

# Suitable chains of semidualizing modules

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## Semidualizing modules

Throughout  $(R, \mathfrak{m}, k)$  is a commutative Noetherian local ring.

### Definition

An  $R$ -module  $C$  is called *semidualizing*, if

- $C$  is finite (i.e. finitely generated)
- The natural homothety map  $\chi_C^R : R \rightarrow \text{Hom}_R(C, C)$  is an isomorphism
- For all  $i > 0$ ,  $\text{Ext}_R^i(C, C) = 0$

### Example

Examples of semidualizing modules include

- $R$
- The dualizing module of  $R$  if it exists (dualizing module is a semidualizing module with finite injective dimension).

# Semidualizing modules

Throughout  $C$  assumed to be a semidualizing  $R$ -module.

## *Basic properties*

- $\text{Ann}_R(C) = 0$  and  $\text{Supp}_R(C) = \text{Spec}(R)$ .
- $\dim_R(C) = \dim(R)$  and  $\text{Ass}_R(C) = \text{Ass}_R(R)$ .
- If  $R$  is local, then  $\text{depth}_R(C) = \text{depth}(R)$ .

If  $R$  is Gorenstein and local, then  $R$  is the only semidualizing  $R$ -module. Conversely, if the dualizing  $R$ -module is just the only semidualizing  $R$ -module, then  $R$  is Gorenstein.

# Totally $C$ -reflexive modules

## Definition

A finite  $R$ -module  $M$  is *totally  $C$ -reflexive* when it satisfies the following conditions.

- The natural homomorphism  $\delta_M^C : M \rightarrow \text{Hom}_R(\text{Hom}_R(M, C), C)$  is an isomorphism.
- For all  $i > 0$ ,  $\text{Ext}_R^i(M, C) = 0 = \text{Ext}_R^i(\text{Hom}_R(M, C), C)$ .
- Every finite projective  $R$ -module is totally  $C$ -reflexive.

## The set $\mathfrak{G}_0(R)$

The set of all isomorphism classes of semidualizing  $R$ -modules is denoted by  $\mathfrak{G}_0(R)$ , and the isomorphism class of a semidualizing  $R$ -module  $C$  is denoted  $[C]$ .

- Write  $[C] \trianglelefteq [B]$  when  $B$  is totally  $C$ -reflexive.
- Write  $[C] \triangleleft [B]$  when  $[C] \trianglelefteq [B]$  and  $[C] \neq [B]$ .
- If  $[C] \trianglelefteq [B]$ , then
  - (1)  $\text{Hom}_R(B, C)$  is a semidualizing, and
  - (2)  $[C] \trianglelefteq [\text{Hom}_R(B, C)]$ .

### Chain in $\mathfrak{G}_0(R)$

A **chain** in  $\mathfrak{G}_0(R)$  is a sequence  $[C_n] \trianglelefteq \cdots \trianglelefteq [C_1] \trianglelefteq [C_0]$ , and such a chain has length  $n$  if  $[C_i] \neq [C_{i-1}]$  whenever  $1 \leq i \leq n$ .

Chain in  $\mathfrak{G}_0(R)$ 

Assume that  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  is a chain in  $\mathfrak{G}_0(R)$ .

- For each  $i \in [n] = \{1, \dots, n\}$  set  $B_i = \text{Hom}_R(C_{i-1}, C_i)$ .
- For each sequence of integers  $\mathbf{i} = \{i_1, \dots, i_j\}$  with  $j \geq 1$  and  $1 \leq i_1 < \cdots < i_j \leq n$ , set  $B_{\mathbf{i}} = B_{i_1} \otimes_R \cdots \otimes_R B_{i_j}$ .  
(  $B_{\{i_1\}} = B_{i_1}$  and set  $B_{\emptyset} = C_0$ .)

Chain in  $\mathfrak{G}_0(R)$ 

For a semidualizing  $R$ -module  $C$ , set  $(-)^{\dagger C} = \text{Hom}_R(-, C)$ .

*Definition*

Let  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  be a chain in  $\mathfrak{G}_0(R)$  of length  $n$ . For each sequence of integers  $\mathbf{i} = \{i_1, \dots, i_j\}$  such that  $j \geq 0$  and

$1 \leq i_1 < \cdots < i_j \leq n$ , set  $G_{\mathbf{i}} = C_0^{\dagger C_{i_1} \dagger C_{i_2} \cdots \dagger C_{i_j}}$ .

(When  $j = 0$ , set  $G_{\mathbf{i}} = C_{\emptyset} = C_0$ ).

We say that the above chain is *suitable* if  $C_0 = R$  and  $G_{\mathbf{i}}$  is totally  $C_t$ -reflexive, for all  $\mathbf{i}$  and  $t$  with  $i_j \leq t \leq n$ .

- If  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [R]$  is a suitable chain, then  $G_{\mathbf{i}}$  is a semidualizing  $R$ -module for each  $\mathbf{i} \subseteq [n]$ .
- For each sequence of integers  $\{x_1, \dots, x_m\}$  with  $1 \leq x_1 < \cdots < x_m \leq n$ , the sequence  $[C_{x_m}] \triangleleft \cdots \triangleleft [C_{x_1}] \triangleleft [R]$  is a suitable chain in  $\mathfrak{G}_0(R)$ .

Chains in  $\mathfrak{G}_0(R)$ *Theorem (Gerko)*

If  $[C_n] \trianglelefteq \cdots \trianglelefteq [C_1] \trianglelefteq [C_0]$  is a chain in  $\mathfrak{G}_0(R)$ , then, for  $i \in [n]$ ,

$$C_i \cong C_0 \otimes_R \text{Hom}_R(C_0, C_1) \otimes_R \cdots \otimes_R \text{Hom}_R(C_{i-1}, C_i).$$

*Proposition*

Assume that  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  is a suitable chain in  $\mathfrak{G}_0(R)$ .

- (1) For each sequence  $\mathbf{i} \subseteq [n]$ , the  $R$ -module  $B_{\mathbf{i}}$  is a semidualizing.
- (2) If  $\mathbf{i}, \mathbf{s} \subseteq [n]$  are two sequences with  $\mathbf{s} \subseteq \mathbf{i}$ , then  $[B_{\mathbf{i}}] \trianglelefteq [B_{\mathbf{s}}]$  and  $\text{Hom}_R(B_{\mathbf{s}}, B_{\mathbf{i}}) \cong B_{\mathbf{i} \setminus \mathbf{s}}$ .
- (3)  $|\mathfrak{G}_0(R)| \geq |\{[C_{\mathbf{i}}] \mid \mathbf{i} \subseteq [n]\}| = 2^n$ .
- (4)  $\{[B_{\mathbf{u}}] \mid \mathbf{u} \subseteq [n]\} = \{[C_{\mathbf{i}}] \mid \mathbf{i} \subseteq [n]\}$ .



## Suitable chains modulo regular sequences

*Proposition*

Assume that  $\mathbf{x} = x_1, \dots, x_d$  is an  $R$ -regular sequence in  $\mathfrak{m}$  and

$[C_n] \triangleleft \dots \triangleleft [C_1] \triangleleft [C_0]$  is a suitable chain in  $\mathfrak{G}_0(R)$  of length  $n$ .

Then  $[\overline{C}_n] \triangleleft \dots \triangleleft [\overline{C}_1] \triangleleft [\overline{C}_0]$  is also a suitable chain in  $\mathfrak{G}_0(\overline{R})$  of length  $n$ , where  $\overline{R} = R/\mathbf{x}R$  and  $\overline{C}_i = \overline{R} \otimes_R C_i$  for  $i = 0, 1, \dots, n$ .

## Tor-independent modules

For the remaining part of this talk we assume that  $R$  is an Artinian local ring and that all modules are finite.

### Definition (Gerko)

- The modules  $K_1, K_2, \dots, K_n$  are said to be **weakly Tor-independent** if  $\text{amp}(\otimes_{1 \leq i \leq n}^L K_i) = 0$ .
- These modules are said to be **strongly Tor-independent** if for any subset  $\Lambda \subseteq [n]$  we have  $\text{amp}(\otimes_{i \in \Lambda}^L K_i) = 0$ .

### Theorem (Gerko)

If the modules  $K_1, K_2, \dots, K_n$  are non-free and strongly Tor-independent, then  $\mathfrak{m}^n \neq 0$ . If, under the same conditions,  $\mathfrak{m}^{n+1} = 0$ , then the Poincaré series of  $k$  has the form  $1 / \prod_{i=1}^n (1 - d_i t)$  for some positive integers  $d_i$ .

## Tor-independent semidualizings

*Conjecture (Gerko)*

If  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  is a chain in  $\mathfrak{G}_0(R)$  of length  $n$ , then  $\mathfrak{m}^n \neq 0$ . If, under the same conditions,  $\mathfrak{m}^{n+1} = 0$ , then the Poincaré series of  $k$  has the form  $1 / \prod_{i=1}^n (1 - d_i t)$  for some positive integers  $d_i$ .

*Theorem*

Let  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  be a **suitable chain** in  $\mathfrak{G}_0(R)$  of length  $n$ , then  $\mathfrak{m}^n \neq 0$ . If, under the same conditions,  $\mathfrak{m}^{n+1} = 0$ , then the Poincaré series of  $k$  has the form  $1 / \prod_{i=1}^n (1 - d_i t)$  for some positive integers  $d_i$ .

## Poincaré series of residue field

### Remark

When  $(S, \mathfrak{n})$  is a Cohen-Macaulay local ring with dimension  $d$  and  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  is a suitable chain in  $\mathfrak{G}_0(S)$  of length  $n$ , then  $[\overline{C}_n] \triangleleft \cdots \triangleleft [\overline{C}_1] \triangleleft [\overline{C}_0]$  is also a suitable chain in  $\mathfrak{G}_0(\overline{S})$  of length  $n$ , where  $\overline{S} = S/\mathbf{x}S$ ,  $\overline{C}_i = \overline{S} \otimes_S C_i$  and  $\mathbf{x} = x_1, \dots, x_d$  is an  $S$ -regular sequence which is contained in  $\mathfrak{n}$ .

- If  $\overline{\mathfrak{n}}^{n+1} = 0$ , then the Poincaré series of  $S/\mathfrak{n} \cong \overline{S}/\overline{\mathfrak{n}}$  has the form  $1/\prod_{i=1}^n (1 - d_i t)$  for some positive integers  $d_i$ .
- The length of a suitable chain in  $\mathfrak{G}_0(S)$  is less than the number  $l = \min \{ i > 0 \mid \overline{\mathfrak{n}}^i = 0 \}$ .

## $SD(n)$ -full rings

### Definition (Gerko)

An Artinian ring  $R$  is called  $SD(n)$ -full if the following conditions are satisfied.

- (i)  $\mathfrak{m}^{n+1} = 0$ .
- (ii) There are strongly Tor-independent non-free semidualizing modules  $K_1, K_2, \dots, K_n$  such that for any subset  $\Lambda \subseteq [n]$  the module  $\bigotimes_{i \in \Lambda} K_i$  is semidualizing.

### Theorem

An Artinian ring  $R$  is  $SD(n)$ -full if and only if there is a suitable chain in  $\mathfrak{G}_0(R)$  of length  $n$  and  $\mathfrak{m}^{n+1} = 0$ .

## Example of suitable chains

Let  $F$  be a field. Set  $S_i = F \ltimes F^{a_i}$  for all  $1 \leq i \leq n$ , where  $a_i > 1$ . Then  $S_i$  is an Artinian non-Gorenstein ring with dualizing module  $D_i = \text{Hom}_F(S_i, F)$ .

Set  $S = \bigotimes_F^{1 \leq i \leq n} S_i$ .

Set  $C_0 = S$  and  $C_j = (\bigotimes_F^{1 \leq i \leq j} D_i) \otimes_F (\bigotimes_F^{j < i \leq n} S_i)$  for all  $1 \leq j \leq n$ .

Then the sequence  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  is a suitable chain in  $\mathfrak{G}_0(S)$ .

# Bass series of semidualizing modules

## Lemma

Let  $R$  be an Artinian ring with  $\mathfrak{m}^{n+1} = 0$ . Assume that  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  is a suitable chain in  $\mathfrak{O}_0(R)$  of length  $n$ . Then for each  $i \in [n]$  the Poincaré series of  $B_i$  is

$$P_{B_i}^R(t) = \frac{\beta_0^R(B_i) - t}{1 - \beta_0^R(B_i)t}$$

and the Bass series of  $B_{[n] \setminus i}$  is

$$I_R^{B_{[n] \setminus i}}(t) = \frac{\mu_R^0(B_{[n] \setminus i}) - t}{1 - \mu_R^0(B_{[n] \setminus i})t}.$$

## Bass series of semidualizing modules

*Proposition*

Let  $R$  be an Artinian ring with  $\mathfrak{m}^{n+1} = 0$ . Assume that  $[C_n] \triangleleft \cdots \triangleleft [C_1] \triangleleft [C_0]$  is a suitable chain in  $\mathfrak{G}_0(R)$  of length  $n$ . Then for each  $i \in [n]$  the Poincaré series of  $C_i$  is

$$P_{C_i}^R(t) = \frac{\prod_{j=1}^i (\beta_0^R(B_j) - t)}{\prod_{j=1}^i (1 - \beta_0^R(B_j)t)},$$

$I_R^{C_n}(t) = 1$ , and for  $i \neq n$ , the Bass series of  $C_i$  is

$$I_R^{C_i}(t) = \frac{\prod_{j=i+1}^n (\beta_0^R(B_j) - t)}{\prod_{j=i+1}^n (1 - \beta_0^R(B_j)t)} = \frac{\prod_{j=i+1}^n (\mu_R^0(B_{[n] \setminus j}) - t)}{\prod_{j=i+1}^n (1 - \mu_R^0(B_{[n] \setminus j})t)}.$$



Bass series of  $R$ 

- The Bass series of  $R$  is

$$\begin{aligned} I_R(t) = P_{C_1}^R(t) I_R^{C_1}(t) &= I_R^{B_{[n]\setminus 1}}(t) I_R^{B_{[n]\setminus 2}}(t) \cdots I_R^{B_{[n]\setminus n}}(t) \\ &= \frac{\prod_{j=1}^n (\mu_R^0(B_{[n]\setminus j}) - t)}{\prod_{j=1}^n (1 - \mu_R^0(B_{[n]\setminus j}) t)} \end{aligned}$$

- For each  $i \in [n]$ ,

$I_R^{B_{[n]\setminus i}}(t) = \alpha_i + (\alpha_i^2 - 1)t + \alpha_i(\alpha_i^2 - 1)t^2 + \alpha_i^2(\alpha_i^2 - 1)t^3 + \cdots$ ,  
 where  $\alpha_i = \mu_R^0(B_{[n]\setminus i})$ . Thus  $\{\mu_R^j(B_{[n]\setminus i})\}$  is strictly increasing.

$\therefore$  Therefore  $\{\mu_R^j(R)\}$  is also strictly increasing whenever  $n \geq 1$ .

## Questions of Huneke

Let  $R$  be a Cohen-Macaulay local ring.

- (1) If the sequence  $\{\mu_R^i(R)\}$  is bounded above by a polynomial in  $i$ , must  $R$  be Gorenstein?
- (2) If  $R$  is not Gorenstein, must the sequence  $\{\mu_R^i(R)\}$  grow exponentially?

## Bass series of a C-M ring

Assume that  $(S, \mathfrak{n})$  is a Cohen-Macaulay local ring with dimension  $d$  and  $\mathbf{x} = x_1, \dots, x_d$  is an  $S$ -regular sequence which is contained in  $\mathfrak{n}$ .

Set  $\bar{S} = S/\mathbf{x}S$ . We have  $I_S(t) = t^d I_{\bar{S}}(t)$ .

► If  $[C_n] \triangleleft \dots \triangleleft [C_1] \triangleleft [C_0]$  is a suitable chain in  $\mathfrak{O}_0(S)$  of length  $n$  and  $\bar{\mathfrak{n}}^{n+1} = 0$ , then  $I_S(t)$  has a very specific form and  $\{\mu_S^i(S)\}$  is strictly increasing.

Thank You

## References

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### Definition

► For an  $R$ -module  $M$ , the  $i$ th **Bass number** of  $M$  is the integer  $\mu_R^i(M) = \text{rank}_k(\text{Ext}_R^i(k, M))$ , and the *Bass series* of  $M$  is the formal Laurent series  $I_R^M(t) = \sum_{i \in \mathbb{Z}} \mu_R^i(M) t^i$ .

► The  $i$ th **Betti number** of  $M$  is the integer  $\beta_i^R(M) = \text{rank}_k(\text{Ext}_R^i(M, k)) = \text{rank}_k(\text{Tor}_i^R(k, M))$ , and the *Poincaré series* of  $M$  is the formal Laurent series  $P_M^R(t) = \sum_{i \in \mathbb{Z}} \beta_i^R(M) t^i$ .