

# Prime Submodules and Spectral Spaces

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# INTRODUCTION

- We establish conditions for the prime spectrum of an  $R$ -module  $M$  to be **Noetherian** and **spectral space**, with respect to the different topologies.
- Another main subject of this paper is presentation of conditions under which a module is **top**.
- We present some results about **minimal prime** submodules of certain modules.

**Throughout this paper,  $R$  is a commutative ring with identity and all  $R$ -modules are unitary.**

# PRELIMINARIES

Let  $M$  be an  $R$ -module.

- A submodule  $N$  of an  $R$ -module  $M$  is said to be **prime** if  $N \neq M$  and whenever  $rm \in N$  (where  $r \in R$  and  $m \in M$ ), then  $r \in (N :_R M)$  or  $m \in N$  (see [Lu84]).
- The set of all prime submodules of  $M$  is called the **prime spectrum** of  $M$  and denoted by  $\text{Spec}(M)$ . **Throughout this paper  $X$  denotes the prime spectrum  $\text{Spec}(M)$  of  $M$ .**
- Every maximal submodule of  $M$  is prime. The set of all maximal submodules of  $M$  is denoted by  $\text{Max}(M)$ .

- For any submodule  $N$  of  $M$  we define

$$V(N) = \{P \in X \mid (P : M) \supseteq (N : M)\}$$

and

$$V^*(N) = \{P \in X \mid P \supseteq N\}.$$

Set

$$Z(M) = \{V(N) \mid N \leq M\}$$

and

$$Z^*(M) = \{V^*(N) \mid N \leq M\}.$$

Then the elements of the set  $Z(M)$  satisfy the axioms for closed sets in a topological space  $X$  (see [Lu99]). The resulting topology due to  $Z(M)$  is called the **Zariski topology relative to  $M$**  and denoted by  $\tau$ .

There is another topology,  $\tau^*$  say, on  $X$  due to  $Z^*(M)$  as the collection of all closed sets **if and only if**  $Z^*(M)$  is closed under finite union. When this is the case, we call the topology  $\tau^*$  the **quasi-Zariski topology** on  $\text{Spec}(M)$  and  $M$  is called a **top** module (see [MMS97]).

- A topological space  $Y$  is said to be **Noetherian** if the open subsets of  $Y$  satisfy the ascending chain condition.
- A topological space  $Y$  is said to be **irreducible** if  $Y \neq \emptyset$  and if every pair of non-empty open sets in  $Y$  intersect.
- Let  $Y$  be a closed subset of a topological space. An element  $y \in Y$  is called a **generic point** of  $Y$  if  $Y = Cl(\{y\})$ .

- Following M. Hochster [Hoc69], we say that a topological space  $Y$  is a **spectral space** in the case where  $Y$  is homeomorphic to  $\text{Spec}(S)$ , with the Zariski topology, for some ring  $S$ .
- Spectral spaces have been characterized by Hochster [Hoc69, Proposition 4] as the topological spaces  $Y$  which satisfy the following conditions:
  1.  $Y$  is a  $T_0$ -space<sup>a</sup>;
  2.  $Y$  is quasi-compact<sup>b</sup>;
  3. the quasi-compact open subsets of  $Y$  are closed under finite intersections and form an open base<sup>c</sup>;
  4. each irreducible closed subset of  $Y$  has a generic point.

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<sup>a</sup>A topological space is  $T_0$  if and only if the closures of distinct points are distinct.

<sup>b</sup>A topological space  $Y$  is *quasi-compact* if every collection of open subsets whose union is  $Y$  contains a finite subcollection whose union is  $Y$ .

<sup>c</sup> Let  $(Y, \gamma)$  be a topological space. Then a *base* for the topology  $\gamma$  is a collection  $B$  of subsets of  $Y$  such that  $B \subseteq \gamma$  and for all  $U \subseteq \gamma$ ,  $U$  is the union of some collection of sets taken from  $B$ .



# MAIN RESULTS

# Definition

Let  $M$  be an  $R$ -module.  $M$  is called **strongly top** if for every submodule  $N$  of  $M$  there exists an ideal  $I$  of  $R$  such that  $V^*(N) = V^*(IM)$ .

- Every multiplication<sup>a</sup> module is a strongly top module.
- Every strongly top  $R$ -module is a top module.
- It is not true that every top module is strongly top, for instance, the  $\mathbb{Z}$ -module  $\mathbb{Q} \oplus \mathbb{Z}_p$ , ( $p$  is a prime integer), is a top module which is not strongly top.

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<sup>a</sup>An  $R$ -module  $M$  is said to be a *multiplication* module (see [Bar81] and [EBS88]) if every submodule  $N$  of  $M$  is of the form  $IM$  for some ideal  $I$  of  $R$ .

## Remark

- An  $R$ -module  $M$  is called **primeful** if either  $M = (\mathbf{0})$  or  $M \neq (\mathbf{0})$  and the map  $\psi : \text{Spec}(M) \rightarrow \text{Spec}\left(\frac{R}{\text{Ann}(M)}\right)$  defined by  $\psi(L) = (L : M)/\text{Ann}(M)$  for every  $L \in \text{Spec}(M)$  be a surjective map (see [Lu07]). (e.g. finitely generated or faithfully flat modules.)
- Let  $M$  be an  $R$ -module. For every  $x \in M$ , we define  $c(x) := \bigcap \{I \mid I \text{ is an ideal of } R \text{ and } x \in IM\}$ . A module  $M$  is called a **content**  $R$ -module if, for every  $x \in M$ ,  $x \in c(x)M$  (see [OR72]). (e.g. projective or faithful multiplication modules.)
- $\text{rad}(\mathbf{0}) = \bigcap_{P \in \text{Spec}(M)} P$ .

# Theorem

Suppose that  $M$  is an  $R$ -module.

1. Let  $M$  be strongly top. If either  $M$  is primeful or  $R$  is Noetherian, then  $(X, \tau^*)$  is a **spectral space**. (This generalizes [ATOS10b, Theorem 4.9].)
2. Let  $R$  be a one-dimensional integral domain and let  $M$  be a content  $R$ -module such that  $T(M) \subseteq \text{rad}(\mathbf{0})$  and  $(X, \tau)$  is a  $T_0$ -space. Then  $M$  is **top**. Moreover, if  $\text{Spec}(R)$  is Noetherian, then  $(X, \tau^*)$  is **spectral**.<sup>a</sup>
3. If  $M$  is content and weak multiplication<sup>b</sup>, then  $M$  is **top**. Moreover, if  $\text{Spec}(R)$  is Noetherian, then  $(X, \tau^*)$  is **spectral**.

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<sup>a</sup>Here  $T(M)$  is the torsion submodule of  $M$ .

<sup>b</sup>an  $R$ -module  $M$  is called a *weak multiplication* if every prime submodule  $P$  of  $M$  is of the form  $IM$  for some ideal  $I$  of  $R$  (see [AS95] and [Azi03]).

# Theorem

Suppose that  $M$  is an  $R$ -module.

1. Let  $R$  be a one-dimensional integral domain and let  $M$  be an  $R$ -module such that  $T(M) \subseteq \text{rad}(\mathbf{0})$ . If  $(X, \tau)$  is a  $T_0$ -space and the intersection of every infinite number of maximal submodules of  $M$  is zero, then  $M$  is **top** and  $(X, \tau^*)$  is a **spectral space**.

(This is a generalization of [ATOS10b, Theorem 4.11(d)].)

2. Let  $R$  be a one-dimensional integral domain with Noetherian spectrum and let  $M$  be a non-faithful top  $R$ -module. Then  $(X, \tau^*)$  is a **spectral space**.

# Theorem

Suppose that  $M$  is an  $R$ -module.

1. If  $M$  is distributive<sup>a</sup>, then  $M$  is **top**.
2. If  $R$  is a one-dimensional integral domain with Noetherian spectrum,  $T(M) \subseteq \text{rad}(\mathbf{0})$  and  $(X, \tau)$  is a  $T_0$ -space, then  $M$  is **top**.
3. If  $M$  is weak multiplication and  $R$  is a one-dimensional integral domain with Noetherian spectrum, then  $M$  is **top**. (This is a generalization of [ATOS10b, Theorem 3.18].)

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<sup>a</sup>An  $R$ -module  $M$  is called *distributive* if the lattice of its submodules is distributive, i.e.,  $A \cap (B + C) = (A \cap B) + (A \cap C)$  and  $A + (B \cap C) = (A + B) \cap (A + C)$  for all submodules  $A, B$  and  $C$  of  $M$  (see [Bar81]).

The next example shows that there is a  $\mathbb{Z}$ -module  $M$  such that  $(X, \tau)$  is  $T_0$  and  $T(M) \subseteq \text{rad}(\mathbf{0})$ , but  $M$  is not weak multiplication.

## Example

Consider the  $\mathbb{Z}$ -module  $M = \mathbb{Z}(p^\infty) \oplus \mathbb{Z}$ . For every prime ideal  $\mathfrak{p} \in \text{Spec}(\mathbb{Z})$  we have  $|\text{Spec}_{\mathfrak{p}}(M)| \leq 1$  and  $T(M) = \text{rad}(\mathbf{0})$ . So, by the above Theorem,  $M$  is a top module. We note that  $M$  is **not** weak multiplication.

# Corollary

The  $R$ -module  $M$  is **top** in each of the following cases:

1.  $R$  is a Dedekind domain and  $M$  is weak multiplication;
2.  $R$  is a one-dimensional integral domain with Noetherian spectrum and  $\text{Spec}(M) = \text{Max}(M)$ ;
3.  $M$  is content and  $\text{Spec}(M) = \text{Max}(M)$ ;



## Corollary

Let  $M$  be an  $R$ -module. Then  $(X, \tau^*)$  is a **spectral space** in each of the following cases:

1.  $R$  has Noetherian spectrum and  $M$  is multiplication;
2.  $M$  is content,  $\text{Spec}(M) = \text{Max}(M)$  and  $R$  is Noetherian;

## Proposition

Let  $M$  be a top  $R$ -module such that  $(X, \tau^*)$  is a Noetherian space. Then  $M$  has only finitely many **minimal prime submodules**.

# Corollary

In each of the following cases, the  $R$ -module  $M$  has only finitely many **minimal prime submodules**.

1.  $M$  is strongly top and  $R$  is Noetherian;
2.  $R$  is a one-dimensional integral domain with Noetherian spectrum and  $M$  is a content  $R$ -module such that  $T(M) \subseteq \text{rad}(\mathbf{0})$  and  $(X, \tau)$  is a  $T_0$ -space;
3.  $M$  is content and weak multiplication and  $\text{Spec}(R)$  is Noetherian;
4.  $R$  is a one-dimensional integral domain and  $M$  is an  $R$ -module such that  $T(M) \subseteq \text{rad}(\mathbf{0})$  and  $(X, \tau)$  is a  $T_0$ -space, and the intersection of every infinite number of maximal submodules of  $M$  is zero;

In the sequel, we present conditions under which  $(X, \tau)$  is a spectral space.

## Proposition

Let  $M$  be an  $R$ -module. Then  $(X, \tau)$  is a **Noetherian** topological space in each of the following cases:

1.  $R$  satisfies *ACC* on radical ideals;
2.  $M$  satisfies *ACC* on radical submodules.

## Remark

It is shown in [Lu10, Theorem 3.3], whenever  $M$  is a **primeful**  $R$ -module and  $\text{Spec}(R/\text{Ann}(M))$  is a Noetherian topological space, then  $(X, \tau)$  is a Noetherian topological space. We generalize this result in the next corollary.

# Corollary

Let  $M$  be an  $R$ -module. Then  $(X, \tau)$  is a **Noetherian** topological space in each of the following cases:

1.  $\text{Spec}(R)$  is a Noetherian topological space;
2.  $R$  is a Laskerian ring;
3.  $M$  is an Artinian  $R$ -module;
4. For every submodule  $N$  of  $M$  there exists a finitely generated submodule  $L$  of  $N$  such that  $\text{rad}(N) = \text{rad}(L)$ .

## Remark

Some examples of non-Noetherian and non-primeful modules with Noetherian spectrum were introduced in [Lu10, Example 3.3].

For example, it is shown that the primeful  $\mathbb{Z}$ -module  $M = \prod_{p \in \Omega} \mathbb{Z}/p\mathbb{Z}$ , where  $\Omega$  is the set of all prime integers  $p$ , the non-primeful and non-Noetherian  $\mathbb{Z}$ -modules  $M = \bigoplus_{p \in \Omega} \mathbb{Z}/p\mathbb{Z}$  and  $\mathbb{Q}$  have Noetherian spectrum.

**But, by the above Corollary, these  $\mathbb{Z}$ -modules have Noetherian spectrum. So, we can make plentiful examples of modules  $M$  such that  $(X, \tau)$  is a Noetherian topological space without  $M$  being either primeful or Noetherian.**

## Remark

There are several examples of modules with Noetherian spectrum in [ATOS10a, Table of Examples 3.2] which the Noetherianness of its spectrum is **trivial** by the above Corollary.



# Theorem

Let  $M$  be an  $R$ -module.

1. Assume that  $R$  is a ring with Noetherian spectrum and  $M$  is **flat**. Then  $(X, \tau)$  is a **spectral space** if and only if it is a  $T_0$ -space.
2. If  $R$  is an integral domain with Noetherian spectrum and  $M$  is **torsion-free distributive**, then  $(X, \tau)$  is a **spectral space**.
3. If  $R$  is a Dedekind domain and  $M$  is **torsion-free weak multiplication**, then  $(X, \tau)$  is a **spectral space**.

# Theorem

Let  $M$  be an  $R$ -module.

1. If  $R$  is a one-dimensional integral domain,  $M$  has at least one  $(0)$ -prime submodule and  $(X, \tau)$  is a Noetherian space, then  $(X, \tau)$  is a **spectral space** if and only if it is a  $T_0$ -space.
2. Let  $M$  be a **primeful**  $R$ -module. Then  $(X, \tau)$  is a **spectral space** in each of the following cases:
  - (a)  $M$  is strongly top;
  - (b)  $M$  is distributive.

## Example

Consider the non-torsion top  $\mathbb{Z}$ -module

$$M = \mathbb{Q} \oplus \left( \bigoplus_p \frac{\mathbb{Z}}{p\mathbb{Z}} \right).$$

$M$  has one  $(0)$ -prime submodule,  $T(M)$ . The topological space  $(X, \tau)$  is Noetherian (since  $\mathbb{Z}$  is Noetherian) and  $T_0$ . Consequently,  $(X, \tau)$  is a **spectral space** by the last theorem.

THANK YOU FOR YOUR  
ATTENTION

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