

On the birth of Set Theoretic Algebra

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IPM

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Historical notes

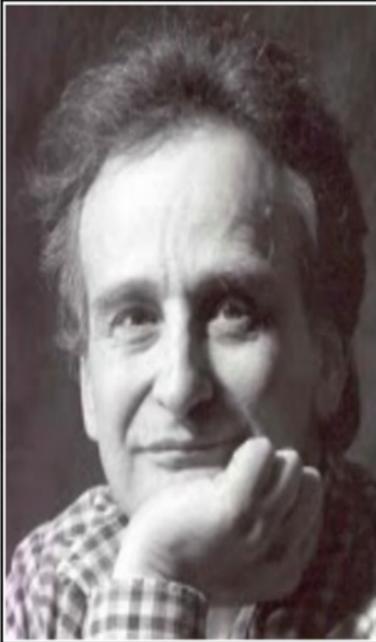
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Given a conjecture, the best thing is to
prove it. The second best thing is to
disprove it. The third best thing is to
prove that it is not possible to disprove it,
since it will tell you not to waste your time
trying to disprove it. That's what Gödel
did for the Continuum Hypothesis.

— *Saharon Shelah* —

AZ QUOTES

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- P. Eklof and A. Mekler, **Almost Free Modules**, North-Holland (1990); Preface begins:

Historical notes

- P. Eklof and A. Mekler, **Almost Free Modules**, North-Holland (1990); Preface begins:
- The modern era in set-theoretic methods in algebra can be said to have begun on July 11, 1973 when **Saharon Shelah** borrowed Laszlo Fuchs's Infinite Abelian Groups from the Hebrew University library. Soon thereafter, he showed that **Whiteheads Problem**, to which many talented mathematicians had devoted much creative energy, was not solvable in **ordinary set theory (ZFC)**.

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Whitehead's
problem for
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- Whitehead's problem asks whether $Ext(A, \mathbb{Z}) = 0$ implies that A is free.

Historical notes

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- In the 1950's, **Stein** and **Ehrenfeucht** showed that the answer is affirmative for countable A .

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Historical notes

- Whitehead's problem asks whether $Ext(A, \mathbb{Z}) = 0$ implies that A is free.
- In the 1950's, **Stein** and **Ehrenfeucht** showed that the answer is affirmative for countable A .
- For uncountable A prior to 1973, **Nunke** says: Many people: J. Rotman, myself, S. Chase, P. Griffith have studied this problem obtaining meager results.

Historical notes

- **Saharon Shelah** relates that in 1973 he had the habit of looking every week at the new books displayed in the Hebrew University library.

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Historical notes

- **Saharon Shelah** relates that in 1973 he had the habit of looking every week at the new books displayed in the Hebrew University library.
- One day I have come and see the second volume of Laszlo; its colour was attractive green. I take it and ask myself isn't everything known on [abelian groups]... I start to read each linearly; after reading about two thirds of the first volume I move to the second volume and read the first third. I mark the problems (I think six) which attract me, combination of being stressed by Laszlo, seem to me I have a chance, and how nice the problem look.

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- By September 4, 1973, Shelah had submitted a paper, proving that the solution to Whitehead's problem is **independent of ZFC** and answering some other open problems as well.

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Historical notes

- By September 4, 1973, Shelah had submitted to the Israel Journal a paper, proving that the solution to Whitehead's problem is **independent of ZFC** and answering some other open problems as well.
- I have thought the most important is to build indecomposable abelian groups in every cardinality. I thought the independence of Whitehead's problem will be looked on suspiciously. As you know abelian group theorists thought differently [communication from Shelah].

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Whitehead's
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- By a group, we mean an **Abelian group**.

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Whitehead's
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- By a group, we mean an **Abelian group**.
- A group A is **free**, if it has a basis.

Some Algebra

- By a group, we mean an **Abelian group**.
- A group A is **free**, if it has a basis.
- A is free if and only if, every exact sequence

$$0 \rightarrow C \rightarrow B \rightarrow A \rightarrow 0$$

splits.

Some Algebra

- A is a **Whitehead group (W-group)** if every exact sequence

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 - (**Stein, Ehrenfeucht**) Every countable W-group is free.

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Is every W-group free?

ZFC axioms

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Whitehead's
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- The underlying theory we consider is **ZFC**:

ZFC axioms

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- **ZFC** = Ordinary Mathematics.

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- By **Godel's theorems**, **ZFC** is incomplete: there are statements which are neither provable nor refutable from **ZFC** axioms.

ZFC axioms

- The underlying theory we consider is **ZFC**:
- **ZFC** = Ordinary Mathematics.
- But most of the talk goes beyond **ZFC!!!**.
- By **Godel's theorems**, **ZFC** is incomplete: there are statements which are neither provable nor refutable from **ZFC** axioms.
- The first such natural statement is the **continuum hypothesis**, which follows from the works of **Godel** (1938) and **Paul Cohen** (1963).

Going beyond *ZFC*

- We now provide two statements, which are not probable in *ZFC*, but are consistent with it.

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- These axioms were used by Shelah to show that the Whitehead's problem is independent of *ZFC* for *W*-groups of size \aleph_1 .

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- These axioms were used by Shelah to show that the Whitehead's problem is independent of *ZFC* for *W*-groups of size \aleph_1 .
- We will then discuss the problem for *W*-groups of arbitrary size.

Going beyond ZFC

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Whitehead's
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- Let κ be an uncountable regular cardinal.

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Whitehead's
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- Let κ be an uncountable regular cardinal.
- $C \subseteq \kappa$ is **unbounded** if $\forall \alpha < \kappa \exists \beta \in C (\alpha < \beta)$.

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- $C \subseteq \kappa$ is a **club**, if it is both closed and unbounded.
- $S \subseteq \kappa$ is **stationary**, if it intersects all club subsets of κ

Going beyond ZFC

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- Let $S \subseteq \kappa$ be stationary. The **diamond principle** $\diamond_{\kappa}(S)$ is the following statement:

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Whitehead's
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- Let $S \subseteq \kappa$ be stationary. The **diamond principle** $\diamond_\kappa(S)$ is the following statement:
- $\diamond_\kappa(S)$: There exists a sequence $(S_\alpha : \alpha < \kappa)$ such that:
 - $S_\alpha \subseteq \alpha$, for all $\alpha < \kappa$.
 - If $X \subseteq \kappa$, then the set $\{\alpha \in S : X \cap \alpha = S_\alpha\}$ is stationary.

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- **Godel's constructible universe** L is the least transitive model of ZFC which contains all ordinals.

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 - If $X \subseteq \kappa$, then the set $\{\alpha \in S : X \cap \alpha = S_\alpha\}$ is stationary.
- **Gödel's constructible universe** L is the least transitive model of ZFC which contains all ordinals.
- (**Ronald Jensen**) In L , $\diamond_{\kappa^+}(S)$ holds for all infinite cardinals κ and all stationary sets $S \subseteq \kappa^+$.

- A partially ordered set (poset) \mathbb{P} satisfies the **countable chain condition**, if any antichain $A \subseteq \mathbb{P}$ is at most countable, where A is an antichain if for all $p, q \in A$ there is no $r \in \mathbb{P}$ with $r \leq p, q$.
- $G \subseteq \mathbb{P}$ is a **filter**, if
 - $G \neq \emptyset$.
 - If $p \in G$ and $p \leq q$, then $q \in G$.
 - If $p, q \in G$, then there exists $r \in G$ such that $r \leq p, q$.

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 - If $p, q \in G$, then there exists $r \in G$ such that $r \leq p, q$.
- $D \subseteq \mathbb{P}$ is **dense** if $\forall p \in \mathbb{P} \exists q \in D (q \leq p)$.
- Given a collection \mathcal{D} of dense subsets of \mathbb{P} , $G \subseteq \mathbb{P}$ is called **\mathcal{D} -generic filter** if
 - G is a filter.
 - $G \cap D \neq \emptyset$, for all $D \in \mathcal{D}$.

Going beyond ZFC

- (Rasiowa-Sikorski) If \mathbb{P} is a poset and \mathcal{D} is a countable collection of dense subsets of \mathbb{P} , then there exists a \mathcal{D} -generic filter $G \subseteq \mathbb{P}$.

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Going beyond ZFC

- (Rasiowa-Sikorski) If \mathbb{P} is a poset and \mathcal{D} is a countable collection of dense subsets of \mathbb{P} , then there exists a \mathcal{D} -generic filter $G \subseteq \mathbb{P}$.
- Martin's axiom at \aleph_1 (MA_{\aleph_1}) is the statement: If \mathbb{P} is a poset that satisfies the countable chain condition and if \mathcal{D} is a collection of dense subsets of \mathbb{P} of size \aleph_1 , then there exists a \mathcal{D} -generic filter $G \subseteq \mathbb{P}$.

- (**Rasiowa-Sikorski**) If \mathbb{P} is a poset and \mathcal{D} is a countable collection of dense subsets of \mathbb{P} , then there exists a \mathcal{D} -generic filter $G \subseteq \mathbb{P}$.
- **Martin's axiom at \aleph_1 (MA_{\aleph_1})** is the statement: If \mathbb{P} is a poset that satisfies the countable chain condition and if \mathcal{D} is a collection of dense subsets of \mathbb{P} of size \aleph_1 , then there exists a \mathcal{D} -generic filter $G \subseteq \mathbb{P}$.
- (**Solovay-Tennenbaum**) $MA_{\aleph_1} + 2^{\aleph_0} = \aleph_2$ is consistent with ZFC.

Shelah's results

- In 1973, Shelah proved the following results:

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Shelah's results

- In 1973, Shelah proved the following results:
- Assume $\diamond_{\omega_1}(S)$, for all stationary sets $S \subseteq \omega_1$. Then every W -group of size \aleph_1 is free.

Shelah's results

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- Assume $\diamond_{\omega_1}(S)$, for all stationary sets $S \subseteq \omega_1$. Then every W-group of size \aleph_1 is free.
- Assume $MA_{\aleph_1} + 2^{\aleph_0} = \aleph_2$. Then there exists a W-group of size \aleph_1 which is not free.

Shelah's results

- In 1973, Shelah proved the following results:
- Assume $\diamond_{\omega_1}(S)$, for all stationary sets $S \subseteq \omega_1$. Then every W -group of size \aleph_1 is free.
- Assume $MA_{\aleph_1} + 2^{\aleph_0} = \aleph_2$. Then there exists a W -group of size \aleph_1 which is not free.
- Thus **Whitehead's problem for W -groups of size \aleph_1 is independent of ZFC .**

More historical notes

- Shelah's result left open the question of whether the continuum hypothesis (CH) was sufficient to imply that W -groups are free.

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More historical notes

- Shelah's result left open the question of whether the continuum hypothesis (CH) was sufficient to imply that W -groups are free.
- Shelah says "Naturally, it was hoped that [the fact that W -groups are free] was not a consequence of CH alone."

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- Shelah's result left open the question of whether the continuum hypothesis (CH) was sufficient to imply that W -groups are free.
- Shelah says "Naturally, it was hoped that [the fact that W -groups are free] was not a consequence of CH alone.
- But some algebraists hoped otherwise, and, in fact, some false proofs from CH circulated.

More historical notes

- Shelah's result left open the question of whether the continuum hypothesis (CH) was sufficient to imply that W -groups are free.
- Shelah says "Naturally, it was hoped that [the fact that W -groups are free] was not a consequence of CH alone.
- But some algebraists hoped otherwise, and, in fact, some false proofs from CH circulated.
- In Math. Reviews, a review of L. Fuchs' Infinite Abelian Groups, vol II states: Since Volume II was written, S. Shelah [Israel J. Math. 18 (1974), 243-256] has shown that the statement Every W -group of cardinal \aleph_1 is free is independent of ZFC (Paul Hill has shown that GCH implies that every W -group of cardinality \aleph_Ω is free and J. Rotman has an easy proof that CH implies that every W -group is free).

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**More historical
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Whitehead's
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- In 1977, Shelah proved the following theorem:

More historical notes

- In 1977, Shelah proved the following theorem:
- It is consistent with $ZFC + GCH$ that there are Whitehead groups of cardinality \aleph_1 which are not free.

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More historical notes

- In 1977, Shelah proved the following theorem:
- It is consistent with $ZFC + GCH$ that there are Whitehead groups of cardinality \aleph_1 which are not free.
- Shelah's theorem shows that the above cited claims are false.

Shelah's Singular compactness theorem

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Whitehead's
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- Shelah's proof of the consistency of Whitehaed's problem, in fact gives the following:

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- Shelah's proof of the consistency of Whitehaed's problem, in fact gives the following:
- Suppose $\diamond_{\kappa^+}(S)$ holds for all stationary $S \subseteq \kappa^+$ and suppose all W-groups of size $\leq \kappa$ are free. Then all W-groups of size κ^+ are free.

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- Thus by induction, one can show that in the Godel's constructible universe, all W-groups of size $< \aleph_\omega$ are free.

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- By a theorem of Hill, if κ is a singular cardinal of cofinality ω , or ω_1 and if A is a group of size κ all of whose subgroups of size $< \kappa$ are free, then A is free.

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- Thus by induction, one can show that in the Godel's constructible universe, all W-groups of size $< \aleph_\omega$ are free.
- By a theorem of Hill, if κ is a singular cardinal of cofinality ω , or ω_1 and if A is a group of size κ all of whose subgroups of size $< \kappa$ are free, then A is free.
- This allows us to show that in the Godel's universe, all W-groups of size $< \aleph_{\omega_2}$ are free.

Shelah's Singular compactness theorem

- In the summer of 1974 Shelah became aware of Hill's cofinality ω_1 result (when Paul Eklof showed him a copy of Hill's preprint) while he was visiting Stanford prior to the International Congress of Mathematicians (ICM) in Vancouver.

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- In the summer of 1974 Shelah became aware of Hill's cofinality ω_1 result (when Paul Eklof showed him a copy of Hill's preprint) while he was visiting Stanford prior to the International Congress of Mathematicians (ICM) in Vancouver.
- After studying the paper, Shelah was able to prove a very general "**Singular Compactness Theorem**".

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Theoretic Algebra

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Whitehead's
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- After studying the paper, Shelah was able to prove a very general "**Singular Compactness Theorem**".
- It applies to an abstract notion of "free"-defined axiomatically-and says, roughly, that if an object of singular cardinality κ has the property that "most" of its subobjects of cardinality $< \kappa$ are "free", then the object is "free". In particular, the theorem applies to the standard notion of "free" in any variety.

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- A special case of the theorem says that compactness holds for abelian groups at every singular cardinal κ .

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Shelah's Singular compactness theorem

- A special case of the theorem says that compactness holds for abelian groups at every singular cardinal κ .
- As a consequence, in Godel's universe, every Whitehead group (of arbitrary cardinality) is free.
- Putting all the above results together, we get the following independence result:

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Theorem (Shelah):

Whitehead's problem is independent of ZFC .

Thank You for Your Attension