

# Comparing Constructive Arithmetical Theories Based On $NP$ -PIND and $coNP$ -PIND

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## Abstract

In this note we show that the intuitionistic theory of polynomial induction on  $\Pi_1^{b+}$ -formulas does not imply the intuitionistic theory  $IS_2^1$  of polynomial induction on  $\Sigma_1^{b+}$ -formulas. We also show the converse assuming the Polynomial Hierarchy does not collapse. Similar results hold also for length induction in place of polynomial induction. We also investigate the relation between various other intuitionistic first-order theories of bounded arithmetic. Our method is mostly semantical, we use Kripke models of the theories.

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## 0 Introduction

In [B1], Buss introduced some particular first-order theories of bounded arithmetic. The language of these theories extends the usual language of arithmetic by adding function symbols  $\lfloor \frac{x}{2} \rfloor$  ( $= \frac{x}{2}$  rounded down to the nearest integer),  $|x|$  ( $=$ the number of digits in the binary notation for  $x$ ) and  $\#$  ( $x\#y = 2^{2^{|x||y|}}$ ). The set BASIC of basic axioms for the theories of bounded arithmetic is a finite set of (universal closures of) quantifier-free formulas expressing basic properties of the relations and functions of the language.

The set of sharply bounded formulas is the set of bounded formulas which all quantifiers occurring in them are sharply bounded quantifiers, i.e. of the form  $\exists x \leq |t|$  or  $\forall x \leq |t|$  where  $t$  is a term not involving  $x$ .

Following Buss [B1], we define a hierarchy of bounded formulas:

- (1)  $\Sigma_0^b = \Pi_0^b$  is the set of all sharply bounded formulas.
- (2)  $\Sigma_{i+1}^b$  is defined inductively by:
  - (2a)  $\Pi_i^b \subseteq \Sigma_{i+1}^b$ ;
  - (2b) If  $A \in \Sigma_{i+1}^b$ , so are  $(\exists x \leq t)A$  and  $(\forall x \leq |t|)A$ ;

- (2c) If  $A, B \in \Sigma_{i+1}^b$ , so are  $A \wedge B$  and  $A \vee B$ ;
- (2d) If  $A \in \Sigma_{i+1}^b$  and  $B \in \Pi_{i+1}^b$ , then  $\neg B$  and  $B \rightarrow A$  are in  $\Sigma_{i+1}^b$ .
- (3)  $\Pi_{i+1}^b$  is defined inductively as follows:
- (3a)  $\Sigma_i^b \subseteq \Pi_{i+1}^b$ ;
- (3b) If  $A \in \Pi_{i+1}^b$ , so are  $(\forall x \leq t)A$  and  $(\exists x \leq |t|)A$ ;
- (3c) If  $A, B \in \Pi_{i+1}^b$ , so are  $A \wedge B$  and  $A \vee B$ ;
- (3d) If  $A \in \Pi_{i+1}^b$  and  $B \in \Sigma_{i+1}^b$ , then  $\neg B$  and  $B \rightarrow A$  are in  $\Pi_{i+1}^b$ .
- (4)  $\Sigma_{i+1}^b$  and  $\Pi_{i+1}^b$  are the smallest sets which satisfy (1)-(3).

Note that by the above definition, negation of a  $\Pi_1^b$ -formula is a  $\Sigma_1^b$ -formula. This is an important point when we work with intuitionistic theories. The  $\Sigma_1^b$ -formulas represent exactly the *NP*-relations in the standard model. For this reason, they are also called *NP*-formulas.

The most important theory among the theories of bounded arithmetic is  $S_2^1$ , obtained by adding the scheme *PIND* for  $\Sigma_1^b$ -formulas to *BASIC*:

$$(A(0) \wedge \forall x(A(\lfloor \frac{x}{2} \rfloor) \rightarrow A(x))) \rightarrow \forall x A(x)$$

The main reason is the following theorem. Note that a function  $f$  is said to be  $\Sigma_1^b$ -definable in  $S_2^1$  if and only if it is provably total in  $S_2^1$  with a  $\Sigma_1^b$ -formula defining the graph of  $f$ .

**Theorem 0.1** (Buss, [B1]) A function is  $\Sigma_1^b$ -definable in  $S_2^1$  if and only if it is polynomial time computable.

The schemes *LIND* and *IND* are

$$(A(0) \wedge \forall x(A(x) \rightarrow A(x+1))) \rightarrow \forall x A(|x|) \text{ and}$$

$$(A(0) \wedge \forall x(A(x) \rightarrow A(x+1))) \rightarrow \forall x A(x), \text{ respectively.}$$

The following theorem will be used throughout this paper.

**Theorem 0.2** The following theories are equivalent to  $S_2^1$ :

$$(1) \text{ BASIC} + \Sigma_1^b - \text{LIND}$$

$$(2) \text{ BASIC} + \Pi_1^b - \text{PIND}$$

$$(3) \text{ BASIC} + \Pi_1^b - \text{LIND}$$

We also have  $\text{BASIC} + \Sigma_1^b - \text{IND} \equiv \text{BASIC} + \Pi_1^b - \text{IND} \vdash S_2^1$ .

**Proof** See [B1] and [B4].  $\square$

The theory  $IS_2^1$  is the intuitionistic theory axiomatized by *BASIC* plus the scheme *PIND* on positive  $\Sigma_1^b$  formulas (denoted  $\Sigma_1^{b+}$ ), i.e.  $\Sigma_1^b$ -formulas which do not contain  $\neg$

and  $\rightarrow$ . This theory was introduced and studied by Cook and Urquhart and by Buss (see [CU] and [B3]). A function  $f$  is  $\Sigma_1^{b+}$ -definable in  $IS_2^1$  if it is provably total in  $IS_2^1$  with a  $\Sigma_1^{b+}$ -formula defining the graph of  $f$ . The most important theorem about  $IS_2^1$  they proved is this:

**Theorem 0.3** (Cook and Urquhart, [CU])

- (i) If  $f$  is a polynomial time computable function then  $f$  is  $\Sigma_1^{b+}$ -definable in  $IS_2^1$ .
- (ii) If  $IS_2^1 \vdash \forall \bar{x} \exists y \phi(\bar{x}, y)$  then there is a polynomial time computable function  $f$  such that  $IS_2^1 \vdash \forall \bar{x} \phi(\bar{x}, f(\bar{x}))$ .

Note that, in part (ii) above, the symbol  $f$  in the formula does not belong to the given language; however by part (i), it can be expressed in our language.

A positive  $\Pi_1^b$  formula (denoted  $\Pi_1^{b+}$ ), is also defined to be a  $\Pi_1^b$ -formula which does not contain  $\neg$  and  $\rightarrow$ .

The theory  $PV$  is an equational theory of polynomial time functions introduced by Cook,  $PV_1$  is its (conservative) extension to classical first-order logic and  $IPV$  is the intuitionistic theory of  $PV$  plus polynomial induction on  $NP$  formulas. Here an  $NP$ -formula is a formula equivalent to an atomic formula (in the language of  $PV$ ) followed by a number of bounded existential quantifiers (see [CU]). The  $NP$ -formulas represent precisely the  $NP$  relations in the standard model.  $coNP$ -formulas are defined dually.

Our main results in this paper are that over a natural intuitionistic base theory (i.e., the intuitionistic deductive closure of  $BASIC$ ),  $coNP$  induction does not imply  $NP$  induction; and that assuming the polynomial hierarchy does not collapse, neither does  $NP$  induction imply  $coNP$  induction. This is in sharp contrast to the case for classical logic, in which the two principles are equivalent.

## 1 Kripke models of intuitionistic bounded arithmetic

Here we briefly describe Kripke models. All theories we will study prove the principle of excluded middle  $PEM$  for atomic formulas and so we can use a slightly simpler version of the definition of Kripke models, see [V].

A Kripke structure for a language  $L$  can be considered as a set of classical structures for  $L$  partially ordered by the relation substructure. We can assume without loss of generality that this partially ordered set is a rooted tree. For every node  $\alpha$ ,  $L_\alpha$  denotes the expansion of  $L$  by adding constants for elements of  $M_\alpha$ . The forcing relation  $\Vdash$  is defined inductively as follows:

- For atomic  $\varphi$ ,  $M_\alpha \Vdash \varphi$  if and only if  $M_\alpha \models \varphi$ , also,  $M_\alpha \not\Vdash \perp$ ;
- $M_\alpha \Vdash \varphi \vee \psi$  if and only if  $M_\alpha \Vdash \varphi$  or  $M_\alpha \Vdash \psi$ ;
- $M_\alpha \Vdash \varphi \wedge \psi$  if and only if  $M_\alpha \Vdash \varphi$  and  $M_\alpha \Vdash \psi$ ;

- $M_\alpha \Vdash \varphi \rightarrow \psi$  if and only if for all  $\beta \geq \alpha$ ,  $M_\beta \Vdash \varphi$  implies  $M_\beta \Vdash \psi$ ;
- $M_\alpha \Vdash \forall x\varphi(x)$  if and only if for all  $\beta \geq \alpha$  and all  $a \in M_\beta$ ,  $M_\beta \Vdash \varphi(a)$ ;
- $M_\alpha \Vdash \exists x\varphi(x)$  if and only if there exists  $a \in M_\alpha$  such that  $M_\alpha \Vdash \varphi(a)$ .

A Kripke model forces a formula  $\varphi(\bar{x})$ , if each of its nodes (equivalently its root) forces  $\forall \bar{x}\varphi(\bar{x})$ . A Kripke model is *BASIC*-normal if each node (world) of it satisfies *BASIC*. It is  $\Delta_0^b$ -elementary if its accessible relation is  $\Delta_0^b$ -extension, i.e. for any two nodes  $\alpha \leq \beta$  and any  $\Delta_0^b$ -formula  $A(\bar{x})$  and  $\bar{a} \in M_\alpha$ ,  $M_\alpha \vDash A(\bar{a})$  if and only if  $M_\beta \vDash A(\bar{a})$ . It decides sharply bounded formulas if it forces the axiom *PEM* (that is,  $\varphi \vee \neg\varphi$ ) restricted to sharply bounded formulas.

By *IBASIC* we mean the intuitionistic theory axiomatized by *BASIC* axioms.

**Lemma 1.1** Kripke models of *IBASIC* are exactly *BASIC*-normal Kripke models.

**Proof** Using the fact that atomic formulas are decidable in *IBASIC* ([B3, Th.3]) and this theory is universal, the proof is straightforward.  $\square$

It is well-known and easy to prove that a Kripke model is  $\Delta_0$ -elementary extension (that is, its accessible relation is  $\Delta_0$ -extension) if and only if forcing and satisfaction of bounded formulas in each node (world) of it are equivalent if and only if, it decides bounded formulas. The following states a similar result for sharply bounded formulas.

**Proposition 1.2** Suppose  $\mathcal{K}$  is a *BASIC*-normal Kripke model. The following are equivalent:

- $\mathcal{K}$  is  $\Delta_0^b$ -elementary extension.
- Forcing and satisfaction of every sharply bounded formula in every node of  $\mathcal{K}$  are equivalent.
- $\mathcal{K}$  decides  $\Delta_0^b$ -formulas.

**Proof** Induction on formulas.  $\square$

Let  $M$  and  $N$  be two models of *BASIC*. Let  $\text{Log}(M) = \{a \in M : \exists b \in M a \leq |b|\}$ .  $N$  is a weak end extension of  $M$  if  $N$  extends  $M$  and  $\text{Log}(N)$  is an end extension of  $\text{Log}(M)$ , i.e. for all  $a \in \text{Log}(M)$ ,  $b \in \text{Log}(N)$  with  $N \vDash b \leq a$  we have  $b \in \text{Log}(M)$ . It is known and easy to see that weak end extensions are always  $\Delta_0^b$ -elementary.

**Corollary 1.3** We have

- Forcing and truth of sharply bounded formulas in each node of a weak end extension Kripke model of *IBASIC* are equivalent.
- Every Kripke model of  $IS_2^1$  is  $\Delta_0^b$ -elementary extension.

**Proof** Sharply bounded formulas are decidable in  $IS_2^1$  ([CU]).  $\square$

**Lemma 1.4** Suppose  $\mathcal{K}$  is a weak end extension *BASIC*-normal Kripke model. Then for any node  $\alpha$  in  $\mathcal{K}$  and any  $\Sigma_1^{b+}$   $L_\alpha$ -sentence  $A$  we have,  $M_\alpha \Vdash A$  if and only if  $M_\alpha \models A$ .

**Proof** Let  $A$  and  $\mathcal{K}$  be as above. We use induction on the complexity of  $A$  to prove the desired property. For atomic formulas this is obvious by definition of forcing. In the induction step, there are four cases  $\vee, \wedge$ , bounded existential quantifier and sharply bounded universal quantifier. We just treat one part of the last case. The others are easy.

Let  $\forall x \leq |t(\bar{a})| A(x, \bar{a})$  be a  $\Sigma_1^{b+}$   $L_\alpha$ -sentence. Let  $M_\alpha \models \forall x \leq |t(\bar{a})| A(x, \bar{a})$ . To prove  $M_\alpha \Vdash \forall x \leq |t(\bar{a})| A(x, \bar{a})$ , using the induction hypotheses, it is enough to show that this formula is satisfied in any node  $\beta$  above  $\alpha$ . But this can be easily verified by the assumption that the Kripke model is a weak end extension Kripke model.  $\square$

A Kripke model is  $S_2^1$ -normal if each of its worlds satisfies  $S_2^1$ .

**Theorem 1.5** Any  $S_2^1$ -normal weak end extension Kripke model forces  $IS_2^1$ .

**Proof** Using the definition of forcing, the proof is straightforward. However, we sketch the proof. Suppose  $\mathcal{K}$  is an  $S_2^1$ -normal weak end extension Kripke model and  $A(x, \bar{y}) \in \Sigma_1^{b+}$ . Let  $M_\alpha$  be a node in  $\mathcal{K}$  such that it forces the assumptions of an instance of *PIND* on a formula  $A(x, \bar{b})$ , where  $\bar{b} \in M_\alpha$ . We have to show that  $M_\alpha \Vdash A(c, \bar{b})$  for any  $c \in M_\alpha$ . Using the above lemma, it is easy to see that  $M_\alpha \models A(0, \bar{b}) \wedge \forall x (A(\perp_{\frac{x}{2}}, \bar{b}) \rightarrow A(x, \bar{b}))$ . Hence  $M_\alpha \models A(c, \bar{b})$  for any  $c \in M$ , since  $M_\alpha \models S_2^1$ . So,  $M_\alpha \Vdash A(c, \bar{b})$  for any  $c \in M$ .  $\square$

**Lemma 1.6** Suppose  $\mathcal{K}$  is a weak end extension *BASIC*-normal Kripke model. Then for any node  $\alpha$  in  $\mathcal{K}$  and any  $\Pi_1^{b+}$   $L_\alpha$ -sentence  $A$  we have,  $M_\alpha \Vdash A \leftrightarrow \neg\neg A$ .

**Proof** Induction on the complexity of formulas.  $\square$

**Theorem 1.7** Any reversely well founded *BASIC*-normal weak end extension Kripke model whose terminal nodes model  $S_2^1$  forces  $BASIC + \Pi_1^{b+}$ -PIND.

**Proof** It is known that  $S_2^1$  (classically) proves  $BASIC + \Pi_1^b$ -PIND. Now, to complete the proof use these two general facts about Kripke models: (i) forcing and satisfaction of any formula in terminal nodes are equivalent, (ii) for any node  $\alpha$  and formula  $\varphi$ ,  $\alpha \Vdash \neg\neg\varphi$  iff for each  $\beta \geq \alpha$  there exists  $\gamma \geq \beta$  such that  $\gamma \Vdash \varphi$ .  $\square$

**Proposition 1.8** The union of the worlds in any linear weak end extension Kripke model of  $BASIC + \Pi_1^{b+}$ -PIND satisfies  $BASIC + \Pi_1^{b+}$ -PIND.

**Proof** Let  $A$  be a formula in which each instance of  $\exists$  appears sharply bounded and  $\mathcal{K}$  be a linear weak end extension Kripke model of  $BASIC + \Pi_1^{b+}$ -PIND. One can use induction on the complexity of  $A$  to show that  $A$  is forced in  $\mathcal{K}$  if and only if the union of the worlds in  $\mathcal{K}$  satisfies  $A$ . Now, to prove the Proposition, it is enough to note that any instance of *PIND* on a  $\Pi_1^{b+}$  formula is of the mentioned form.  $\square$

## 2 NP-PIND versus coNP-PIND

In this section we use the basic results on Kripke models proved in Section 1 to

compare the intuitionistic theories based on various schemes of induction on  $NP$  and  $coNP$  formulas.

In the following theorem, we use [J1]. In [J1] and [J2], a model of the theory  $S_2^0$  (the classical theory axiomatized by  $BASIC$  plus  $PIND$  on sharply bounded formulas) was constructed to witness a famous independence result of G. Takeuti [T], i.e.  $S_2^0 \not\vdash \forall x \exists y (x = 0 \vee x = y + 1)$ . Takeuti proved this result by use of a proof-theoretic method.

**Theorem 2.1** The intuitionistic theory axiomatized by  $BASIC + \Pi_1^{b+}$ -PIND does not imply  $IS_2^1$ .

**Proof** If  $M \subseteq N$  are models of  $BASIC$  and  $N$  is a weak end extension of  $M$ ,  $M$  is said to be length-initial in  $N$  by [J1]. Also, in [J1], for a special model  $M \models S_2^1$  a substructure  $M' \subseteq M$  is constructed such that  $M'$  is length-initial in  $M$  and the modified (restricted) subtraction  $\dot{-}$  function is not provably total in  $M'$ . By Theorem 1.7, putting  $M$  above  $M'$  produces a Kripke model of  $BASIC + \Pi_1^{b+}$ -PIND. On the other hand, this Kripke model does not force  $IS_2^1$ . The reason is that  $S_2^1$  is  $\forall\Sigma_1^b$ -conservative over  $IS_2^1$  (see e.g., [A, Th. 3.17]) and so if the Kripke model forces  $IS_2^1$ , using forcing definition, its root would be a model of  $\forall x, y \exists z \leq x (x \dot{-} y = z)$ .  $\square$

In the theory  $IPV$  which is the natural conservative extension of  $IS_2^1$  to the language of  $PV$ , any  $\Sigma_1^{b+}$  formula is equivalent to an atomic formula (in the language of  $PV$ ) followed by a number of bounded existential quantifiers (see [CU]).

Below, an  $NP$  formula is such a formula and a  $coNP$  formula is a  $PV$ -atomic formula followed by a number of bounded universal quantifiers.  $\neg\neg NP$ -formulas are doubly negated  $NP$ -formulas. The theory  $IPV$  can be axiomatized by  $PV$  plus  $NP - PIND$ , see [B2].

In general, the negative translation of a formula is obtained by replacing any subformula of the form  $\psi \vee \eta$ , resp.  $\exists x \psi$ , by  $\neg(\neg\psi \wedge \neg\eta)$ , resp.  $\neg\forall x \neg\psi$  and inserting  $\neg\neg$  in front of all atomic sub-formulas, except  $\perp$ . If  $T \vdash_c \varphi$ , then the set of negative translations of the formulas in  $T$ , intuitionistically proves the negative translation of  $\varphi$ , i.e.  $\varphi^-$ , see [TD].

In the following, the notation  $\equiv_i$  between two sets of formulas is used to show that they have the same intuitionistic consequences. Also,  $\vdash_i$  denotes provability in intuitionistic (first-order) logic.

**Proposition 2.2** We have

- (i)  $PV + coNP - PIND \equiv_i PV + coNP - LIND$ ,
- (ii)  $PV + \neg\neg NP - PIND \equiv_i PV + \neg\neg NP - LIND$ .

**Proof** We just prove the case (i). The other can be proved similarly.

First observe that the two theories are classically equivalent (see [B4] and note that in the presence of  $PV$  one has access to all polynomial time functions). Now, to obtain

the desired intuitionistic equivalence, note that both intuitionistic theories are obviously closed under the negative translation.  $\square$

The replacement (bounded collection) axiom on a formula  $\varphi(x, y)$  is:

$$\forall x \leq |t| \exists y \leq s \varphi(x, y) \leftrightarrow \exists \omega \leq SqBd(s, t) \forall x \leq |t| (\beta(Sx, \omega) \leq s \wedge \varphi(x, \beta(Sx, \omega)))$$

where  $s$  and  $t$  are arbitrary terms and  $SqBd(s, t)$  is a term which, roughly speaking, estimates the size of the sequence  $(s, t)$ .

This axiom, which is called *BB*, enables us to interchange sharply bounded quantifiers with bounded quantifiers.

$S_2^1$  proves the above scheme for any  $\Sigma_1^b$ -formula  $\varphi$  (see [B1, Th. 2.7.14]).

**Theorem 2.3** We have

- (i)  $PV + \text{coNP} - IND \equiv_i PV + \neg\neg NP - IND$ .
- (ii)  $PV + \text{coNP} - LIND \equiv_i PV + \neg\neg NP - LIND$ .
- (iii)  $PV + \text{coNP} - PIND \equiv_i PV + \neg\neg NP - PIND$ .

**Proof** (i) We argue informally in  $PV + \text{coNP} - IND$  and prove  $PV + \neg\neg NP - IND$ . Let  $A(x, \bar{y})$  be atomic and assume:

- (a)  $\forall x (\neg\neg \exists \bar{y} \leq \bar{t}A(x, \bar{y}) \rightarrow \neg\neg \exists \bar{y} \leq \bar{t}A(x+1, \bar{y}))$  and
- (b)  $\neg \exists \bar{y} \leq \bar{t}A(a, \bar{y})$  for some (term)  $a$ .

Using (a) and (b), one obtains  $\text{coNP} - IND$  on the formula  $\forall z \leq a (x+z=a \rightarrow \forall \bar{y} \leq \bar{t} \neg A(z, \bar{y}))$  and so  $\forall x \forall z \leq a (x+z=a \rightarrow \forall \bar{y} \leq \bar{t} \neg A(z, \bar{y}))$ . Putting  $x = a$ , one gets  $\neg \exists \bar{y} \leq \bar{t}A(0, \bar{y})$ . What we have done is proved  $\neg \exists \bar{y} \leq \bar{t}A(0, \bar{y})$  from (a) and (b). So, indeed, we proved the instance of *IND* on the formula  $\neg \exists \bar{y} \leq \bar{t}A(x, \bar{y})$ .

Now we prove  $PV + \neg\neg NP - IND \vdash_i PV + \text{coNP} - IND$ . Let  $A(x, \bar{y})$  be atomic and assume:

- (a)  $\forall x (\forall \bar{y} \leq \bar{t}A(x, \bar{y}) \rightarrow \forall \bar{y} \leq \bar{t}A(x+1, \bar{y}))$  and
- (b)  $\neg \forall \bar{y} \leq \bar{t}A(a, \bar{y})$ .

Note that atomic formulas are decidable in *PV* extended to intuitionistic logic and so in this theory  $\neg \forall \bar{y} \leq \bar{t}A(a, \bar{y}) \equiv_i \neg \exists \bar{y} \leq \bar{t} \neg A(a, \bar{y})$ .

We want to prove the sentence  $\forall x C(x)$  where  $C(x)$  is the formula  $\forall z \leq |a| (x+z=a \rightarrow \neg \exists \bar{y} \leq \bar{t} \neg A(z, \bar{y}))$ . First observe that  $C(x)$  is equivalent in *IPV* to a doubly negated *NP* formula. For this it is enough to use the negative translation of  $\Sigma_1^b$ -replacement scheme which is provable in  $PV + \neg\neg NP - IND$ . The rest of the proof is similar to the former case.

(ii) A suitable version of the proof of (i) will work. To prove  $PV + \text{coNP} - LIND \vdash_i PV + \neg\neg NP - LIND$ , in assumption (b) consider the sentence  $\neg \exists \bar{y} \leq \bar{t}A(|a|, \bar{y})$  for some

(term)  $a$ , and also consider the formula  $\forall z \leq a(x + z = |a| \rightarrow \forall \bar{y} \leq \bar{t} \neg A(z, \bar{y}))$  as  $B(x)$ . To prove  $PV + \neg \neg NP - LIND \vdash_i PV + \text{coNP} - LIND$ , make similar changes.

(iii) This is an immediate consequence of Proposition 2.2 and part (ii).  $\square$

Recall that the theory  $CPV$  is the classical closure of  $IPV$  and  $PV_1$  is  $PV$  conservatively extended to first-order logic. It is known that, under the assumption  $CPV = PV_1$ , the polynomial hierarchy collapses, by a result of Krajicek, Pudlak and Takeuti (see [KPT]). Using the original construction, Buss, and independently Zambella, showed that if  $CPV = PV_1$ , then  $CPV$  proves a weaker form of the collapse (see [B5] and [Z]).

**Theorem 2.4** If each of the following cases occurs, then  $CPV = PV_1$ :

(i)  $IPV \vdash \text{coNP} - PIND$ .

(ii)  $PV + NP - LIND \vdash_i \text{coNP} - LIND$ .

**Proof** We just prove case (i). The other is proved similarly.

(i) Assume  $IPV \vdash \text{coNP} - PIND$ . Any  $\omega$ -chain of (classical) models of  $PV + NP - PIND$  ( $\equiv CPV$ ) can be considered as a Kripke model of  $IPV$  whose underlying accessibility relation has order type  $\omega$  (the proof is very similar to the one for Theorem 1.5. Also see [B2]). So, by a proof like the proof of Proposition 1.9, the union of the worlds in it should satisfy  $PV + \text{coNP} - IND$ . Hence, this union should satisfy  $PV + NP - PIND$  since  $PV + \text{coNP} - PIND \equiv_c PV + NP - PIND$ . This shows that  $CPV$  is an inductive theory. Hence, using the well-known characterization of the inductive theories (see e.g. [CK, Th. 3.2.3]),  $CPV$  should be  $\forall_2$ . So, using  $\forall_2$ -conservativity of  $CPV$  over  $PV_1$  (see [B1, Th. 5.3.6 and Coro. 6.4.8]), we get  $CPV \equiv PV_1$ .  $\square$

Note that, the notation  $\equiv_c$  above, is used to denote equivalence in classical logic. We have to use this notation when we do not have specific names for theories at hand. The same is true about  $\equiv_i$ .

Note that the above proof actually shows that  $IPV^+ \not\vdash \text{coNP} - PIND$  unless  $CPV = PV_1$ . The theory  $IPV^+$  which was introduced by Buss [B2] apparently is stronger than  $IPV$  and is sound and complete with respect to  $CPV$ -normal Kripke structures.

**Corollary 2.5**  $IS_2^1 \not\vdash \Pi_1^{b+} - PIND$ , unless the Polynomial Hierarchy collapses.

**Proof** Use conservativity of  $IPV$  over  $IS_2^1$  (see [CU, Theorem 2.4(i)]) and the above mentioned result in [KPT].  $\square$

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