \mathbf{LT} . Then*

- (i) $T = \bigcap_n T_n \in \mathbf{LT}$;
- (ii) if $n < \omega$ and $s \in 2^{n+1}$ then $T(\rightarrow s) = T \cap T_n(\rightarrow s) = \bigcap_{m \geq n} T_m(\rightarrow s)$.

Proof. Both parts are clear, just note that $\mathbf{spl}(T) = \{\mathbf{spl}_n(T_n) : n < \omega\}$. \square

4. LARGE-TREE FORCING NOTIONS

Let a *large-tree forcing notion* (**LTF**) be any set $\mathbb{P} \subseteq \mathbf{LT}$ such that

(4.1) if $u \in T \in \mathbb{P}$ then $T \upharpoonright_u \in \mathbb{P}$;

(4.2) if $T \in \mathbb{P}$ and $s \in 2^{<\omega}$ then $s \cdot T \in \mathbb{P}$.

We'll typically consider **LTFs** \mathbb{P} containing the full tree $2^{<\omega}$. In this case, \mathbb{P} contains all trees $T[s]$ of Example 2.2 by Lemma 3.2.

Any **LTF** \mathbb{P} can be viewed as a forcing notion (if $T \subseteq T'$ then T is a stronger condition), and then it adds a real in 2^ω .

If $\mathbb{P} \subseteq \mathbf{LT}$, $T \in \mathbf{LT}$, $n < \omega$, and all split trees $T(\rightarrow s)$, $s \in 2^n$, belong to \mathbb{P} , then we say that T is an *n-collage over* \mathbb{P} . Let $\mathbf{LC}_n(\mathbb{P})$ be the set of all trees $T \in \mathbf{LT}$ which are *n-collages over* \mathbb{P} , and $\mathbf{LC}(\mathbb{P}) = \bigcup_n \mathbf{LC}_n(\mathbb{P})$. Note that $\mathbf{LC}_n(\mathbb{P}) \subseteq \mathbf{LC}_{n+1}(\mathbb{P})$ by (4.1).

Lemma 4.1. *Assume that $\mathbb{P} \subseteq \mathbf{LT}$ is a **LTF** and $n < \omega$. Then*

- (i) if $T \in \mathbf{LT}$ and $s_0 \in 2^n$ then $T(\rightarrow s_0) \in \mathbb{P}$ iff $T \in \mathbf{LC}_n(\mathbb{P})$;
- (ii) if $P \in \mathbf{LC}_n(\mathbb{P})$, $s_0 \in 2^n$, $S \in \mathbb{P}$, and $S \subseteq P(\rightarrow s_0)$, then there is a tree $Q \in \mathbf{LC}_n(\mathbb{P})$ such that $Q \subseteq_n P$ and $Q(\rightarrow s_0) = S$;
- (iii) if $P \in \mathbf{LC}_n(\mathbb{P})$ and a set $D \subseteq \mathbb{P}$ is open dense in \mathbb{P} , then there is a tree $Q \in \mathbf{LC}_n(\mathbb{P})$ such that $Q \subseteq_n P$ and $Q(\rightarrow s) \in D$ for all $s \in 2^n$;
- (iv) if $P \in \mathbf{LC}_n(\mathbb{P})$, $S, T \in \mathbb{P}$, $s, t \in 2^n$, $S \subseteq P(\rightarrow s \frown 0)$, $T \subseteq P(\rightarrow t \frown 1)$, $\sigma \in 2^{<\omega}$, and $T = \sigma \cdot S$, then there is a tree $Q \in \mathbf{LC}_{n+1}(\mathbb{P})$, $Q \subseteq_{n+1} P$, such that $Q(\rightarrow s \frown 0) \subseteq S$ and $Q(\rightarrow t \frown 1) \subseteq T$.

Recall that a set $D \subseteq \mathbb{P}$ is *open dense* in \mathbb{P} iff, 1st, if $S \in \mathbb{P}$ then there is a tree $T \in D$, $T \subseteq S$, and 2nd, if $S \in \mathbb{P}$, $T \in D$, and $S \subseteq T$, then $S \in D$, too.

Proof. (i) If $T \in \mathbf{LC}_n(\mathbb{P})$ then by definition $T(\rightarrow s_0) \in \mathbb{P}$. To prove the converse, let $h = \mathbf{spl}_n(T)$, and let $h[s] \in 2^h \cap T$ satisfy $T(\rightarrow s) = T \upharpoonright_{u[s]}$ for all $s \in 2^n$ by Lemma 3.3(iii). If $T(\rightarrow s_0) \in \mathbb{P}$ then $T(\rightarrow s) = T \upharpoonright_{u[s]} = (u[s] \cdot u[s_0]) \cdot T \upharpoonright_{u[s]}$ by Lemma 2.1, so $T(\rightarrow s) \in \mathbb{P}$ by (4.2). Thus $T \in \mathbf{LC}_n(\mathbb{P})$.

(ii) By Lemma 3.3(v) there is a tree $Q \in \mathbf{LT}$ such that $Q \subseteq_n P$ and $Q(\rightarrow s_0) = S$. We observe that Q belongs to $\mathbf{LC}_n(\mathbb{P})$ by (i).

(iii) Apply (ii) consecutively 2^n times (all $s \in 2^n$).

(iv) We first consider the case when $t = s$. If $\mathbf{lh}(\sigma) \leq L = \mathbf{lh}(\mathbf{stem}(S)) = \mathbf{lh}(\mathbf{stem}(T))$ then by Lemma 3.1 $U = S \cup T \in \mathbf{LT}$, $\mathbf{stem}(U) = \mathbf{stem}(P(\rightarrow s))$, and $U(\rightarrow 0) = S$, $U(\rightarrow 1) = T$. Lemma 3.3(v) yields a tree $Q \in \mathbf{LT}$ such that $Q \subseteq_n P$ and $Q(\rightarrow s) = U$, hence $\mathbf{stem}(Q(\rightarrow s)) = \mathbf{stem}(P(\rightarrow s))$ by the above. This implies $\mathbf{spl}_n(Q) = \mathbf{spl}_n(P)$ by Lemma 3.3(iii), and hence $Q \subseteq_{n+1} P$. And finally $Q \in \mathbf{LC}_{n+1}(\mathbb{P})$ by (i) since $Q(\rightarrow s \frown 0) = S \in \mathbb{P}$.

Now suppose that $\text{lh}(\sigma) > L$. Take any string $u \in S$ with $\text{lh}(u) \geq \text{lh}(s)$. The set $S' = S \upharpoonright_u \subseteq S$ belongs to \mathbb{P} and obviously $\text{lh}(\text{stem}(S')) \geq \text{lh}(\sigma)$. It remains to follow the case already considered for the trees S' and $T' = \sigma \cdot S'$.

Finally consider the general case $s \neq t$. Let $h = \mathbf{spl}_n(P)$, $H = \mathbf{spl}_{n+1}(P)$. Let $u = u[s]$ and $v = u[t]$ be the strings in $P \cap 2^h$ defined by Lemma 3.3(iii) for P , so that $P \upharpoonright_u = P(\rightarrow s)$ and $P \upharpoonright_v = P(\rightarrow t)$, and let $U, V \in 2^H \cap P$ be defined accordingly so that $P \upharpoonright_U = P(\rightarrow s \hat{\ } 1)$ and $P \upharpoonright_V = P(\rightarrow t \hat{\ } 1)$. Let $\rho = u \cdot v$. Then $P(\rightarrow s) = \rho \cdot P(\rightarrow t)$ by Lemma 2.1. However we have $U = u \hat{\ } \tau$ and $V = v \hat{\ } \tau$ for one and the same string τ , see the proof of Lemma 3.3(iii). Therefore $U \cdot V = u \cdot v = \rho$ and $P(\rightarrow s \hat{\ } 1) = \rho \cdot P(\rightarrow t \hat{\ } 1)$ still by Lemma 2.1.

It follows that the tree $T_1 = \rho \cdot T$ satisfies $T_1 \subseteq P(\rightarrow s \hat{\ } 1)$. Applying the result for $s = t$, we get a tree $Q \in \mathbf{LC}_{n+1}(\mathbb{P})$, $Q \subseteq_{n+1} P$, such that $Q(\rightarrow s \hat{\ } 0) \subseteq S$ and $Q(\rightarrow s \hat{\ } 1) \subseteq T_1$. Then by definition $\mathbf{spl}_k(P) = \mathbf{spl}_k(Q)$ for all $k \leq n$, and $Q(\rightarrow s) \subseteq P(\rightarrow s)$ for all $s \in 2^{n+1}$ by Lemma 3.3(ii). Therefore the same strings u, v satisfy $Q \upharpoonright_u = Q(\rightarrow s)$ and $Q \upharpoonright_v = Q(\rightarrow t)$. The same argument as above implies $Q(\rightarrow t \hat{\ } 1) = \rho \cdot Q(\rightarrow s \hat{\ } 1)$. We conclude that $Q(\rightarrow t \hat{\ } 1) \subseteq \rho \cdot T_1 = T$, as required. \square

5. CONDITIONAL PRODUCT FORCING

Along with any **LTF** \mathbb{P} , we'll consider the **conditional product** $\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$, which by definition consists of all pairs $\langle T, T' \rangle$ of trees $T, T' \in \mathbb{P}$ such that there is a string $s \in 2^{<\omega}$ satisfying $s \cdot T = T'$. We order $\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ componentwise so that $\langle S, S' \rangle \leq \langle T, T' \rangle$ ($\langle S, S' \rangle$ is stronger) iff $S \subseteq T$ and $S' \subseteq T'$.⁴

Remark 5.1. $\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ forces a pair of \mathbb{P} -generic reals. Indeed if $\langle T, T' \rangle \in \mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ with $s \cdot T = T'$ and $S \in \mathbb{P}$, $S \subseteq T$, then there is a tree $S' = s \cdot S \in \mathbb{P}$ (we make use of (4.2)) such that $\langle S, S' \rangle \in \mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ and $\langle S, S' \rangle \leq \langle T, T' \rangle$. \square

But $(\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P})$ -generic pairs are not necessarily generic in the sense of the true forcing product $\mathbb{P} \times \mathbb{P}$. Indeed, if say $\mathbb{P} = \text{Sacks}$ (all perfect trees) then any $\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ -generic pair $\langle x, y \rangle$ has the property that x, y belong to same \mathbf{E}_0 -invariant Borel sets coded in the ground universe, while for any uncountable and co-uncountable Borel set U coded in the ground universe there is a $\mathbb{P} \times \mathbb{P}$ -generic pair $\langle x, y \rangle$ with $x \in U$ and $y \notin U$.

Lemma 5.2. *Assume that \mathbb{P} is a **LTF**, $n \geq 1$, $P \in \mathbf{LC}_n(\mathbb{P})$, and a set $D \subseteq \mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$ is open dense in $\mathbb{P} \times_{\mathbf{E}_0} \mathbb{P}$. Then there is a tree $Q \in \mathbf{LC}_n(\mathbb{P})$ such that $Q \subseteq_n P$ and $\langle Q(\rightarrow s), Q(\rightarrow t) \rangle \in D$ whenever $s, t \in 2^n$ and $s(n-1) \neq t(n-1)$.*

⁴ Conditional product forcing notions of this kind were considered in [5, 4, 8] and some other papers with respect to the Gandy – Harrington and similar forcings, and recently in [13] with respect to many forcing notions.

Proof (compare to Lemma 4.1(iii)). Let $s, t \in 2^n$ be any pair with $s(n-1) \neq t(n-1)$. By the density there is a condition $\langle S, T \rangle \in D$ such that $S \subseteq P(\rightarrow s)$ and $T \subseteq P(\rightarrow t)$. Note that $T = \sigma \cdot S$ for some $s \in 2^{<\omega}$ since $\langle S, T \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$. Applying Lemma 4.1(iv) ($n+1$ there corresponds to n here) we obtain a tree $P' \in \mathbf{LC}_n(\mathbb{P})$ such that $P' \subseteq_n P$ and $P'(\rightarrow s) \subseteq S$, $P'(\rightarrow t) \subseteq T$. Then $\langle P'(\rightarrow s), P'(\rightarrow t) \rangle \in D$, as D is open. Consider all pairs $s, t \in 2^n$ with $s(n-1) \neq t(n-1)$ one by one. \square

Lemma 5.3. *Assume that \mathbb{P} is a **LTF**, $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, $n < \omega$, $s, t \in 2^n$. Then $\langle T(\rightarrow s), T'(\rightarrow t) \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$.*

Proof. Let $\sigma \in 2^{<\omega}$ satisfy $\sigma \cdot T = T'$. Note that $\mathbf{spl}(T) = \mathbf{spl}(T')$, hence we define $h = \mathbf{spl}_n(T) = \mathbf{spl}_n(T')$. By Lemma 3.3(iii), there are strings $u \in 2^h \cap T$ and $v \in 2^h \cap T'$ such that $T(\rightarrow s) = T \upharpoonright_u$ and $T'(\rightarrow t) = T' \upharpoonright_v$. Then obviously $\sigma \cdot T \upharpoonright_u = T' \upharpoonright_{v'}$, where $v' = \sigma \cdot u$. On the other hand $T' \upharpoonright_v = (v \cdot v') \cdot T' \upharpoonright_{v'}$ by Lemma 2.1. It follows that $T' \upharpoonright_v = (v \cdot v' \cdot \sigma) \cdot T \upharpoonright_u$, as required. \square

Corollary 5.4. *Assume that \mathbb{P} is a **LTF**. Then $\mathbb{P} \times_{E_0} \mathbb{P}$ forces $\dot{\mathbf{x}}_{\text{left}} \dot{E}_0 \dot{\mathbf{x}}_{\text{right}}$, where $\langle \dot{\mathbf{x}}_{\text{left}}, \dot{\mathbf{x}}_{\text{right}} \rangle$ is a name of the $(\mathbb{P} \times_{E_0} \mathbb{P})$ -generic pair.*

Proof. Otherwise a condition $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$ forces $\dot{\mathbf{x}}_{\text{right}} = \sigma \cdot \dot{\mathbf{x}}_{\text{left}}$, where $\sigma \in 2^{<\omega}$. Find n and $s, t \in 2^n$ such that $T'(\rightarrow t) \cap (\sigma \cdot T(\rightarrow s)) = \emptyset$ and apply the lemma. \square

6. MULTITREES

Let a *multitree* be any sequence $\varphi = \{\langle \tau_k^\varphi, h_k^\varphi \rangle\}_{k < \omega}$ such that

- (6.1) if $k < \omega$ then $h_k^\varphi \in \omega \cup \{-1\}$, and the set $|\varphi| = \{k : h_k^\varphi \neq -1\}$ (the *support* of φ) is finite;
- (6.2) if $k \in |\varphi|$ then $\tau_k^\varphi = \langle T_k^\varphi(0), T_k^\varphi(1), \dots, T_k^\varphi(h_k^\varphi) \rangle$, where each $T_k^\varphi(n)$ is a tree in **LT** and $T_k^\varphi(n) \subseteq_n T_k^\varphi(n-1)$ whenever $1 \leq n \leq h_k^\varphi$, while if $k \notin |\varphi|$ then simply $\tau_k^\varphi = \Lambda$ (the empty sequence).

In this context, if $n \leq h_k^\varphi$ and $s \in 2^n$ then let $T_k^\varphi(s) = T_k^\varphi(n)(\rightarrow s)$.

Let φ, ψ be multitrees. Say that φ *extends* ψ , symbolically $\psi \preceq \varphi$, if $|\psi| \subseteq |\varphi|$, and, for every $k \in |\psi|$, we have $h_k^\varphi \geq h_k^\psi$ and τ_k^φ extends τ_k^ψ , so that $T_k^\varphi(n) = T_k^\psi(n)$ for all $n \leq h_k^\psi$;

If \mathbb{P} is a **LTF** then let **MT**(\mathbb{P}) (*multitrees over* \mathbb{P}) be the set of all multitrees φ such that $T_k^\varphi(n) \in \mathbf{LC}_n(\mathbb{P})$ whenever $k \in |\varphi|$ and $n \leq h_k^\varphi$.

7. JENSEN'S EXTENSION OF A LARGE-TREE FORCING NOTION

Let **ZFC'** be the subtheory of **ZFC** including all axioms except for the power set axiom, plus the axiom saying that $\mathcal{P}(\omega)$ exists. (Then ω_1 , 2^ω , and sets like **PT** exist as well.)

Definition 7.1. Let \mathfrak{M} be a countable transitive model of \mathbf{ZFC}' . Suppose that $\mathbb{P} \in \mathfrak{M}$, $\mathbb{P} \subseteq \mathbf{LT}$ is a **LTF**. Then $\mathbf{MT}(\mathbb{P}) \in \mathfrak{M}$. A set $D \subseteq \mathbf{MT}(\mathbb{P})$ is *dense in $\mathbf{MT}(\mathbb{P})$* iff for any $\psi \in \mathbf{MT}(\mathbb{P})$ there is a multitree $\varphi \in D$ such that $\psi \preceq \varphi$.

Consider any \preceq -increasing sequence $\Phi = \{\varphi(j)\}_{j < \omega}$ of multitrees

$$\varphi(j) = \{\langle \tau_k^{\varphi(j)}, h_k^{\varphi(j)} \rangle\}_{k < \omega} \in \mathbf{MT}(\mathbb{P}),$$

generic over \mathfrak{M} in the sense that it intersects every set D , $D \subseteq \mathbf{MT}(\mathbb{P})$, dense in $\mathbf{MT}(\mathbb{P})$, which belongs to \mathfrak{M} . Then in particular Φ intersects every set

$$D_{kp} = \{\varphi \in \mathbf{MT}(\mathbb{P}) : k \in |\varphi| \wedge h_k^\varphi \geq p\}, \quad k, p < \omega.$$

Therefore if $k < \omega$ then by definition there is an infinite sequence

$$\dots \subseteq_5 \mathbf{T}_k^\Phi(4) \subseteq_4 \mathbf{T}_k^\Phi(3) \subseteq_3 \mathbf{T}_k^\Phi(2) \subseteq_2 \mathbf{T}_k^\Phi(1) \subseteq_1 \mathbf{T}_k^\Phi(0)$$

of trees $\mathbf{T}_k^\Phi(n) \in \mathbf{LC}_n(\mathbb{P})$, such that, for any j , if $k \in |\varphi(j)|$ and $n \leq h_k^{\varphi(j)}$ then $T_k^{\varphi(j)}(n) = \mathbf{T}_k^\Phi(n)$. If $n < \omega$ and $s \in 2^n$ then we let $\mathbf{T}_k^\Phi(s) = \mathbf{T}_k^\Phi(n)(\rightarrow s)$; then $\mathbf{T}_k^\Phi(s) \in \mathbb{P}$ since $\mathbf{T}_k^\Phi(n) \in \mathbf{LC}_n(\mathbb{P})$. Then it follows from Lemma 3.4 that

$$\mathbf{U}_k^\Phi = \bigcap_n \mathbf{T}_k^\Phi(n) = \bigcap_n \bigcup_{s \in 2^n} \mathbf{T}_k^\Phi(s) \quad (1)$$

is a tree in \mathbf{LT} (not necessarily in \mathbb{P}), as well as the trees $\mathbf{U}_k^\Phi(\rightarrow s)$, and still by Lemma 3.4,

$$\mathbf{U}_k^\Phi(\rightarrow s) = \mathbf{U}_k^\Phi \cap \mathbf{T}_k^\Phi(s) = \bigcap_{n \geq \text{lh}(s)} \mathbf{T}_k^\Phi(n)(\rightarrow s) = \bigcap_{n \geq \text{lh}(s)} \bigcup_{t \in 2^n, s \subseteq t} \mathbf{T}_k^\Phi(t), \quad (2)$$

and obviously $\mathbf{U}_k^\Phi = \mathbf{U}_k^\Phi(\rightarrow \Lambda)$.

Define a set of trees $\mathbb{U} = \{\sigma \cdot \mathbf{U}_k^\Phi(\rightarrow s) : k < \omega \wedge s \in 2^{<\omega} \wedge \sigma \in 2^{<\omega}\} \subseteq \mathbf{LT}$. \square

The next few simple lemmas show useful effects of the genericity of Φ ; their common motto is that the extension from \mathbb{P} to $\mathbb{P} \cup \mathbb{U}$ is rather innocuous.

Lemma 7.2. *Both \mathbb{U} and the union $\mathbb{P} \cup \mathbb{U}$ are **LTF**s; $\mathbb{P} \cap \mathbb{U} = \emptyset$.*

Proof. To prove the last claim, let $T \in \mathbb{P}$ and $U = \mathbf{U}_k^\Phi(\rightarrow s) \in \mathbb{U}$. (If $U = \sigma \cdot \mathbf{U}_k^\Phi(\rightarrow s)$, $\sigma \in 2^{<\omega}$, then replace T by $\sigma \cdot T$.) The set $D(T, k)$ of all multitrees $\varphi \in \mathbf{MT}(\mathbb{P})$, such that $k \in |\varphi|$ and $T \setminus T_k^\varphi(n)(\rightarrow s) \neq \emptyset$, where $n = h_k^\varphi$, belongs to \mathfrak{M} and obviously is dense in $\mathbf{MT}(\mathbb{P})$. Now any multitree $\varphi(j) \in D(T, k)$ witnesses that $T \setminus \mathbf{U}_k^\Phi(\rightarrow s) \neq \emptyset$. \square

Lemma 7.3. *The set \mathbb{U} is dense in $\mathbb{U} \cup \mathbb{P}$. The set $\mathbb{U} \times_{E_0} \mathbb{U}$ is dense in $(\mathbb{P} \cup \mathbb{U}) \times_{E_0} (\mathbb{P} \cup \mathbb{U})$.*

Proof. Suppose that $T \in \mathbb{P}$. The set $D(T)$ of all multitrees $\varphi \in \mathbf{MT}(\mathbb{P})$, such that $T_k^\varphi(0) = T$ for some k , belongs to \mathfrak{M} and obviously is dense in $\mathbf{MT}(\mathbb{P})$. It follows that $\varphi(j) \in D(T)$ for some j , by the choice of Φ . Then $\mathbf{T}_k^\Phi(\Lambda) = T$ for some k . However by construction $\mathbf{U}_k^\Phi(\rightarrow \Lambda) = \mathbf{U}_k^\Phi \subseteq \mathbf{T}_k^\Phi(\Lambda)$.

Now suppose that $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, so that $T' = \sigma \cdot T$, $\sigma \in 2^{<\omega}$. By Lemma 7.2 ($\mathbb{P} \cap \mathbb{U} = \emptyset$) it is impossible that one of the trees T, T' belongs to \mathbb{P} and the other one to \mathbb{U} . Therefore we can assume that $T, T' \in \mathbb{P}$. By the first claim of the lemma, there is a tree $U \in \mathbb{U}$, $U \subseteq T$. Then $U' = \sigma \cdot U \in \mathbb{U}$ and still $U' = \sigma \cdot U$, hence $\langle U, U' \rangle \in \mathbb{U} \times_{E_0} \mathbb{U}$, and it extends $\langle T, T' \rangle$. \square

Lemma 7.4. *If $k, l < \omega$, $k \neq l$, and $\sigma \in 2^{<\omega}$ then $\mathbf{U}_k^\Phi \cap (\sigma \cdot \mathbf{U}_l^\Phi) = \emptyset$.*

Proof. The set $D'(k, l)$ of all multitrees $\varphi \in \mathbf{MT}(\mathbb{P})$, such that $k, l \in |\varphi|$ and $T_k^\varphi(n) \cap (\sigma \cdot T_l^\varphi(m)) = \emptyset$ for some $n \leq h_k^\varphi$, $m \leq h_l^\varphi$, belongs to \mathfrak{M} and is dense in $\mathbf{MT}(\mathbb{P})$. So $\varphi(j) \in D'(k, l)$ for some $j < \omega$. But then for some n, m we have $\mathbf{U}_k^\Phi \cap (\sigma \cdot \mathbf{U}_l^\Phi) \subseteq T_k^{\varphi(j)}(n) \cap (\sigma \cdot T_l^{\varphi(j)}(m)) = \emptyset$. \square

Corollary 7.5. *If $\langle U, U' \rangle \in \mathbb{U} \times_{E_0} \mathbb{U}$ then there exist: $k < \omega$, strings $s, s' \in 2^{<\omega}$ with $\text{lh}(s) = \text{lh}(s')$, and strings $\sigma, \sigma' \in 2^{<\omega}$, such that $U = \sigma \cdot \mathbf{U}_k^\Phi(\rightarrow s)$ and $U' = \sigma' \cdot \mathbf{U}_k^\Phi(\rightarrow s')$.*

Proof. By definition, we have $U = \sigma \cdot \mathbf{U}_k^\Phi(\rightarrow s)$ and $U' = \sigma' \cdot \mathbf{U}_{k'}^\Phi(\rightarrow s')$, for suitable $k, k' < \omega$ and $s, s', \sigma, \sigma' \in 2^{<\omega}$. As $\langle U, U' \rangle \in \mathbb{U} \times_{E_0} \mathbb{U}$, it follows from Lemma 7.4 that $k' = k$, hence $U' = \sigma' \cdot \mathbf{U}_k^\Phi(\rightarrow s')$. Therefore $\sigma \cdot \mathbf{U}_k^\Phi(\rightarrow s) = \tau \cdot \sigma' \cdot \mathbf{U}_k^\Phi(\rightarrow s')$ for some $\tau \in 2^{<\omega}$. In other words, $\mathbf{U}_k^\Phi(\rightarrow s) = \tau' \cdot \mathbf{U}_k^\Phi(\rightarrow s')$, where $\tau' = \sigma \cdot \sigma' \cdot \tau \in 2^{<\omega}$. It easily follows that $\text{lh}(s) = \text{lh}(s')$. \square

The two following lemmas show that, due to the generic character of extension, those pre-dense sets which belong to \mathfrak{M} , remain pre-dense in the extended forcing.

Let $X \subseteq^{\text{fin}} \bigcup D$ mean that there is a finite set $D' \subseteq D$ with $X \subseteq \bigcup D'$.

Lemma 7.6. *If a set $D \in \mathfrak{M}$, $D \subseteq \mathbb{P}$ is pre-dense in \mathbb{P} , and $U \in \mathbb{U}$, then $U \subseteq^{\text{fin}} \bigcup D$. Moreover D is pre-dense in $\mathbb{U} \cup \mathbb{P}$.*

Proof. We can assume that D is in fact open dense in \mathbb{P} . (Otherwise replace it with the set $D' = \{T \in \mathbb{P} : \exists S \in D (T \subseteq S)\}$ which also belongs to \mathfrak{M} .)

We can also assume that $U = \mathbf{U}_k^\Phi(\rightarrow s) \in \mathbb{U}$, where $k < \omega$ and $s \in 2^{<\omega}$. (The general case, when $U = \sigma \cdot \mathbf{U}_k^\Phi(\rightarrow s)$ for some $\sigma \in 2^{<\omega}$, is reducible to the case $U = \mathbf{U}_k^\Phi(\rightarrow s)$ by substituting the set $\sigma \cdot D$ for D .)

The set $\Delta \in \mathfrak{M}$ of all multitrees $\varphi \in \mathbf{MT}(\mathbb{P})$ such that $k \in |\varphi|$, $\text{lh}(s) < h = h_k^\varphi$, and $T_k^\varphi(h)(\rightarrow t) \in D$ for all $t \in 2^h$, is dense in $\mathbf{MT}(\mathbb{P})$ by Lemma 4.1(iii) and the open density of D . Therefore there is an index j such that $\varphi(j) \in \Delta$. Let $h(j) = h_k^{\varphi(j)}$. Then the tree $S_t = T_k^{\varphi(j)}(h(j))(\rightarrow t) = \mathbf{T}_k^\Phi(h(j))(\rightarrow t) = \mathbf{T}_k^\Phi(t)$ belongs to D for all $t \in 2^{h(j)}$. We conclude that

$$U = \mathbf{U}_k^\Phi(\rightarrow s) \subseteq \mathbf{U}_k^\Phi \subseteq \bigcup_{t \in 2^{h(j)}} \mathbf{T}_k^\Phi(t) \subseteq \bigcup_{t \in 2^{h(j)}} S_t = \bigcup D',$$

where $D' = \{S_t : t \in 2^{h(j)}\} \subseteq D$ is finite.

To prove the pre-density claim, pick a string $t \in 2^{h(j)}$ with $s \subset t$. Then $V = \mathbf{U}_k^\Phi(\rightarrow t) \in \mathbb{U}$ and $V \subseteq U$. However $V \subseteq \mathbf{T}_k^\Phi(t) = S_t \in D$. Thus V witnesses that U is compatible with $S_t \in D$ in $\mathbb{U} \cup \mathbb{P}$, as required. \square

Lemma 7.7. *If a set $D \in \mathfrak{M}$, $D \subseteq \mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ is pre-dense in $\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ then D is pre-dense in $(\mathbb{P} \cup \mathbb{U}) \times_{\mathbb{E}_0} (\mathbb{P} \cup \mathbb{U})$.*

Proof. Let $\langle U, U' \rangle \in \mathbb{U} \times_{\mathbb{E}_0} \mathbb{U}$; the goal is to prove that $\langle U, U' \rangle$ is compatible in $(\mathbb{P} \cup \mathbb{U}) \times_{\mathbb{E}_0} (\mathbb{P} \cup \mathbb{U})$ with a condition $\langle T, T' \rangle \in D$. By Corollary 7.5, there exist: $k < \omega$ and strings $s, s', \sigma, \sigma' \in 2^{<\omega}$ such that $\text{lh}(s) = \text{lh}(s')$ and $U = \sigma \cdot \mathbf{U}_k^\Phi(\rightarrow s)$, $U' = \sigma' \cdot \mathbf{U}_k^\Phi(\rightarrow s')$. As in the proof of the previous lemma, we can assume that $\sigma = \sigma' = \Lambda$, so that $U = \mathbf{U}_k^\Phi(\rightarrow s)$, $U' = \mathbf{U}_k^\Phi(\rightarrow s')$. (The general case is reducible to this case by substituting the set $\{\langle \sigma \cdot T, \sigma' \cdot T' \rangle : \langle T, T' \rangle \in D\}$ for D .)

Assume that D is in fact open dense.

Consider the set $\Delta \in \mathfrak{M}$ of all multitrees $\varphi \in \mathbf{MT}(\mathbb{P})$ such that $k \in |\varphi|$, $\text{lh}(s) = \text{lh}(s') = n < h = h_k^\varphi$, and $\langle T_k^\varphi(h)(\rightarrow u), T_k^\varphi(h)(\rightarrow u') \rangle \in D$ whenever $u, u' \in 2^h$ and $u(h-1) \neq u'(h-1)$. The set Δ is dense in $\mathbf{MT}(\mathbb{P})$ by Lemma 5.2. Therefore $\varphi(j) \in \Delta$ for some j , so that if $u, u' \in 2^{h(j)}$, where $h(j) = h_k^{\varphi(j)} > n$, and $u(h(j)-1) \neq u'(h(j)-1)$, then

$$\langle T_k^{\varphi(j)}(h(j))(\rightarrow u), T_k^{\varphi(j)}(h(j))(\rightarrow u') \rangle = \langle \mathbf{T}_k^\Phi(u), \mathbf{T}_k^\Phi(u') \rangle \in D.$$

Now, as $h(j) > n$, let us pick $u, u' \in 2^{h(j)}$ such that $u(h(j)-1) \neq u'(h(j)-1)$ and $s \subset u$, $s' \subset u'$. Then $\langle \mathbf{T}_k^\Phi(u), \mathbf{T}_k^\Phi(u') \rangle \in D$. On the other hand, the pair $\langle \mathbf{U}_k^\Phi(\rightarrow u), \mathbf{U}_k^\Phi(\rightarrow u') \rangle$ belongs to $\mathbb{U} \times_{\mathbb{E}_0} \mathbb{U}$ by Lemma 5.3,

$$\langle \mathbf{U}_k^\Phi(\rightarrow u), \mathbf{U}_k^\Phi(\rightarrow u') \rangle \leq \langle \mathbf{U}_k^\Phi(\rightarrow s), \mathbf{U}_k^\Phi(\rightarrow s') \rangle,$$

and finally we have $\langle \mathbf{U}_k^\Phi(\rightarrow u), \mathbf{U}_k^\Phi(\rightarrow u') \rangle \leq \langle \mathbf{T}_k^\Phi(u), \mathbf{T}_k^\Phi(u') \rangle$. We conclude that the given condition $\langle \mathbf{U}_k^\Phi(\rightarrow s), \mathbf{U}_k^\Phi(\rightarrow s') \rangle$ is compatible with the condition $\langle \mathbf{T}_k^\Phi(u), \mathbf{T}_k^\Phi(u') \rangle \in D$, as required. \square

8. REAL NAMES

In this Section, we assume that \mathbb{P} is a **LTF** and $2^{<\omega} \in \mathbb{P}$. It follows by (4.1) that all trees $T[s] = (2^{<\omega})(\rightarrow s)$ (see Example 2.2) also belong to \mathbb{P} .

Recall that $\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ adds a pair of reals $\langle x_{\text{left}}, x_{\text{right}} \rangle \in 2^\omega \times 2^\omega$.

Arguing in the conditions of Definition 7.1, the goal of the following Theorem 9.3 will be to prove that, for any $(\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P})$ -name c of a real in 2^ω , it is forced by the extended forcing $(\mathbb{P} \cup \mathbb{U}) \times_{\mathbb{E}_0} (\mathbb{P} \cup \mathbb{U})$ that c does not belong to sets of the form $[U]$, where U is a tree in \mathbb{U} , **unless** c is a name of one of reals in the \mathbb{E}_0 -class of one of the generic reals $x_{\text{left}}, x_{\text{right}}$ themselves.

We begin with a suitable notation.

Definition 8.1. A $(\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P})$ -real name is a system $\mathbf{c} = \{C_n^i\}_{n < \omega, i < 2}$ of sets $C_n^i \subseteq \mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ such that each set $C_n = C_n^0 \cup C_n^1$ is pre-dense in $\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ and any conditions $\langle S, S' \rangle \in C_n^0$ and $\langle T, T' \rangle \in C_n^1$ are incompatible in $\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$.

If a set $G \subseteq \mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ is $(\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P})$ -generic at least over the collection of all sets C_n then we define $\mathbf{c}[G] \in 2^\omega$ so that $\mathbf{c}[G](n) = i$ iff $G \cap C_n^i \neq \emptyset$. \square

Any $(\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P})$ -real name $\mathbf{c} = \{C_n^i\}$ induces (can be understood as) a $(\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P})$ -name (in the ordinary forcing notation) for a real in 2^ω .

Definition 8.2 (actions). Strings in $2^{<\omega}$ can act on names $\mathbf{c} = \{C_n^i\}_{n<\omega, i<2}$ in two ways, related either to conditions or to the output.

If $\sigma, \sigma' \in 2^{<\omega}$ then define a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real name $\langle \sigma, \sigma' \rangle \circ \mathbf{c} = \{\langle \sigma, \sigma' \rangle \cdot C_n^i\}$, where $\langle \sigma, \sigma' \rangle \cdot C_n^i = \{\langle \sigma \cdot T, \sigma' \cdot T' \rangle : \langle T, T' \rangle \in C_n^i\}$ for all n, i .

If $\rho \in 2^{<\omega}$ then define a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real name $\rho \cdot \mathbf{c} = \{C\rho_n^i\}$, where $C\rho_n^i = C_n^{1-i}$ whenever $n < \text{lh}(\rho)$ and $\rho(n) = 1$, but $C\rho_n^i = C_n^i$ otherwise. \square

Both actions are idempotent. The difference between them is as follows. If $G \subseteq \mathbb{P} \times_{E_0} \mathbb{P}$ is a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -generic set then $(\langle \sigma, \sigma' \rangle \circ \mathbf{c})[G] = \mathbf{c}[\langle \sigma, \sigma' \rangle \circ G]$, where $\langle \sigma, \sigma' \rangle \circ G = \{\langle \sigma \cdot T, \sigma' \cdot T' \rangle : \langle T, T' \rangle \in G\}$, while $(\rho \cdot \mathbf{c})[G] = \rho \cdot (\mathbf{c}[G])$.

Example 8.3. Define a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real name $\dot{\mathbf{x}}_{\text{left}} = \{C_n^i\}_{n<\omega, i<2}$ such that each set $C_n^i \subseteq \mathbb{P} \times_{E_0} \mathbb{P}$ contains all pairs of the form $\langle T[s], T[t] \rangle$, where $s, t \in 2^{n+1}$ and $s(n) = i$, and a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real name $\dot{\mathbf{x}}_{\text{right}} = \{C_n^i\}_{n<\omega, i<2}$ such that accordingly each set $C_n^i \subseteq \mathbb{P} \times_{E_0} \mathbb{P}$ contains all pairs $\langle T[s], T[t] \rangle$, where $s, t \in 2^{n+1}$ and now $t(n) = i$. \square

Then $\dot{\mathbf{x}}_{\text{left}}, \dot{\mathbf{x}}_{\text{right}}$ are names of the \mathbb{P} -generic reals x_{left} , resp., x_{right} , and each name $\sigma \cdot \dot{\mathbf{x}}_{\text{left}}$ ($\sigma \in 2^{<\omega}$) induces a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -name of the real $\sigma \cdot (x_{\text{left}}[G])$; the same for right .

9. DIRECT FORCING A REAL TO AVOID A TREE

Let $\mathbf{c} = \{C_n^i\}$, $\mathbf{d} = \{D_n^i\}$ be $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real names. Say that a condition $\langle T, T' \rangle \in \mathbf{LT} \times_{E_0} \mathbf{LT}$:

- *directly forces* $\mathbf{c}(n) = i$, where $n < \omega$, $i = 0, 1$, if $\langle T, T' \rangle \leq \langle S, S' \rangle$ for some $\langle S, S' \rangle \in C_n^i$;
- *directly forces* $s \subset \mathbf{c}$, where $s \in 2^{<\omega}$, iff for all $n < \text{lh}(s)$, $\langle T, T' \rangle$ directly forces $\mathbf{c}(n) = i$, where $i = s(n)$;
- *directly forces* $\mathbf{d} \neq \mathbf{c}$, iff there are strings $s, t \in 2^{<\omega}$, incomparable in $2^{<\omega}$ and such that $\langle T, T' \rangle$ directly forces $s \subset \mathbf{c}$ and $t \subset \mathbf{d}$;
- *directly forces* $\mathbf{c} \notin [U]$, where $U \in \mathbf{PT}$, iff there is a string $s \in 2^{<\omega} \setminus U$ such that $\langle T, T' \rangle$ directly forces $s \subset \mathbf{c}$.

Lemma 9.1. *If $S \in \mathbb{P}$, $\langle R, R' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, and \mathbf{c} is a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real name, then there exists a tree $S' \in \mathbb{P}$ and a condition $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, $\langle T, T' \rangle \leq \langle R, R' \rangle$, such that $S' \subseteq S$ and $\langle T, T' \rangle$ directly forces $\mathbf{c} \notin [S']$.*

Proof. Clearly there is a condition $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, $\langle T, T' \rangle \leq \langle R, R' \rangle$, which directly forces $u \subset \mathbf{c}$ for some $u \in 2^{<\omega}$ satisfying $\text{lh}(u) > \text{lh}(\text{stem}(S))$. There is a string $v \in S$, $\text{lh}(v) = \text{lh}(u)$, incomparable with u . The tree $S' = S \upharpoonright_v$ belongs to \mathbb{P} , $S' \subseteq S$ by construction, and obviously $\langle T, T' \rangle$ directly forces $\mathbf{c} \notin [S']$. \square

Lemma 9.2. *If \mathbf{c} is a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real name, $\sigma \in 2^{<\omega}$, and a condition $\langle R, R' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$ directly forces $\sigma \cdot \mathbf{c} \neq \dot{\mathbf{x}}_{\text{left}}$, resp., $\sigma \cdot \mathbf{c} \neq \dot{\mathbf{x}}_{\text{right}}$, then there is a stronger condition $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, $\langle T, T' \rangle \leq \langle R, R' \rangle$, which directly forces resp. $\mathbf{c} \notin [\sigma \cdot T]$, $\mathbf{c} \notin [\sigma \cdot T']$.*

Proof. We just prove the “left” version, as the “right” version can be proved similarly. So let’s assume that $\langle R, R' \rangle$ directly forces $\mathbf{c} \neq \dot{\mathbf{x}}_{\text{left}}$. There are incomparable strings $u, v \in 2^{<\omega}$ such that $\langle R, R' \rangle$ directly forces $u \subset \sigma \cdot \mathbf{c}$, hence, $\sigma \cdot u \subset \mathbf{c}$ as well, and also directly forces $v \subset \dot{\mathbf{x}}_{\text{left}}$. Then by necessity $v \in R$, hence $T = R \upharpoonright_v \in \mathbb{P}$, but $u \notin T$. Let $T' = \rho \cdot T$, where $\rho \in 2^{<\omega}$ satisfies $R' = \rho \cdot R$. By definition, the condition $\langle T, T' \rangle \in \mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ directly forces $\mathbf{c} \notin [\sigma \cdot T]$ (witnessed by $s = \sigma \cdot u$), as required. \square

Theorem 9.3. *With the assumptions of Definition 7.1, suppose that $\mathbf{c} = \{C_m^i\}_{m < \omega, i < 2} \in \mathfrak{M}$ is a $(\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P})$ -real name, and for every $\sigma \in 2^{<\omega}$ the set $D_\sigma = \{\langle T, T' \rangle \in \mathbb{P} \times_{\mathbb{E}_0} \mathbb{P} : \langle T, T' \rangle \text{ directly forces } \mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{left}} \text{ and } \mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{right}}\}$ is dense in $\mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$. Let $\langle W, W' \rangle \in (\mathbb{P} \cup \mathbb{U}) \times_{\mathbb{E}_0} (\mathbb{P} \cup \mathbb{U})$ and $U \in \mathbb{U}$.*

Then there is a stronger condition $\langle V, V' \rangle \in \mathbb{U} \times_{\mathbb{E}_0} \mathbb{U}$, $\langle V, V' \rangle \leq \langle W, W' \rangle$, which directly forces $\mathbf{c} \notin [U]$.

Proof. By construction, $U = \rho \cdot \mathbf{U}_K^\Phi(\rightarrow s_0)$, where $K < \omega$ and $\rho, s_0 \in 2^{<\omega}$; we can assume that simply $s_0 = \Lambda$, so that $U = \rho \cdot \mathbf{U}_K^\Phi$. Moreover we can assume that $\rho = \Lambda$ as well, so that $U = \mathbf{U}_K^\Phi$ (for if not then replace \mathbf{c} with $\rho \cdot \mathbf{c}$).

Further, by Corollary 7.5, we can assume that $W = \sigma \cdot \mathbf{U}_L^\Phi(\rightarrow t_0) \in \mathbb{U}$ and $W' = \sigma' \cdot \mathbf{U}_L^\Phi(\rightarrow t'_0) \in \mathbb{U}$, where $L < \omega$, $t_0, t'_0 \in 2^{<\omega}$, $\text{lh}(t_0) = \text{lh}(t'_0)$, and $\sigma, \sigma' \in 2^{<\omega}$. And moreover we can assume that $\sigma = \sigma' = \Lambda$, so that $W = \mathbf{U}_L^\Phi(\rightarrow t_0)$ and $W' = \mathbf{U}_L^\Phi(\rightarrow t'_0)$ (for if not then replace \mathbf{c} with $\langle \sigma, \sigma' \rangle \circ \mathbf{c}$).

The indices K, L involved can be either equal or different.

There is an index J such that the multitree $\varphi(J)$ satisfies $K, L \in |\varphi(J)|$ and $h_L^{\varphi(J)} \geq h_0 = \text{lh}(t_0) = \text{lh}(t'_0)$, so that the trees $S_0 = T_K^{\varphi(J)}(0) = \mathbf{T}_K^\Phi(0)$,

$$T_0 = T_L^{\varphi(J)}(h_0)(\rightarrow t_0) = \mathbf{T}_L^\Phi(t_0), \quad T'_0 = T_L^{\varphi(J)}(h_0)(\rightarrow t'_0) = \mathbf{T}_L^\Phi(t'_0)$$

in \mathbb{P} are defined. Note that $U \subseteq S_0$ and $W \subseteq T_0$, $W' \subseteq T'_0$ under the above assumptions.

Let \mathcal{D} be the set of all multitrees $\varphi \in \mathbf{MT}(\mathbb{P})$ such that $\varphi(J) \preceq \varphi$ and for every pair $t, t' \in 2^n$, where $n = h_L^\varphi$, such that $t(n-1) \neq t'(n-1)$, the condition $\langle T_L^\varphi(t), T_L^\varphi(t') \rangle$ directly forces $\mathbf{c} \notin [T_K^\varphi(m)]$, where $m = h_K^\varphi$.

Claim 9.4. *\mathcal{D} is dense in $\mathbf{MT}(\mathbb{P})$ above $\varphi(J)$.*

Proof. Let a multitree $\psi \in \mathbf{MT}(\mathbb{P})$ satisfy $\varphi(J) \preceq \psi$; the goal is to define a multitree $\varphi \in \mathcal{D}$, $\psi \preceq \varphi$. Let $m = h_K^\psi$, $n = h_L^\psi$, $Q = T_K^\psi(m)$, $P = T_L^\psi(n)$.

Case 1: $K \neq L$. Consider any $s \in 2^{m+1}$ and $t, t' \in 2^{n+1}$ with $t(n) \neq t'(n)$. By Lemma 9.1, there is a tree $S \in \mathbb{P}$ and a condition $\langle R, R' \rangle \in \mathbb{P} \times_{\mathbb{E}_0} \mathbb{P}$ such that $S \subseteq Q(\rightarrow s)$, $\langle R, R' \rangle \leq \langle P(\rightarrow t), P(\rightarrow t') \rangle$, and $\langle R, R' \rangle$ directly forces $\mathbf{c} \notin [S]$. By Lemma 4.1(ii),(iv) there are trees $Q_1 \in \mathbf{LC}_{m+1}(\mathbb{P})$ and $P_1 \in \mathbf{LC}_{n+1}(\mathbb{P})$ such that $Q_1 \subseteq_{m+1} Q$, $P_1 \subseteq_{n+1} P$, $Q_1(\rightarrow s) = S$ and $\langle P_1(\rightarrow t), P_1(\rightarrow t') \rangle \leq \langle R, R' \rangle$.

Repeat this procedure so that all strings $s \in 2^{m+1}$ and all pairs of strings $t, t' \in 2^{n+1}$ with $t(n) \neq t'(n)$ are considered. We obtain trees $Q' \in \mathbf{LC}_{m+1}(\mathbb{P})$

and $P' \in \mathbf{LC}_{n+1}(\mathbb{P})$ such that $Q' \subseteq_{m+1} Q$, $P' \subseteq_{n+1} P$, and if $s \in 2^{m+1}$ and $t, t' \in 2^{n+1}$, $t(n) \neq t'(n)$, the condition $\langle P'(\rightarrow t), P'(\rightarrow t') \rangle$ directly forces $\mathbf{c} \notin [Q'(\rightarrow s)]$ — hence directly forces $\mathbf{c} \notin [Q']$.

Now define a multitree $\varphi \in \mathbf{MT}(\mathbb{P})$ so that $|\varphi| = |\psi|$, $h_k^\varphi = h_k^\psi$ and $\tau_k^\varphi = \tau_k^\psi$ for all $k \notin \{K, L\}$, $h_K^\varphi = m + 1$, $h_L^\varphi = n + 1$, and $T_K^\varphi(m + 1) = P'$, $T_L^\varphi(n + 1) = Q'$ as the new elements of the K th and L th components. We have $\varphi \in \mathcal{D}$ and $\psi \preceq \varphi$ by construction. (Use the fact that $P' \subseteq_{n+1} P$ and $Q' \subseteq_{m+1} Q$.)

Case 2: $L = K$, and hence $m = n$ and $P = Q$. Let $h = \mathbf{spl}_n(P)$. Consider any pair $t, t' \in 2^{n+1}$ with $t(n) \neq t'(n)$. In our assumptions there is a condition $\langle U, U' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, $\langle U, U' \rangle \leq \langle T(\rightarrow t), T(\rightarrow t') \rangle$, which directly forces both $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{left}}$ and $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{right}}$ for any $\sigma \in 2^h$. By Lemma 9.2, there is a stronger condition $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, $\langle T, T' \rangle \leq \langle U, U' \rangle$, which directly forces both $\mathbf{c} \notin [\sigma \cdot T]$ and $\mathbf{c} \notin [\sigma \cdot T']$ still for all $\sigma \in 2^h$. Then as in Case 1, there is a tree $P_1 \in \mathbf{LC}_{n+1}(\mathbb{P})$, $P_1 \subseteq_{n+1} P$, such that $P_1(\rightarrow t) \subseteq T$, $P_1(\rightarrow t') \subseteq T'$.

We claim that $\langle T, T' \rangle$ directly forces $\mathbf{c} \notin [P_1]$, or equivalently, directly forces $\mathbf{c} \notin [P_1(\rightarrow s \wedge i)]$ for any $s \wedge i \in 2^{n+1}$ (then $s \in 2^n$). Indeed if $s \wedge i \in 2^{n+1}$ then $P_1(\rightarrow s \wedge i) = \sigma \cdot P_1(\rightarrow t)$ or $= \sigma \cdot P_1(\rightarrow t')$ for some $\sigma \in 2^h$ by the choice of h . Therefore $P_1(\rightarrow s \wedge i)$ is a subtree of one of the two trees $\sigma \cdot T$ and $\sigma \cdot T'$. The claim now follows from the choice of $\langle T, T' \rangle$. We conclude that the stronger condition $\langle P_1(\rightarrow t), P_1(\rightarrow t') \rangle \leq \langle T, T' \rangle$ also directly forces $\mathbf{c} \notin [P_1]$.

Repeat this procedure so that all pairs of strings $t, t' \in 2^{n+1}$ with $t(n) \neq t'(n)$ are considered. We obtain a tree $P' \in \mathbf{LC}_{n+1}(\mathbb{P})$ such that $P' \subseteq_{n+1} P$, and if $t, t' \in 2^{n+1}$, $t(n) \neq t'(n)$, then $\langle P'(\rightarrow t), P'(\rightarrow t') \rangle$ directly forces $\mathbf{c} \notin [P']$.

Similar to Case 1, define a multitree $\varphi \in \mathbf{MT}(\mathbb{P})$ so that $|\varphi| = |\psi|$, $h_k^\varphi = h_k^\psi$ and $\tau_k^\varphi = \tau_k^\psi$ for all $k \neq K$, $h_K^\varphi = n + 1$, and $T_K^\varphi(n + 1) = P'$ as the new element of the $(K = L)$ th component. Then $\varphi \in \mathcal{D}$, $\psi \preceq \varphi$. \square (Claim)

We come back to the proof of Theorem 9.3. The lemma implies that there is an index $j \geq J$ such that the multitree $\varphi(j)$ belongs to \mathcal{D} . Let $n = h_L^{\varphi(j)}$, $m = h_K^{\varphi(j)}$. Pick strings $t, t' \in 2^n$ such that $t_0 \subset t$, $t'_0 \subset t'$, $t(n) \neq t'(n)$. Let

$$T = T_L^{\varphi(j)}(t) = \mathbf{T}_L^\Phi(t), \quad T' = T_L^{\varphi(j)}(t') = \mathbf{T}_L^\Phi(t'), \quad S = T_K^{\varphi(j)}(m) = \mathbf{T}_K^\Phi(m).$$

Then $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$, $\langle T, T' \rangle \leq \langle T_0, T'_0 \rangle$, and $\langle T, T' \rangle$ directly forces $\mathbf{c} \notin [S]$.

Consider the condition $\langle V, V' \rangle \in \mathbb{U} \times_{E_0} \mathbb{U}$, where $V = \mathbf{U}_L^\Phi(\rightarrow t)$ and $V' = \mathbf{U}_L^\Phi(\rightarrow t')$ belong to \mathbb{U} . (Recall that $V = \mathbf{U}_L^\Phi(\rightarrow t)$ and $V' = \mathbf{U}_L^\Phi(\rightarrow t')$, and hence $V' = \sigma \cdot V$ for a suitable $\sigma \in 2^{<\omega}$.) By construction we have both $\langle V, V' \rangle \leq \langle W, W' \rangle$ (as $t_0 \subseteq t, t'$) and $\langle V, V' \rangle \leq \langle T, T' \rangle \leq \langle T_0, T'_0 \rangle$. Therefore $\langle V, V' \rangle$ directly forces $\mathbf{c} \notin [S]$. And finally, we have $U \subseteq T_K^{\varphi(j)}(m) = S$, so that $\langle V, V' \rangle$ directly forces $\mathbf{c} \notin [U]$, as required. \square (Theorem 9.3)

10. JENSEN'S FORCING

In this section, **we argue in \mathbf{L} , the constructible universe**. Let $\leq_{\mathbf{L}}$ be the canonical wellordering of \mathbf{L} .

Definition 10.1 (in \mathbf{L}). Following the construction in [7, Section 3] *mutatis mutandis*, define, by induction on $\xi < \omega_1$, a countable **LTF** $\mathbb{U}_\xi \subseteq \mathbf{LT}$ as follows.

Let \mathbb{U}_0 consist of all trees of the form $T[s]$, see Example 2.2.

Suppose that $0 < \lambda < \omega_1$, and countable **LTFs** $\mathbb{U}_\xi \subseteq \mathbf{LT}$ are defined for $\xi < \lambda$. Let \mathfrak{M}_λ be the least model \mathfrak{M} of **ZFC'** of the form \mathbf{L}_κ , $\kappa < \omega_1$, containing $\{\mathbb{U}_\xi\}_{\xi < \lambda}$ and such that $\lambda < \omega_1^{\mathfrak{M}}$ and all sets \mathbb{U}_ξ , $\xi < \lambda$, are countable in \mathfrak{M} . Then $\mathbb{P}_\lambda = \bigcup_{\xi < \lambda} \mathbb{U}_\xi$ is countable in \mathfrak{M} , too. Let $\{\varphi(j)\}_{j < \omega}$ be the $\leq_{\mathbf{L}}$ -least sequence of multitrees $\varphi(j) \in \mathbf{MT}(\mathbb{P}_\lambda)$, \preceq -increasing and generic over \mathfrak{M}_λ . Define $\mathbb{U}_\lambda = \mathbb{U}$ as in Definition 7.1. This completes the inductive step.

Let $\mathbb{P} = \bigcup_{\xi < \omega_1} \mathbb{U}_\xi$. □

Proposition 10.2 (in \mathbf{L}). *The sequence $\{\mathbb{U}_\xi\}_{\xi < \omega_1}$ belongs to Δ_1^{HC} .* □

Lemma 10.3 (in \mathbf{L}). *If a set $D \in \mathfrak{M}_\xi$, $D \subseteq \mathbb{P}_\xi$ is pre-dense in \mathbb{P}_ξ then it remains pre-dense in \mathbb{P} . Therefore if $\xi < \omega_1$ then \mathbb{U}_ξ is pre-dense in \mathbb{P} .*

If a set $D \in \mathfrak{M}_\xi$, $D \subseteq \mathbb{P}_\xi \times_{E_0} \mathbb{P}_\xi$ is pre-dense in $\mathbb{P}_\xi \times_{E_0} \mathbb{P}_\xi$ then it is pre-dense in $\mathbb{P} \times_{E_0} \mathbb{P}$.

Proof. By induction on $\lambda \geq \xi$, if D is pre-dense in \mathbb{P}_λ then it remains pre-dense in $\mathbb{P}_{\lambda+1} = \mathbb{P}_\lambda \cup \mathbb{U}_\lambda$ by Lemma 7.6. Limit steps are obvious. To prove the second claim note that \mathbb{U}_ξ is dense in $\mathbb{P}_{\xi+1}$ by Lemma 7.3, and $\mathbb{U}_\xi \in \mathfrak{M}_{\xi+1}$.

To prove the last claim use Lemma 7.7. □

Lemma 10.4 (in \mathbf{L}). *If $X \subseteq \text{HC} = \mathbf{L}_{\omega_1}$ then the set W_X of all ordinals $\xi < \omega_1$ such that $\langle \mathbf{L}_\xi; X \cap \mathbf{L}_\xi \rangle$ is an elementary submodel of $\langle \mathbf{L}_{\omega_1}; X \rangle$ and $X \cap \mathbf{L}_\xi \in \mathfrak{M}_\xi$ is unbounded in ω_1 . More generally, if $X_n \subseteq \text{HC}$ for all n then the set W of all ordinals $\xi < \omega_1$, such that $\langle \mathbf{L}_\xi; \{X_n \cap \mathbf{L}_\xi\}_{n < \omega} \rangle$ is an elementary submodel of $\langle \mathbf{L}_{\omega_1}; \{X_n\}_{n < \omega} \rangle$ and $\{X_n \cap \mathbf{L}_\xi\}_{n < \omega} \in \mathfrak{M}_\xi$, is unbounded in ω_1 .*

Proof. Let $\xi_0 < \omega_1$. Let M be a countable elementary submodel of \mathbf{L}_{ω_2} containing ξ_0, ω_1, X , and such that $M \cap \text{HC}$ is transitive. Let $\phi: M \xrightarrow{\text{onto}} \mathbf{L}_\lambda$ be the Mostowski collapse, and let $\xi = \phi(\omega_1)$. Then $\xi_0 < \xi < \lambda < \omega_1$ and $\phi(X) = X \cap \mathbf{L}_\xi$ by the choice of M . It follows that $\langle \mathbf{L}_\xi; X \cap \mathbf{L}_\xi \rangle$ is an elementary submodel of $\langle \mathbf{L}_{\omega_1}; X \rangle$. Moreover, ξ is uncountable in \mathbf{L}_λ , hence $\mathbf{L}_\lambda \subseteq \mathfrak{M}_\xi$. We conclude that $X \cap \mathbf{L}_\xi \in \mathfrak{M}_\xi$ since $X \cap \mathbf{L}_\xi \in \mathbf{L}_\lambda$ by construction.

The second claim does not differ much: we start with a model M containing both the whole sequence $\{X_n\}_{n < \omega}$ and each particular X_n , and so on. □

Corollary 10.5 (compare to [7], Lemma 6). *The forcing notions \mathbb{P} and $\mathbb{P} \times_{E_0} \mathbb{P}$ satisfy CCC in \mathbf{L} .*

Proof. Suppose that $A \subseteq \mathbb{P}$ is a maximal antichain. By Lemma 10.4, there is an ordinal ξ such that $A' = A \cap \mathbb{P}_\xi$ is a maximal antichain in \mathbb{P}_ξ and $A' \in \mathfrak{M}_\xi$. But then A' remains pre-dense, therefore, still a maximal antichain, in the whole set \mathbb{P} by Lemma 10.3. It follows that $A = A'$ is countable. \square

11. THE MODEL

We view the sets \mathbb{P} and $\mathbb{P} \times_{E_0} \mathbb{P}$ (Definition 10.1) as forcing notions over \mathbf{L} .

Lemma 11.1 (compare to Lemma 7 in [7]). *A real $x \in 2^\omega$ is \mathbb{P} -generic over \mathbf{L} iff $x \in Z = \bigcap_{\xi < \omega_1^{\mathbf{L}}} \bigcup_{U \in \mathcal{U}_\xi} [U]$.*

Proof. If $\xi < \omega_1^{\mathbf{L}}$ then \mathcal{U}_ξ is pre-dense in \mathbb{P} by Lemma 10.3, therefore any real $x \in 2^\omega$ \mathbb{P} -generic over \mathbf{L} belongs to $\bigcup_{U \in \mathcal{U}_\xi} [U]$.

To prove the converse, suppose that $x \in Z$ and prove that x is \mathbb{P} -generic over \mathbf{L} . Consider a maximal antichain $A \subseteq \mathbb{P}$ in \mathbf{L} ; we have to prove that $x \in \bigcup_{T \in A} [T]$. Note that $A \subseteq \mathbb{P}_\xi$ for some $\xi < \omega_1^{\mathbf{L}}$ by Corollary 10.5. But then every tree $U \in \mathcal{U}_\xi$ satisfies $U \subseteq^{\text{fin}} \bigcup A$ by Lemma 7.6, so that $\bigcup_{U \in \mathcal{U}_\xi} [U] \subseteq \bigcup_{T \in A} [T]$, and hence $x \in \bigcup_{T \in A} [T]$, as required. \square

Corollary 11.2 (compare to Corollary 9 in [7]). *In any generic extension of \mathbf{L} , the set of all reals in 2^ω \mathbb{P} -generic over \mathbf{L} is Π_1^{HC} and $\Pi_2^{\frac{1}{2}}$.*

Proof. Use Lemma 11.1 and Proposition 10.2. \square

Definition 11.3. From now on, we assume that $G \subseteq \mathbb{P} \times_{E_0} \mathbb{P}$ is a set $(\mathbb{P} \times_{E_0} \mathbb{P})$ -generic over \mathbf{L} , so that the intersection $X = \bigcap_{\langle T, T' \rangle \in G} [T] \times [T']$ is a singleton $X_G = \{\langle x_{\text{left}}[G], x_{\text{right}}[G] \rangle\}$. \square

Compare the next lemma to Lemma 10 in [7]. While Jensen's forcing notion in [7] guarantees that there is a single generic real in the extension, the forcing notion \mathbb{P} we use adds a whole E_0 -class (a countable set) of generic reals!

Lemma 11.4 (under the assumptions of Definition 11.3). *If $y \in \mathbf{L}[G] \cap 2^\omega$ then y is a \mathbb{P} -generic real over \mathbf{L} iff $y \in [x_{\text{left}}[G]]_{E_0} \cup [x_{\text{right}}[G]]_{E_0}$.*

Recall that $[x]_{E_0} = \{\sigma \cdot x : \sigma \in 2^{<\omega}\}$.

Proof. The reals $x_{\text{left}}[G]$, $x_{\text{right}}[G]$ are separately \mathbb{P} -generic (see Remark 5.1). It follows that any real $y = \sigma \cdot x_{\text{left}}[G] \in [x_{\text{left}}[G]]_{E_0}$ or $y = \sigma \cdot x_{\text{right}}[G] \in [x_{\text{right}}[G]]_{E_0}$ is \mathbb{P} -generic as well since the forcing \mathbb{P} is by definition invariant under the action of any $\sigma \in 2^{<\omega}$.

To prove the converse, suppose towards the contrary that there is a condition $\langle T, T' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$ and a $(\mathbb{P} \times_{E_0} \mathbb{P})$ -real name $\mathbf{c} = \{C_n^i\}_{n < \omega, i=0,1} \in \mathbf{L}$

such that $\langle T, T' \rangle$ ($\mathbb{P} \times_{E_0} \mathbb{P}$)-forces that \mathbf{c} is \mathbb{P} -generic while $\mathbb{P} \times_{E_0} \mathbb{P}$ forces both formulas $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{left}}$ and $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{right}}$ for all $\sigma \in 2^{<\omega}$.

Let $C_n = C_n^0 \cup C_n^1$, this is a pre-dense set in $\mathbb{P} \times_{E_0} \mathbb{P}$. It follows from Lemma 10.4 that there exists an ordinal $\lambda < \omega_1$ such that each set $C'_n = C_n \cap (\mathbb{P}_\lambda \times_{E_0} \mathbb{P}_\lambda)$ is pre-dense in $\mathbb{P}_\lambda \times_{E_0} \mathbb{P}_\lambda$, and the sequence $\{C'_{ni}\}_{n < \omega, i=0,1}$ belongs to \mathfrak{M}_λ , where $C'_{ni} = C'_n \cap C_n^i$ — then C'_n is pre-dense in $\mathbb{P} \times_{E_0} \mathbb{P}$ too, by Lemma 10.3. Therefore we can assume that in fact $C_n = C'_n$, that is, $\mathbf{c} \in \mathfrak{M}_\lambda$ and \mathbf{c} is a $(\mathbb{P}_\lambda \times_{E_0} \mathbb{P}_\lambda)$ -real name.

Further, as $\mathbb{P} \times_{E_0} \mathbb{P}$ forces that $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{left}}$ and $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{right}}$, the set $D(\sigma)$ of all conditions $\langle S, S' \rangle \in \mathbb{P} \times_{E_0} \mathbb{P}$ which directly force $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{left}}$ and $\mathbf{c} \neq \sigma \cdot \dot{\mathbf{x}}_{\text{right}}$, is dense in $\mathbb{P} \times_{E_0} \mathbb{P}$ — for every $\sigma \in 2^{<\omega}$. Therefore, still by Lemma 10.4, we may assume that the same ordinal λ as above satisfies the following: each set $D'(\sigma) = D(\sigma) \cap (\mathbb{P}_\lambda \times_{E_0} \mathbb{P}_\lambda)$ is dense in $\mathbb{P}_\lambda \times_{E_0} \mathbb{P}_\lambda$.

Applying Theorem 9.3 with $\mathbb{P} = \mathbb{P}_\lambda$, $\mathbb{U} = \mathbb{U}_\lambda$, and $\mathbb{P} \cup \mathbb{U} = \mathbb{P}_{\lambda+1}$, we conclude that for each tree $U \in \mathbb{U}_\lambda$ the set Q_U of all conditions $\langle V, V' \rangle \in \mathbb{P}_{\lambda+1} \times_{E_0} \mathbb{P}_{\lambda+1}$ which directly force $\mathbf{c} \notin [U]$, is dense in $\mathbb{P}_{\lambda+1} \times_{E_0} \mathbb{P}_{\lambda+1}$. As obviously $Q_U \in \mathfrak{M}_{\lambda+1}$, we further conclude that Q_U is pre-dense in the whole forcing $\mathbb{P} \times_{E_0} \mathbb{P}$ by Lemma 10.3. This implies that $\mathbb{P} \times_{E_0} \mathbb{P}$ forces $\mathbf{c} \notin \bigcup_{U \in \mathbb{U}_\lambda} [U]$, hence, forces that \mathbf{c} is not \mathbb{P} -generic, by Lemma 11.1. But this contradicts to the choice of $\langle T, T' \rangle$. \square

Corollary 11.5. *The set $[x_{\text{left}}[G]]_{E_0} \cup [x_{\text{right}}[G]]_{E_0}$ is Π_2^1 set in $\mathbf{L}[G]$. Therefore the 2-element set $\{[x_{\text{left}}[G]]_{E_0}, [x_{\text{right}}[G]]_{E_0}\}$ is OD in $\mathbf{L}[G]$. \square*

Corollary 11.6. *The E_0 -classes $[x_{\text{left}}[G]]_{E_0}, [x_{\text{right}}[G]]_{E_0}$ are disjoint.*

Proof. Corollary 5.4 implies $x_{\text{left}}[G] \not\mathbb{E}_0 x_{\text{right}}[G]$. \square

Lemma 11.7 (still under the assumptions of Definition 11.3). *Neither of the two E_0 -classes $[x_{\text{left}}[G]]_{E_0}, [x_{\text{right}}[G]]_{E_0}$ is OD in $\mathbf{L}[G]$.*

Proof. Suppose towards the contrary that there is a condition $\langle T, T' \rangle \in G$ and a formula $\vartheta(x)$ with ordinal parameters such that $\langle T, T' \rangle$ ($\mathbb{P} \times_{E_0} \mathbb{P}$)-forces that $\vartheta([\dot{\mathbf{x}}_{\text{left}}]_{E_0})$ but $\neg\vartheta([\dot{\mathbf{x}}_{\text{right}}]_{E_0})$. However both the formula and the forcing are invariant under actions of strings in $2^{<\omega}$. In particular if $\sigma \in 2^{<\omega}$ then $\langle \sigma \cdot T, \sigma \cdot T' \rangle$ still ($\mathbb{P} \times_{E_0} \mathbb{P}$)-forces $\vartheta([\dot{\mathbf{x}}_{\text{left}}]_{E_0})$ and $\neg\vartheta([\dot{\mathbf{x}}_{\text{right}}]_{E_0})$. We can take σ which satisfies $T' = \sigma \cdot T$; thus $\langle T', T \rangle$ still ($\mathbb{P} \times_{E_0} \mathbb{P}$)-forces $\vartheta([\dot{\mathbf{x}}_{\text{left}}]_{E_0})$ and $\neg\vartheta([\dot{\mathbf{x}}_{\text{right}}]_{E_0})$.⁵ However $\mathbb{P} \times_{E_0} \mathbb{P}$ is symmetric with respect to the left-right exchange, which implies that conversely $\langle T', T \rangle$ has to force $\vartheta([\dot{\mathbf{x}}_{\text{right}}]_{E_0})$ and $\neg\vartheta([\dot{\mathbf{x}}_{\text{left}}]_{E_0})$. The contradiction proves the lemma. \square

\square (Theorem 1.1)

12. CONCLUSIVE REMARKS

(I) One may ask whether other Borel equivalence relations \mathbf{E} admit results similar to Theorem 1.1. Fortunately this question can be easily solved on the base of the Glimm – Effros dichotomy theorem [4].

⁵ This is the argument which does not go through for the full product $\mathbb{P} \times \mathbb{P}$.

Corollary 12.1. *The following is true in the model of Theorem 1.1. Let E be a Borel equivalence relation on ω^ω coded in \mathbf{L} . Then there exists an OD pair of E -equivalence classes $\{[x]_E, [y]_E\}$ such that neither of the classes $[x]_E, [y]_E$ is separately OD, iff E is not smooth.*

Proof. Suppose first that E is smooth. By the Shoenfield absoluteness theorem, the smoothness can be witnessed by a Borel map $\vartheta : \omega^\omega \rightarrow \omega^\omega$ coded in \mathbf{L} , hence, ϑ is OD itself. If $p = \{[x]_E, [y]_E\}$ is OD in the extension then so is the 2-element set $R = \{\vartheta(z) : z \in [x]_E \cup [y]_E\} \subseteq \omega^\omega$, whose both elements (reals), say p_x and p_y , are OD by obvious reasons. Then finally $[x]_E = \vartheta^{(-1)}(p_x)$ and $[y]_E = \vartheta^{(-1)}(p_y)$ are OD as required.

Now let E be non-smooth. Then by Shoenfield and the Glimm – Effros dichotomy theorem in [4], there is a continuous, coded by some $r \in \omega^\omega \cap \mathbf{L}$, hence, OD, reduction $\vartheta : 2^\omega \rightarrow \omega^\omega$ of E_0 to E , so that we have $a E_0 b$ iff $\vartheta(a) E \vartheta(b)$ for all $a, b \in 2^\omega$. Let, by Theorem 1.1, $\{[a]_{E_0}, [b]_{E_0}\}$ be a II_2^1 pair of non-OD E_0 -equivalence classes. By the choice of ϑ , one easily proves that $\{[\vartheta(a)]_E, [\vartheta(b)]_E\}$ is a $II_2^1(r)$ pair of non-OD E -equivalence classes. \square

(II) One may ask what happens with the Groszek – Laver pairs of sets of reals in better known models. For some of them the answer tends to be in the negative. Consider e.g. the Solovay model of **ZFC** in which all projective sets of reals are Lebesgue measurable [14]. Arguing in the Solovay model, let $\{X, Y\}$ be an OD set, where $X, Y \subseteq 2^\omega$. Then the set of *four* sets $X \setminus Y, Y \setminus X, X \cap Y, 2^\omega \setminus (X \cup Y)$ is still OD, and hence we have an OD equivalence relation E on 2^ω with four (or fewer if say $X \subseteq Y$) equivalence classes. By a theorem of [8]⁶, either E admits an OD reduction $\vartheta : 2^\omega \rightarrow 2^{<\omega_1}$ to equality on $2^{<\omega_1}$ or E_0 admits a continuous reduction to E . The “or” option fails since E has finitely many classes.

The “either” option leads to a finite (not more than 4 elements) OD set $R = \text{ran } \vartheta \subseteq 2^{<\omega_1}$. An easy argument shows that then every $r \in R$ is OD, and hence so is the corresponding E -class $\vartheta^{-1}(r)$. It follows that X, Y themselves are OD.

Question 12.2. Is it true in the Solovay model that every *countable* OD set $W \subseteq \mathcal{P}(\omega^\omega)$ of sets of reals contains an OD element $X \in W$ (a set of reals)? \square

An uncountable counterexample readily exists, for take the set of all non-OD sets of reals. As for sets $W \subseteq \omega^\omega$, any countable OD set of reals in the Solovay model consists of OD elements, e.g. by the result mentioned in Footnote 6.

(III) One may ask whether a forcing similar to $\mathbb{P} \times_{E_0} \mathbb{P}$ with respect to the results in Section 11, exists in ground models other than \mathbf{L} or $\mathbf{L}[x]$,

⁶ To replace the following brief argument, one can also refer to a result by Stern implicit in [15]: in the Solovay model, if an OD equivalence relation E has at least one non-OD equivalence class then there is a pairwise E -inequivalent perfect set.

$x \in 2^\omega$. Some coding forcing constructions with perfect trees do exist in such a general frameworks, see [1, 10].

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