

Shape Description via Persistent Homology

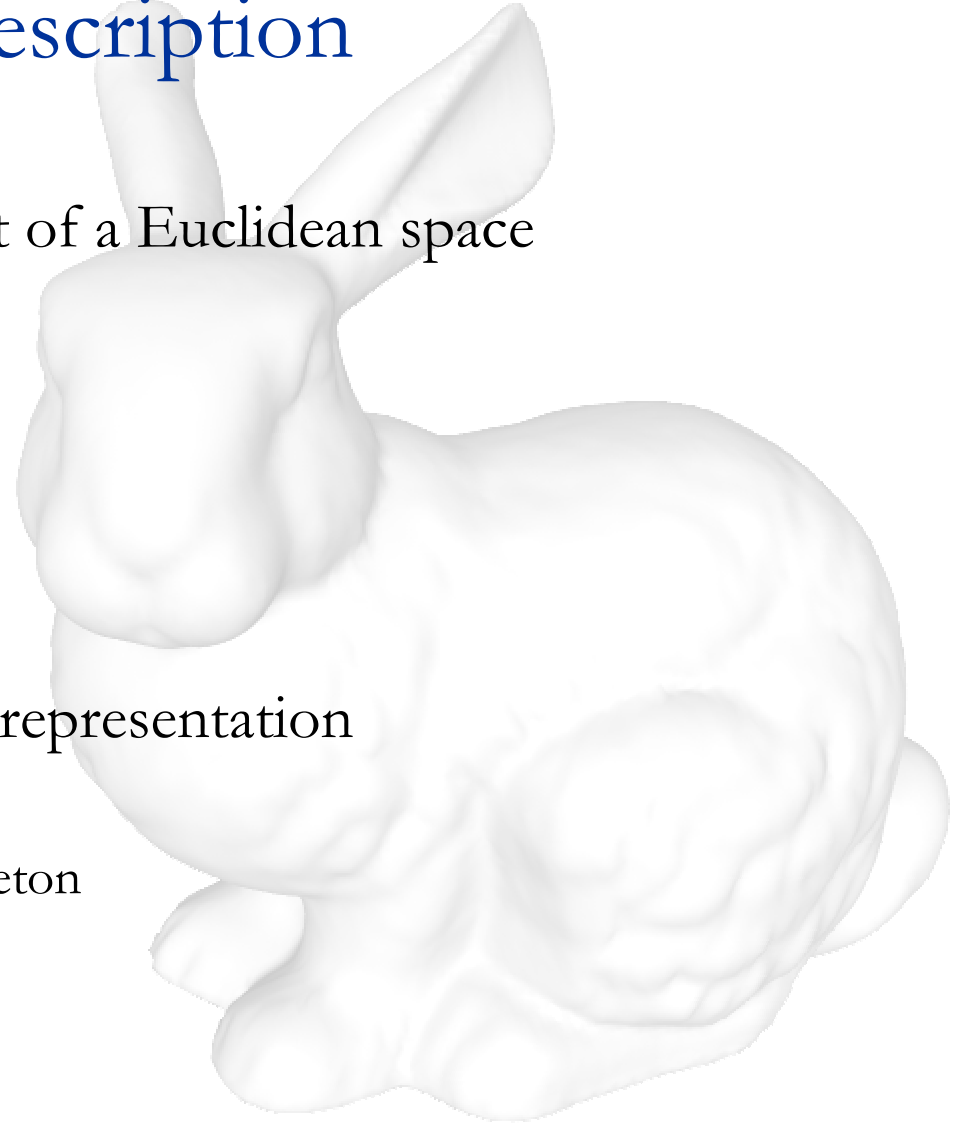
Afra Zomorodian

Department of Computer Science

Stanford University

Shape Description

- A **shape** is any closed subset of a Euclidean space
- Questions:
 - Feature identification
 - Matching
 - Classification
 - Parameterization of a family
- Shape **descriptor**: compact representation
 - Moment invariants
 - Medial axis transform or skeleton
 - Fourier parametrization
 - Shape distributions
 - Multiresolution Reeb graphs

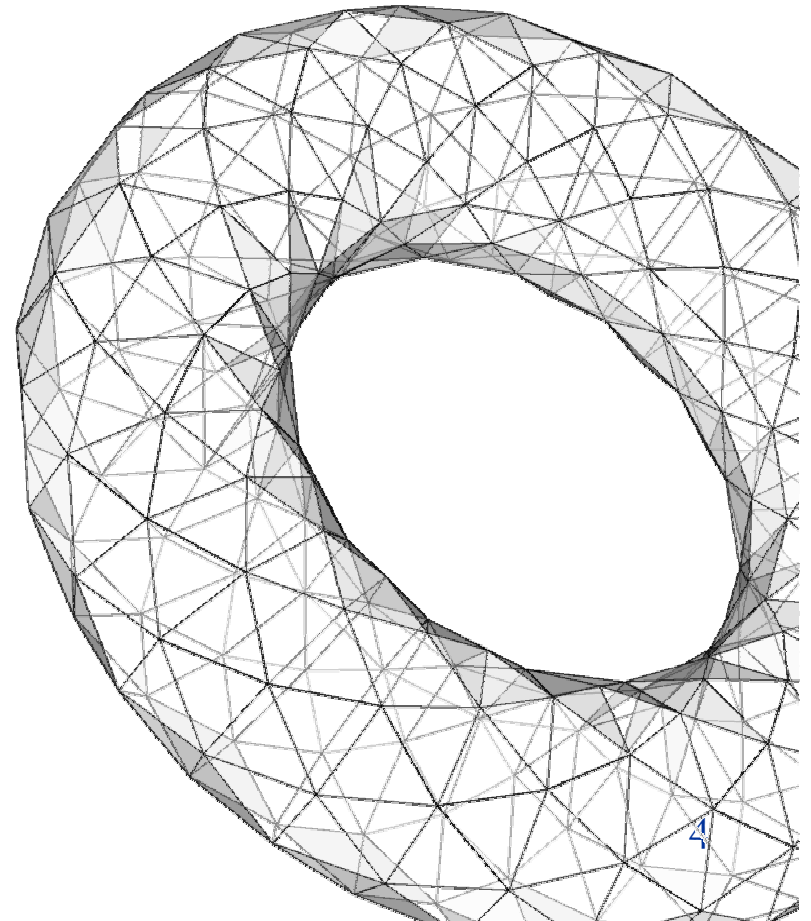


Invariants

- An **invariant** is a map that assigns the same object to shapes of the same *type*.
- Shape invariants (Felix Klein, Erlanger Programm, 1872)
 1. *Transform* space in a fixed way
 2. *Observe* properties that do not change
- Rigid motions: **Euclidean geometry**
- Smooth diffeomorphisms: **topology**

Geometry versus Topology

- Euclidean geometry
 - What does the shape look like?
 - Local
 - Quantitative
 - Low-level
- Topology
 - How is a shape connected?
 - Global
 - Qualitative
 - High-level



Homology

- An algebraic invariant that captures how shapes are **connected**
- For a space X , there is a group $H_k(X)$ in each dimension k
- The rank β_k of $H_k(X)$ is the k th Betti number
- The Betti numbers count topological attributes:
 - β_0 : # components
 - β_1 : # tunnels or loops
 - β_2 : # voids

F

$$\beta_1 = 0$$

A

$$\beta_1 = 1$$

B

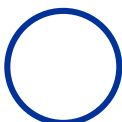

$$\beta_1 = 2$$

Coarse Invariant

- Cannot tell difference between a 'U' and a 'V'
- Sharp features

| | |
|---|---|
|  |  |
| $\beta_1 = 0$ | $\beta_1 = 0$ |

- Cannot tell difference between a circle and an ellipse
- Soft features

| | |
|--|--|
|  |  |
| $\beta_1 = 1$ | $\beta_1 = 1$ |

Approach

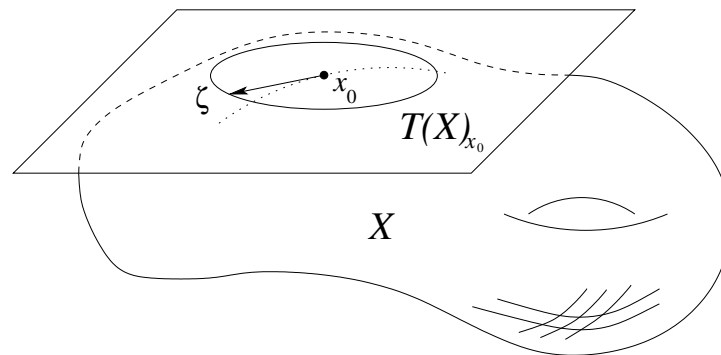
- Geometry: *differentiating* power
- Topology: *classifying* power
- Idea: combine the two
 - Apply topology to a *derived* space
 - Enrich derived space with geometric information
- Tools
 - Tangent Complex
 - Filtered by curvature
 - Persistent Homology
- Deliverables
 - Barcode shape descriptor
 - Metric over the space of barcodes

Overview

- Theory
 - Tangent Complex
 - Persistence
 - Filtered Tangent Complex
 - Mathematical Objects
- Practice
 - Point Cloud Data
 - Approximating the Tangent Complex
 - Shape Classification
 - Parameterization of Shapes

Tangent Complex

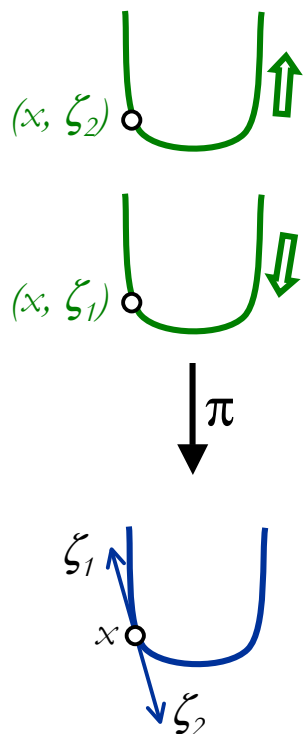
- Let $X \subseteq \mathbb{R}^n$ be a space.
- We pair a **tangent** ζ at a point $x \in X$ to get $T^0(X) \subseteq X \times \mathbb{S}^{n-1}$



$$T^0(X) = \left\{ (x, \zeta) \mid \lim_{t \rightarrow 0} \frac{d(x + t\zeta, X)}{t} = 0 \right\}$$

- The **tangent complex** $T(X)$ of X is the closure of T^0
- The **projection** $\pi : T(X) \rightarrow X$ projects (x, ζ) to its **basepoint** x
- Basepoint $x \in X$ has **fiber** $T(X)_x = \pi^{-1}(x) \in \mathbb{S}^{n-1}$

Curve Example

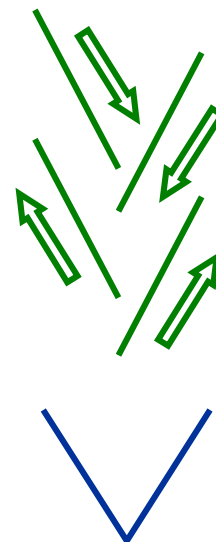


$T(X)$ has **two** components:
 $\beta_0(T(X)) = 2$

There are **two** points in its fiber $\pi^{-1}(x)$ in the tangent complex $T(X)$

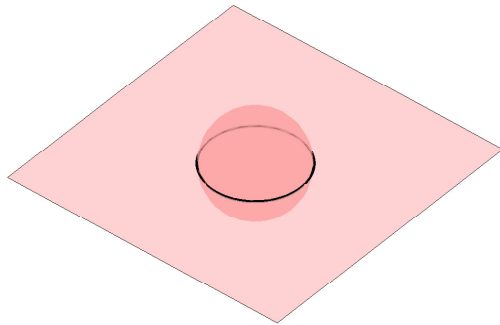
Every point x on a smooth curve X has **two** tangent directions.

A corner point has four tangent directions: $\beta_0(T(X)) = 4$

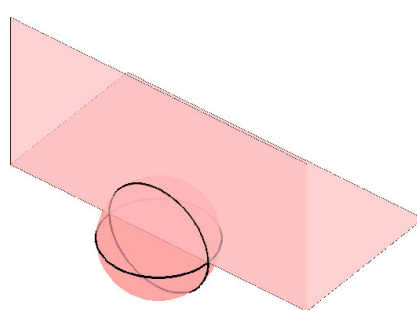


Surface Fibers

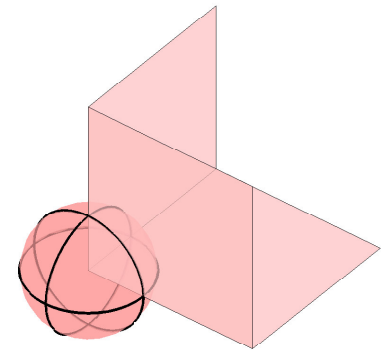
- The fiber for a point on a surface is a set of circles that intersect pairwise



Smooth: 1 circle
or \mathbb{S}^1

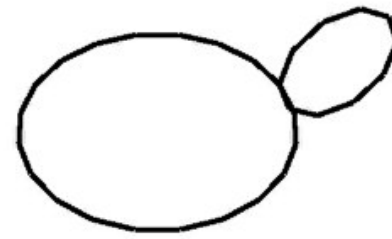
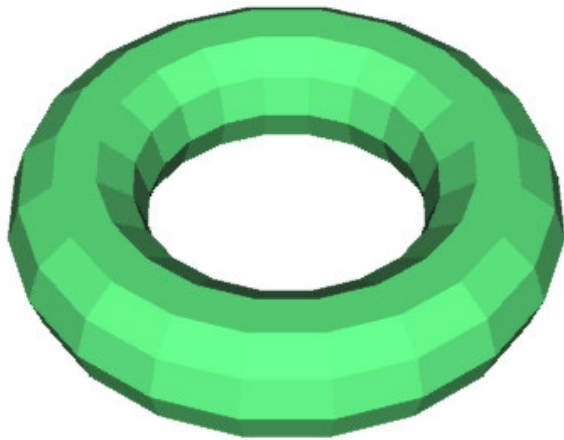


Edge: 2 circles
or $(\mathbb{S}^1)^3$

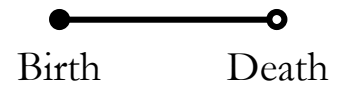


Cone: 3 circle
or $(\mathbb{S}^1)^7$

Persistence



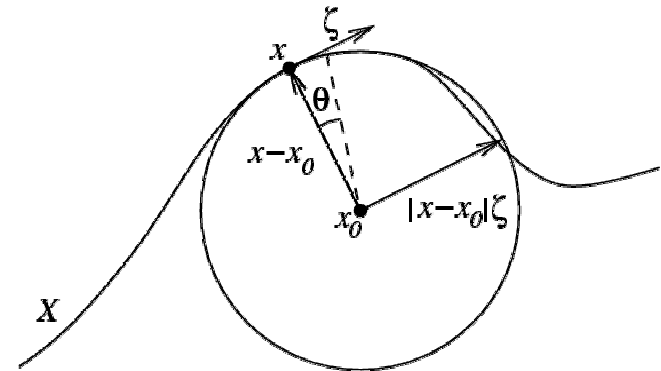
- As the space evolves, topological attributes are **created** and **destroyed**, each having a **lifetime** of existence.
- **Persistent Homology** gives us these lifetimes as a collection of intervals, a **persistence barcode**.



Second Order Contact

- We incrementally construct the tangent complex by looking at the **curvature** at each point.

- $(x, \zeta) \in T^0(X)$
has a circle of **second order contact**
if $\exists x_0 \in \mathbb{R}^n$ such that
 $(x - x_0) \cdot \zeta = 0$ and



$$\lim_{\theta \rightarrow 0} \frac{d(x_0 + \cos \theta \cdot (x - x_0) + \sin \theta \cdot |x - x_0| \cdot \zeta, X)}{\theta^2} = 0.$$

Filtered Tangent Complex

- Define $\rho(x, \zeta) = |x - x_0|$ to be the radius the circle of second order contact, if it exists
- Let $T_\delta^0(X)$ be points $(x, \zeta) \in T^0(X)$ that have circle of second order contact with $1/\rho(x, \zeta) \leq \delta$.
- Let $T_\delta(X)$ be the closure of $T_\delta^0(X)$.
- The filtered tangent complex $T^{filt}(X)$ is the family $\{T_\delta(X)\}_{\delta \geq 0}$.
- The tame tangent complex $T^{tame}(X)$ is $\bigcup_\delta T_\delta(X)$.

Differentiating a Circle from an Ellipse

$T^{filt}(\text{circle of radius } R)$ is simple:
the entire complex (2 copies of circle)
appears when $\delta = 1/R$.

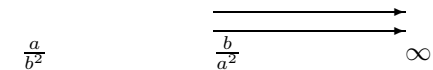
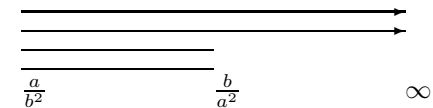
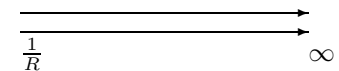
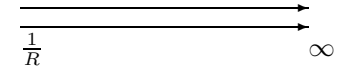
$T^{filt}(\text{ellipse})$ evolves through a stage
with four components: points at
lower curvature appear earlier.

$$\beta_0 = 0$$

$$\beta_1 = 0$$

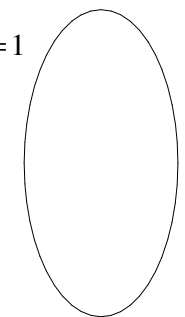
$$\beta_0 = 0$$

$$\beta_1 = 0$$

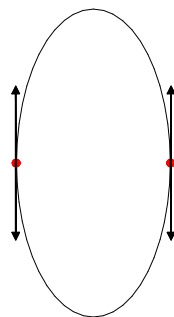


Persistence Barcodes

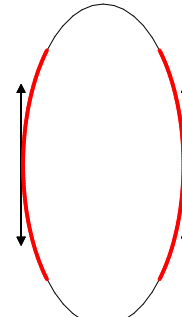
$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$$



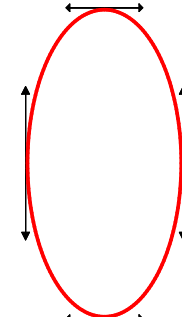
$$0 \leq \delta < \frac{a}{b^2}$$



$$\delta = \frac{a}{b^2}$$



$$\frac{a}{b^2} < \delta < \frac{b}{a^2}$$



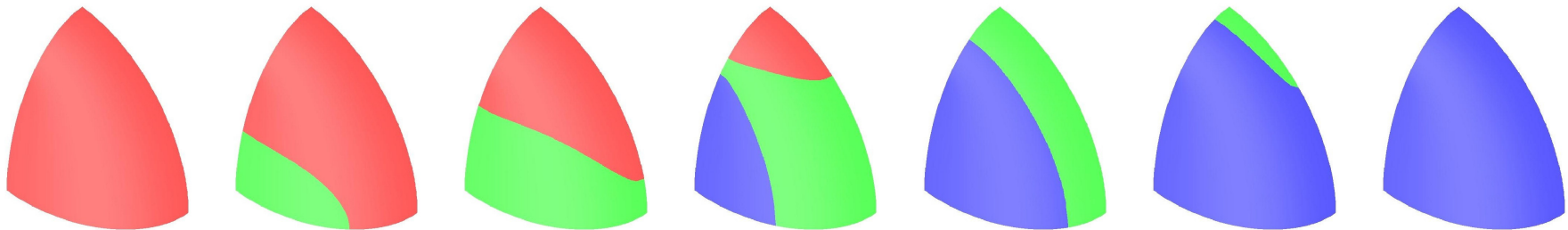
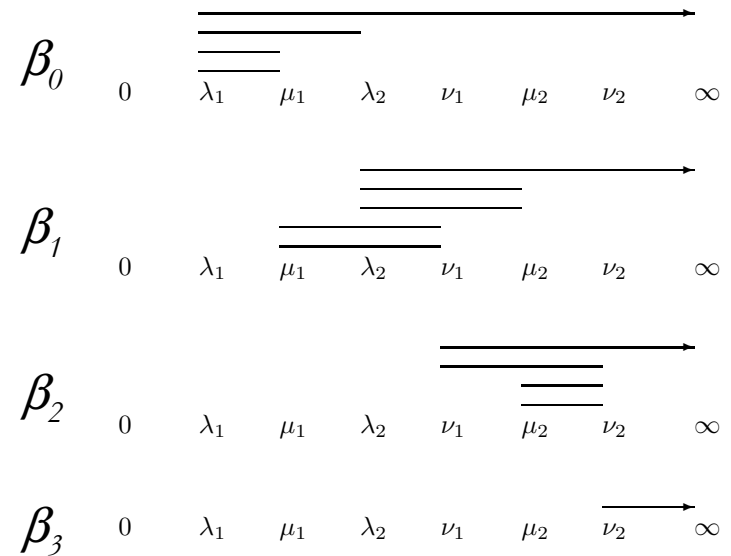
$$\delta \geq \frac{b}{a^2}$$

Describing an Ellipsoid

- The evolution of T^{filt} depends upon the ordering of six critical values: the **principal curvatures** κ_1 and κ_2 at the ellipsoid extrema

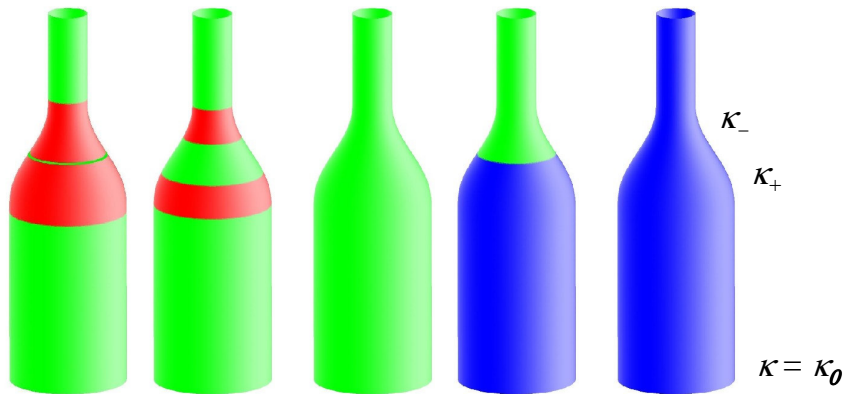
- We show the case $a^2c < b^3 < ac^2$ for ellipsoid

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1.$$



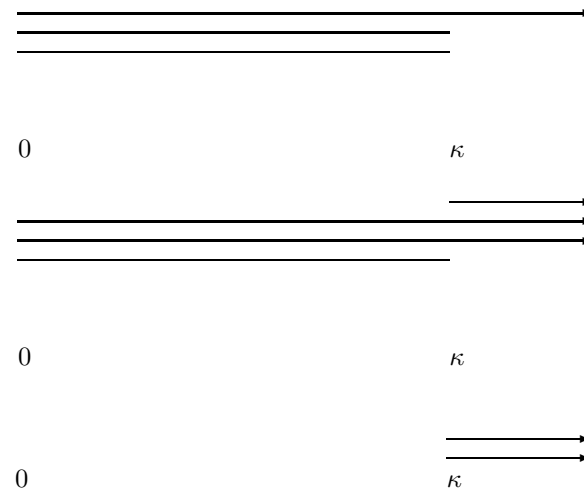
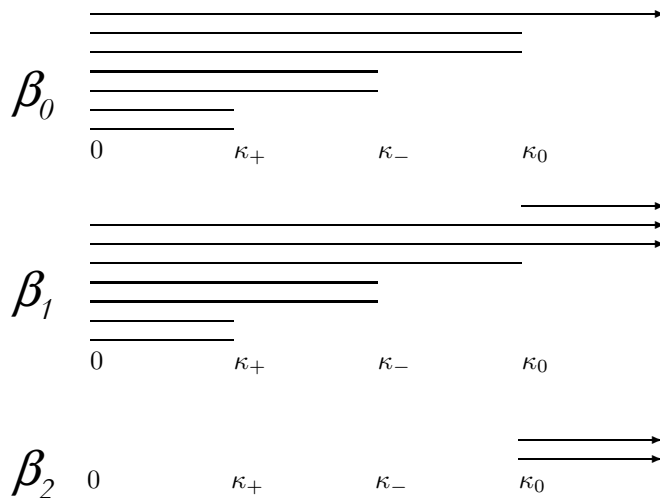
(empty, two arcs, circle)

Differentiating a Bottle from a Glass



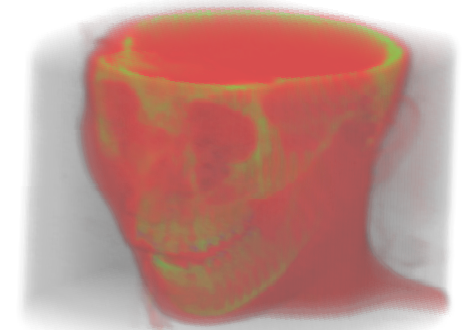
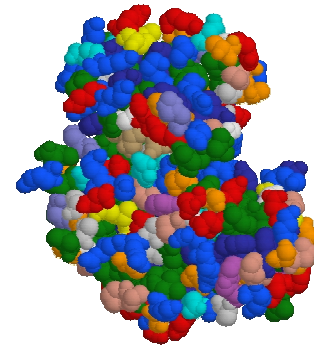
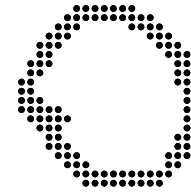
(empty, two arcs, circle)

- We model the glass as the bottom of the bottle.
- The evolution of T^{filt} depends on neck curvatures κ_+ , κ_- and cross-sectional curvature $\kappa = \kappa_0$ at the bottom.

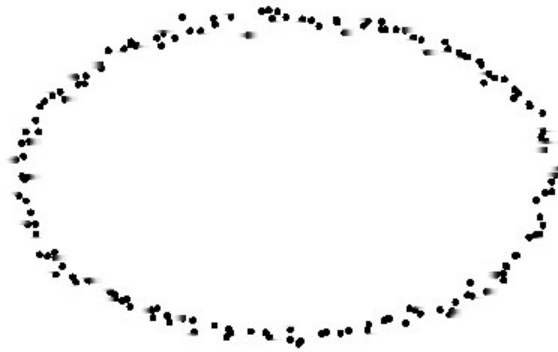


Point Cloud Data

- Nearly all shape data
 - 2D: images
 - 3D: surface data, molecules
 - n D: shape spaces
- Traditional approach: construct useful structure
 - Vision: OCR
 - Graphics: surface reconstruction
 - CAD: conversion to B-spline surfaces
- Recent approach: first class object
 - Shape representation
 - Rendering
 - Modeling

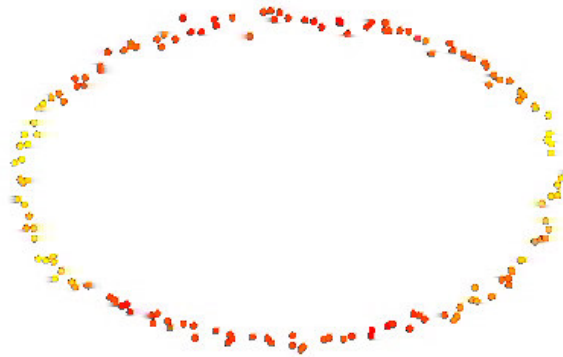


Fibers



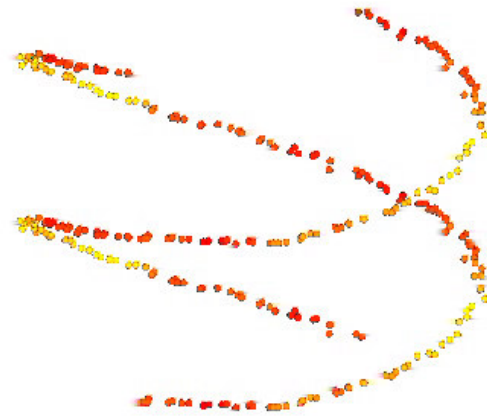
- PCD $P \subset X$
- We compute fibers $\pi^{-1}(P)$ by Total Least Squares using k-nearest neighbors

Filtering by Curvature



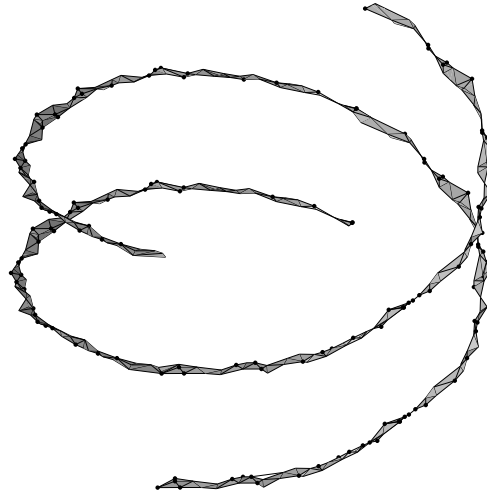
- Transform points to coordinate frame provided by tangent computation
- Fit osculating parabola instead of osculating circle

Approximating $T(X)$



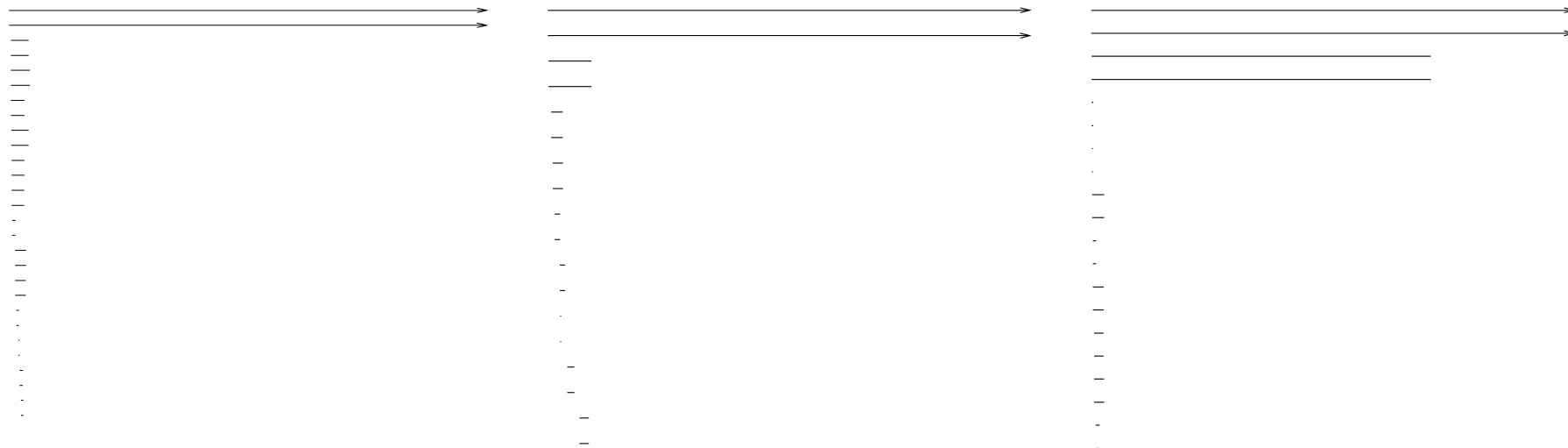
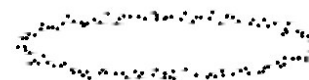
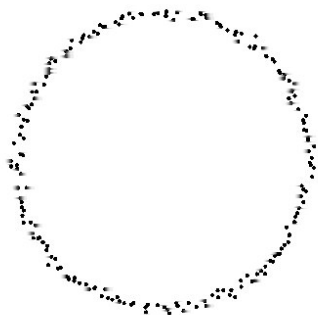
- $\mathbb{R}^n \times \mathbb{S}^{n-1}$ as $d\mathcal{S}^2 = d\mathbf{x}^2 + \omega^2 d\boldsymbol{\zeta}^2$
- $d^2(\boldsymbol{\tau}, \boldsymbol{\tau}') = \sum_{i=1}^n (\mathbf{x}_i - \mathbf{x}'_i)^2 + \omega^2 \sum_{i=1}^n (\boldsymbol{\zeta}_i - \boldsymbol{\zeta}'_i)^2$
- $T(X) \approx \bigcup_{p \in \pi^{-1}(P)} B_\varepsilon(p)$

Complex



- Rips complex
- $R_{\epsilon}(M) = \{\text{conv } T \mid T \subseteq M, d(s, t) \leq \epsilon, s, t \in T\}$

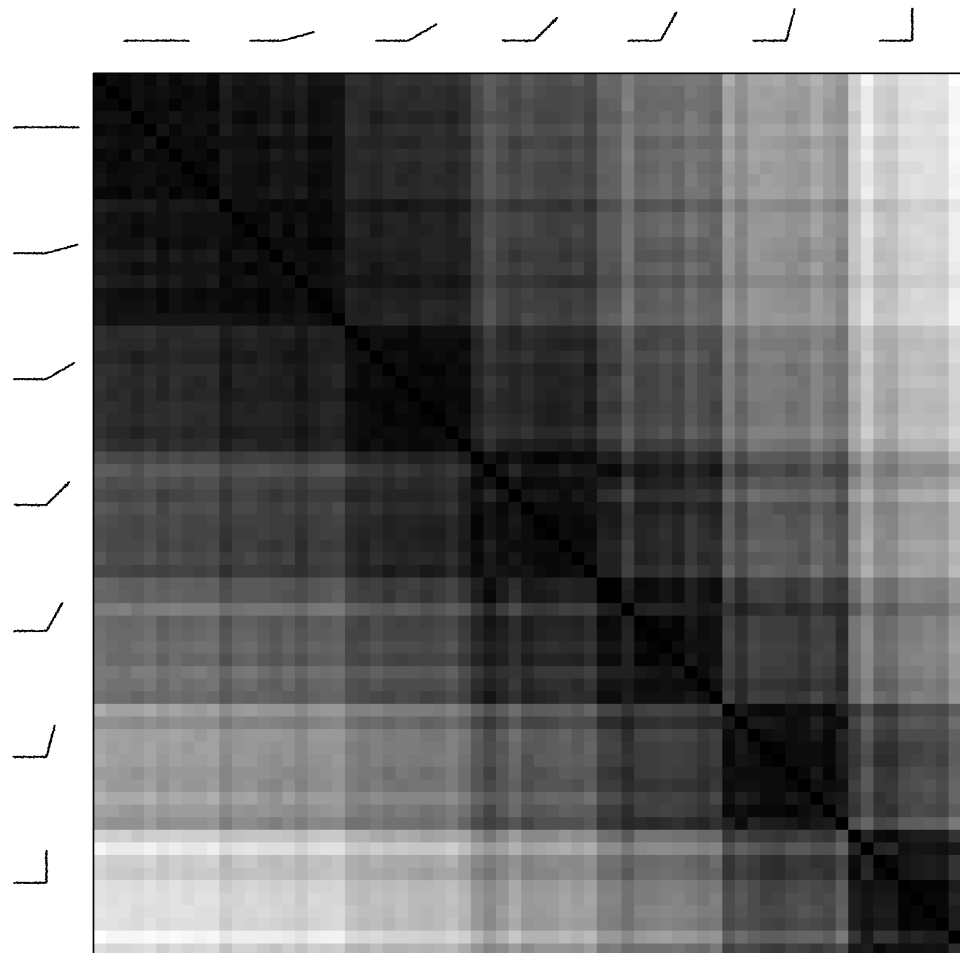
Family of Ellipses



Barcode Metric

- Let I, J be any two intervals in a barcode.
- We define their dissimilarity $\delta(I, J) = |I \cup J - I \cap J|$
- Given a pair of barcodes B_1 and B_2
- A **matching** is a set $M(B_1, B_2) \subseteq B_1 \times B_2$, so that any interval in B_1 or B_2 occurs in at most one pair (I, J)
- Let M_1, M_2 be the matched intervals from B_1, B_2 and N be the unmatched intervals in the matching
- Let $d_M(B_1, B_2) = \sum_{(I, J) \in M} \delta(I, J) + \sum_{L \in N} \{|L|\}$
- Metric: $D(B_1, B_2) = \min_M d_M(B_1, B_2)$

Articulated Arm



Letter Classification



Conclusion

- Apply persistent homology to geometry-rich derived space to get a compact shape descriptor called barcode
- Provide a metric over the space of all barcodes
 - Comparison
 - Matching
 - Classification
- Compute tangent complexes for Curve Point Cloud Data
- Future Work:
 - Surface PCD
 - Complexes with lower intrinsic dimension