

Interpolative Fusions

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IPM Mathematical Logic Seminar
Tehran, Iran (via Zoom from Middletown, CT, USA)
July 8, 2020

Introduction

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Theme: How do the model-theoretic properties of the theories of the individual expansions determine the properties of the interpolative fusion?

Outline

Questions:

- 1 What are interpolative fusions?
- 2 What are some examples?
- 3 When do they exist, and how can we axiomatize them?
- 4 How much quantifier elimination do they have?
- 5 What about other model-theoretic properties?
(stability, NIP, simplicity, NSOP_1 , etc.)

This is joint work with Erik Walsberg and Minh Chieu Tran.

- *Interpolative Fusions*, <https://arxiv.org/abs/1811.06108>.
- *Interpolative Fusions II: Preservation Results*, in preparation.

Interpolative structures

Suppose we have:

- A language L_\cap .
- A family of languages $(L_i)_{i \in I}$ with $L_i \cap L_j = L_\cap$ for $i \neq j$.
- $L_\cup = \bigcup_{i \in I} L_i$.
- \mathcal{M}_\cup an L_\cup -structure with reducts \mathcal{M}_i to L_i and \mathcal{M}_\cap to L_\cap .

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We say \mathcal{M}_\cup is an **interpolative structure** if for all families $(X_i)_{i \in J}$ such that $J \subseteq I$ is finite and each X_i is an \mathcal{M}_i -definable set, either:

- 1 $\bigcap_{i \in J} X_i$ is non-empty, or
- 2 There is a family $(Y^i)_{i \in J}$ of \mathcal{M}_\cap -definable sets such that

$$X_i \subseteq Y^i \text{ for all } i \in J, \text{ and } \bigcap_{i \in J} Y^i \text{ is empty.}$$

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In the case $|I| = 2$: Disjoint $\mathcal{M}_1/\mathcal{M}_2$ -definable sets are separated by an \mathcal{M}_\cap -definable set. Analogous to the Craig interpolation theorem.

Interpolative fusions

Now suppose we have:

- An L_\cap -theory T_\cap .
- An L_i -theory T_i for each $i \in I$, such that T_\cap is the set of L_\cap -consequences of T_i .
- $T_\cup = \bigcup_{i \in I} T_i$.

If the class of interpolative models of T_\cup is elementary, we call the theory T_\cup^* of this class the **interpolative fusion** of $(T_i)_{i \in I}$ over T_\cap .

Important note: The interpolative fusion may not exist!

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Proposition

If each T_i is model-complete, then:

- 1 $\mathcal{M}_\cup \models T_\cup$ is interpolative if and only if it is existentially closed among models of T_\cup .
- 2 T_\cup^* is the model companion of T_\cup (if it exists).

For the purposes of this talk, we can assume the T_i are model-complete.

Examples: Winkler's thesis

Theorem (Winkler)

Let T_1 and T_2 be theories in disjoint languages L_1 and L_2 . If T_1 and T_2 are model complete and eliminate \exists^∞ , then $T_1 \cup T_2$ has a model companion.

The model companion is the interpolative fusion of T_1 and T_2 over T_\cap , where T_\cap is the theory of an infinite set in the empty language L_\cap .

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Example: The **ordered random graph** is the Fraïssé limit of the class of finite ordered graphs.

Its theory is the interpolative fusion of

- T_1 : the theory of the random graph (in the language $L_1 = \{R\}$).
- T_2 : the theory of \mathbb{Q} (in the language $L_2 = \{\leq\}$).
- Over T_\cap : the theory of infinite sets (in the empty language).

Examples: Fields with multiple structures

Example:

ACF = the theory of algebraically closed fields.

ACVF = the theory of algebraically closed valued fields.

The interpolative fusion of n copies of ACVF over ACF is the generic theory of fields with n independent valuations.

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ACF = the theory of algebraically closed fields.

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The interpolative fusion of n copies of ACVF over ACF is the generic theory of fields with n independent valuations.

More generally, you can put together copies of your favorite structures on fields (valuations, derivations, automorphisms, etc.) over ACF – when the interpolative fusion exists.

Examples: Fields with multiple structures

Generic theories of fields with several independent valuations were first studied by Lou van den Dries in his thesis. Here is a quote from that thesis:

“P. Winkler treats in [Wi] some general constructions on model complete theories giving, under certain conditions, new model complete theories. For instance, he proves that the disjoint union of two theories each having an algebraically bounded model companion has a model companion. Now in our case not a disjoint union of theories is considered, but what might call, an amalgamated union, with the theory of domains as common part. It seems to me that something like algebraic boundedness is really behind the proof of (1.6). All this suggests a common generalization of Winkler’s and my results.”

(1.6) is the existence of the model companion for theories of fields with several orderings and valuations.

Examples: Minh's theory

Let $\chi: \overline{\mathbb{F}}_p^\times \rightarrow \mathbb{C}^\times$ be an injective multiplicative character.

The image of χ is contained in the unit circle in \mathbb{C} , so it induces a circular order C_χ on $\overline{\mathbb{F}}_p^\times$.

Theorem (Tran)

$\text{Th}(\overline{\mathbb{F}}_p, 0, 1, +, -, \times, C_\chi)$ is (a completion of) the interpolative fusion of $\text{Th}(\overline{\mathbb{F}}_p, 0, 1, +, -, \times)$ and $\text{Th}(\overline{\mathbb{F}}_p, 0, 1, \times, C_\chi)$ over $\text{Th}(\overline{\mathbb{F}}_p, 0, 1, \times)$.

The proof uses the Lang-Weil estimates (and other black boxes).

$+$ and C_χ interact “randomly” / “generically” over \times .

A case study: ACFA

Consider the following example:

- 1 T_{\cap} is the two-sorted theory of two algebraically closed fields K and K' of the same characteristic (with no connection between them).
- 2 T_1 is the expansion of T_{\cap} by an isomorphism $\sigma_1: K \rightarrow K'$.
 - ▶ T_1 is bi-interpretable with ACF.
- 3 T_2 is the expansion of T_{\cap} by an isomorphism $\sigma_2: K \rightarrow K'$.
 - ▶ T_2 is bi-interpretable with ACF.
- 4 T_{\cup} is the theory of two algebraically closed fields K and K' with two isomorphisms $\sigma_1, \sigma_2: K \rightarrow K'$.

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T_\cup is bi-interpretable with the theory ACF_σ of an algebraically closed field equipped with an automorphism σ .

- If $(K; \sigma) \models \text{ACF}_\sigma$, then $(K, K; \sigma, \text{id}_K) \models T_\cup$.
- If $(K, K'; \sigma_1, \sigma_2) \models T_\cup$, then $(K; (\sigma_2)^{-1} \circ \sigma_1) \models \text{ACF}_\sigma$.

A case study: ACFA

This interpretation involves only quantifier-free formulas, so it restricts to a bi-interpretation between the interpolative fusion T_{\cup}^* and the model companion of ACF_{σ} .

This model companion is called ACFA: the theory of a Algebraically Closed Fields equipped with a (generic) Automorphism.

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More generally:

For arbitrary T , the theory T_A of a model of T with a generic automorphism is bi-interpretable with an interpolative fusion of two theories, each bi-interpretable with T (when it exists).

More examples via bi-interpretations

- DCF_0 (the theory of differentially closed fields of characteristic 0) is bi-interpretable with an interpolative fusion of two theories, each bi-interpretable with ACF_0 .
 - ▶ More generally: Any theory $\mathcal{D}\text{-CF}_0$ of generic \mathcal{D} -fields in the sense of Moosa and Scanlon is bi-interpretable with an interpolative fusion of two theories, each bi-interpretable with ACF_0 .

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- The theory of the random graph is bi-interpretable with an interpolative fusion of two theories, each of which is interpretable in the pure set.
 - ▶ More generally: Theories of generic L -structures (in an arbitrary language L , with no axioms), generic hypergraphs, generic tournaments, generic simplicial complexes, etc. are all bi-interpretable with an interpolative fusion of two theories, each interpretable in the pure set.

The main questions

So there are many interesting examples of interpolative fusions!

We seek to generalize results about these individual examples, placing them in the abstract framework of interpolative fusions.

Axiomatization results:

When does T_{\cup}^* exist? i.e., when is the class of interpolative models of T_{\cup} elementary?

Preservation results:

How can we understand properties of T_{\cup}^* in terms of properties of the T_i and T_{\cap} ?

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The pseudo-topological setting

Recall that \mathcal{M}_U is an **interpolative structure** if for all families $(X_i)_{i \in J}$ such that $J \subseteq I$ is finite and each X_i is an \mathcal{M}_i -definable set, either:

- 1 $\bigcap_{i \in J} X_i$ is non-empty, or
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Idea: If all the X_i are “dense” in the same \mathcal{M}_\cap -definable set, they can't be separated by \mathcal{M}_\cap -definable sets.

The pseudo-topological setting

Let $\mathcal{M} \models T$, and let \dim assign an ordinal or the formal symbol $-\infty$ to each \mathcal{M} -definable set, such that for all \mathcal{M} -definable X, X' :

- 1 $\dim(X \cup X') = \max\{\dim X, \dim X'\}$,
- 2 $\dim X = -\infty$ if and only if $X = \emptyset$,
- 3 $\dim X = 0$ if and only if X is nonempty and finite,

We call such \dim an **ordinal rank** on T .

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Let X be a definable set and A be an arbitrary set.

- A is **pseudo-dense** in X if A intersects every non-empty definable $X' \subseteq X$ such that $\dim X' = \dim X$.
- X is a **pseudo-closure** of A if $A \subseteq X$ and A is pseudo-dense in X . (Note the pseudo-closure is not unique, in general.)

The pseudo-topological setting

Let \mathcal{M}' be an expansion of \mathcal{M} . Then \mathcal{M}' is **approximable** if every \mathcal{M}' -definable set admits an \mathcal{M} -definable pseudo-closure.

We also say $T' = \text{Th}(\mathcal{M}')$ is **approximable** over T .

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T' **defines pseudo-density** over T if for all L -formulas $\varphi(x, y)$ and L' -formulas $\psi(x, z)$ there is an L' -formula $\delta'(y, z)$ such that $\psi(\mathcal{M}', c)$ is pseudo-dense in $\varphi(\mathcal{M}', b)$ if and only if $\mathcal{M}' \models \delta(b, c)$.

Theorem

If T_\cap admits an ordinal rank, and each T_i is approximable over T_\cap and defines pseudo-density over T_\cap , then T_\cup^ exists.*

From the proof of the theorem, we obtain an axiomatization of T_\cup^* .

For each finite family $(X_i)_{i \in J}$, where each X_i is an \mathcal{M}_i -definable set, and each \mathcal{M}_\cap -definable set Z , we add to T_\cup the axiom:

If each X_i is pseudo-dense in Z , then $\bigcap_{i \in J} X_i$ is non-empty.

Consequences: ω -stable base

The content of the previous theorem can be elaborated in different ways in various contexts. Here are two sample applications.

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When T is ω -stable, the Morley rank is an ordinal rank on T .

Theorem

If T is ω -stable, then any expansion of T is approximable over T .

So if each T_i defines pseudo-density, then T_{\cup}^* exists.

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Theorem

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So if each T_i defines pseudo-density, then T_{\cup}^* exists.

If T_{\cap} is additionally ω -categorical, then elimination of \exists^{∞} is sufficient.

Theorem

Suppose that T_{\cap} is ω -stable and ω -categorical with weak elimination of imaginaries. If each T_i eliminates \exists^{∞} , then T_{\cup}^ exists.*

Consequences: Tame topological base

When T is o-minimal, the o-minimal dimension is an ordinal rank on T (in fact it is ω -valued).

Theorem

If T is o-minimal, then any expansion of T defines pseudo-density over T .

So if each T_i is approximable over T_\cap , then T_\cup^* exists.

The same holds in several other “tame topological” settings, e.g. when T_\cap is C -minimal or P -minimal.

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The same holds in several other “tame topological” settings, e.g. when T_\cap is C -minimal or P -minimal.

Theorem

Suppose T_\cap is o -minimal. If T_\cap is an open core of each T_i (the topological closure of every \mathcal{M}_i -definable set is \mathcal{M}_\cap -definable), then T_\cup^ exists.*

Time for a break

Let's take a 10 minute break.
I'm happy to answer any questions you have during the break.

The main questions

Axiomatization results:

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Preservation results:

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How can we understand properties of T_{\cup}^* in terms of properties of the T_i and T_{\cap} ?

From now on, we assume T_{\cup}^* exists.

Model completeness

Recall that a theory T is **model-complete** if for all models $\mathcal{M}, \mathcal{N} \models T$, every embedding $f: \mathcal{M} \rightarrow \mathcal{N} \models T$ is partial elementary.

Fact

A theory T is model-complete if and only if every formula is equivalent to an existential formula.

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Our earlier proposition can be viewed as a (trivial) preservation result:

Proposition

If each T_i is model-complete, then T_{\cup}^ is the model companion of T_{\cup} . In particular, T_{\cup}^* is model-complete.*

Substructure completeness = quantifier elimination

A theory T is **substructure-complete** if for all $\mathcal{M} \models T$, and for every substructure $A = \langle A \rangle \subseteq \mathcal{M}$, every embedding $f: A \rightarrow \mathcal{N} \models T$ is partial elementary.

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Fact

A theory T is substructure-complete if and only if it has quantifier elimination (QE).

Too much to hope for!

T_{\cup}^* typically does not have QE, even if each T_i does.

Example: Failure of QE

Example

- $T_\cap =$ the theory of an infinite set in the empty language.
- $T_1 =$ ACF in the language of rings.
- $T_2 =$ the theory in the language $\{P\}$ which says P is an infinite and cofinite unary predicate.
- Then T_U^* is the theory of an algebraically closed field equipped with a generic predicate.

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is not equivalent to a quantifier-free formula.

Note, however, that this example only involves a quantifier over $\text{acl}(x)$.

acl-completeness

Recall that b is **algebraic** over A if there is some formula $\varphi(y, x)$ and some tuple a from A such that there are only finitely many solutions to $\varphi(y, a)$ in \mathcal{M} , and b is among them.

$\text{acl}(A)$ is the set of all $b \in \mathcal{M}$ which are algebraic over A .

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A theory T is **acl-complete** if for all $\mathcal{M} \models T$, and $A = \text{acl}(A) \subseteq \mathcal{M}$, every embedding $f: A \rightarrow \mathcal{N} \models T$ is partial elementary.

Equivalently, if $A = \text{acl}(A)$, then

$$T \cup \text{qftp}(A) \models \text{tp}(A).$$

acl-completeness

The **combined closure**, $\text{ccl}(A)$, of a subset A of $\mathcal{M}_U \models T_U^*$ is the smallest set containing A which is acl_i -closed for each $i \in I$:

$$b \in \text{ccl}(A) \iff b \in \text{acl}_{i_n}(\dots(\text{acl}_{i_1}(A))\dots) \text{ for some } i_1, \dots, i_n \in I.$$

(Here acl_i is acl in the reduct to L_i .)

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Theorem

Suppose T_\cap is stable with weak elimination of imaginaries (w.e.i), and each T_i is acl-complete. Then $\text{acl}_U = \text{ccl}$ and T_U^ is acl-complete.*

So if $A = \text{ccl}(A)$, then

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(Here acl_i is acl in the reduct to L_i .)

Theorem

Suppose T_\cap is stable with weak elimination of imaginaries (w.e.i), and each T_i is acl-complete. Then $\text{acl}_U = \text{ccl}$ and T_U^ is acl-complete.*

So if $A = \text{ccl}(A)$, then

$$T_U^* \cup \bigcup_{i \in I} \text{qftp}_{L_i}(A) \models \text{tp}_{L_U}(A).$$

Crucial: Understand L_U -types in terms of L_i -types.

Quantifier elimination, stability, NIP

From now on: T_\cap is stable with w.e.i. and T_i has QE for all i .

Theorem (QE)

If $\text{acl}_i(A) = \langle A \rangle_i$ for all sets A and all $i \in I$, then T_\cup^ has QE.*

Theorem (Better QE)

If $\text{acl}_i(A) = \text{dcl}_\cap(A)$ for all sets A and all $i \in I$, then every L_\cup -formula is T_\cup^ -equivalent to a Boolean combination of quantifier-free L_i -formulas.*

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Corollary

If $\text{acl}_i(A) = \text{dcl}_\cap(A)$ for all sets A and T_i is stable/NIP for all $i \in I$, then T_\cup^ is stable/NIP.*

Proof: Preservation of stability/NIP under Boolean combinations.

Slightly weaker (but more technical) hypotheses suffice. But we can't hope to get QE or stability/NIP except under tight control on acl .

Example: QE and TP_2

Example:

- T_\cap = the theory of an infinite set in the empty language.
- T_1 = the theory of \mathbb{Q} -vector spaces in the language $\{0, +, -, (c)_{c \in \mathbb{Q}}\}$, where c is a unary function symbol for scalar multiplication by c .
- T_2 is the theory of an equivalence relation with infinitely many infinite classes in the language $\{E\}$.
- Then T_\cup^* is the theory of a \mathbb{Q} -vector space equipped with a generic equivalence relation.

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T_\cup^* has QE by our first test: $\text{acl}_i(A) = \langle A \rangle_i$ for $i = 1$ and 2 .

But it does not have “better QE”: $\text{acl}_1 \neq \text{dcl}_\cap$, and the formula

$$(x + y)Ez$$

is not equivalent to a Boolean combination of quantifier-free L_i -formulas.

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T_1 and T_2 are stable (even ω -stable), but $\varphi(x; y, z)$:

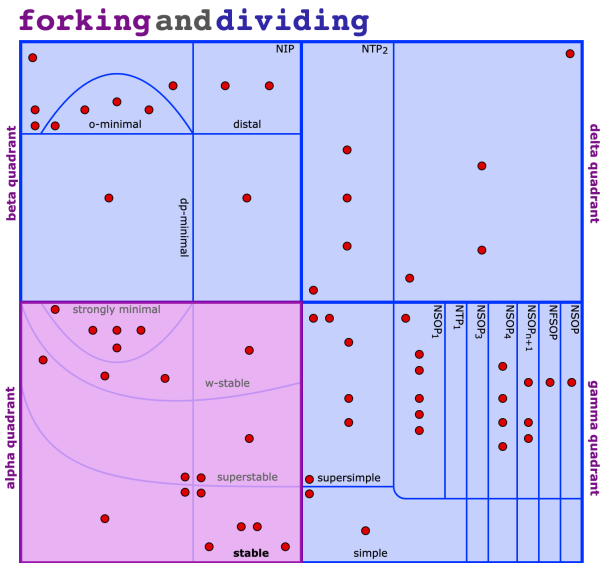
$$(x + y)Ez$$

has TP_2 in T_\cup^* , so T_\cup^* is not even a simple theory.

Proof: Let $(v_n)_{n \in \omega}$ be distinct, let $(e_i)_{i \in \omega}$ be representatives of distinct equivalence classes, and consider the array $(v_n, e_i)_{n, i \in \omega}$.

- $\{(x + v_n)Ee_{\sigma(n)} \mid n < \omega\}$ is consistent for all $\sigma: \omega \rightarrow \omega$.
- $\{(x + v_n)Ee_i, (x + v_n)Ee_j\}$ is inconsistent for all $i \neq j$.

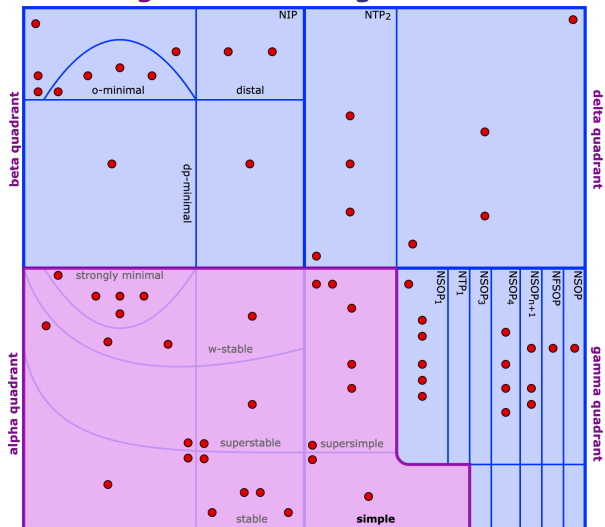
Fusions may not be simple. What about NSOP_1 ?



Map by Gabe Conant, forkinganddividing.com.

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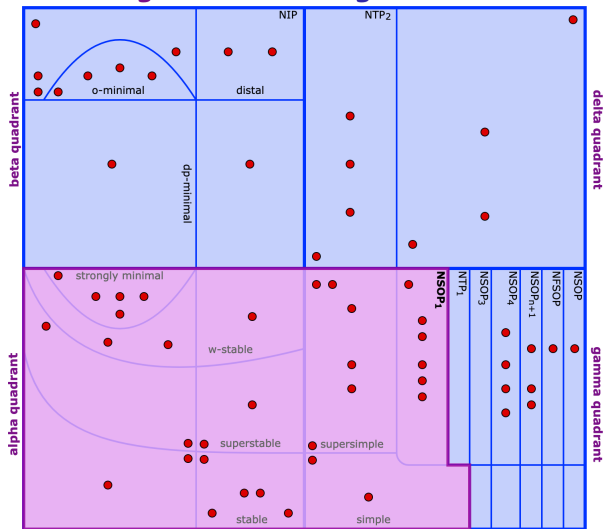
forking and dividing



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Fusions may not be simple. What about $NSOP_1$?

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What is NSOP₁?

Theorem (Chernikov–Ramsey, Kaplan–Ramsey)

T is NSOP₁ if and only if there is a relation \perp_M^K (Kim independence) defined on subsets of the monster model \mathbb{M} for all $M \prec \mathbb{M}$ such that:

- 1 Invariance: If $A \perp_M^K B$ and $A'B'M' \equiv ABM$, then $A' \perp_M^K B'$.
- 2 Symmetry: If $A \perp_M^K B$, then $B \perp_M^K A$.
- 3 Monotonicity: If $A' \subseteq A$, $B' \subseteq B$, and $A \perp_M^K B$, then $A' \perp_M^K B'$.
- 4 Existence: $A \perp_M^K M$.
- 5 Strong finite character: if $A \not\perp_M^K B$, then there is a formula $\varphi(x; b) \in \text{tp}(A/MB)$ such that for any $a' \models \varphi(x; b)$, $a' \not\perp_M^K b$.
- 6 **The independence theorem:** If $a \perp_M^K B$, $a' \perp_M^K C$, $B \perp_M^K C$, and $a \equiv_M a'$, then there exists a'' such that $a'' \equiv_{MB} a$, $a'' \equiv_{MC} a$, and $a'' \perp_M^K BC$.

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Fact: If T is simple, then $\downarrow^K = \downarrow^f$.

Deficiencies of \perp^K

- Currently, we only know how to define $A \perp_M^K B$ over a model M .
 - ▶ But in every known NSOP_1 theory, \perp^K has a natural extension to an independence relation defined over arbitrary sets.
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- \perp^K does not satisfy base monotonicity in general.

Fact: An NSOP₁ is simple if and only if \perp^K satisfies base monotonicity.

If $M \subseteq N \subseteq B$ and $A \perp_M^K B$, then $A \perp_N^K B$.

Fusions of stable theories are NSOP_1

Theorem

If each T_i is stable, then T_{\bigcup}^* is NSOP_1 . Further:

$$A \underset{M}{\downarrow}^K B \text{ in } T_{\bigcup}^* \iff \text{acl}_{\bigcup}(MA) \underset{M}{\downarrow}^f \text{acl}_{\bigcup}(MB) \text{ in } T_i \text{ for all } i \in I.$$

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We also get preservation of NSOP₁ over stable bases:

If T_{\cap} is stable and each T_i is NSOP₁ and satisfies a technical strengthening of the independence theorem, then T_{\cup}^* is NSOP₁.

Why is ACFA simple?

Slogan: NSOP_1 is an upper bound for the complexity of generic constructions in the unordered realm.

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Theorem (Chatzidakis-Hrushovski)

ACFA is simple.

Theorem (Chatzidakis-Pillay)

Suppose T is stable and T_A exists. Then T_A is simple.

Relatively disintegrated acl in ACFA

Recall:

- T_{\cap} is the two-sorted theory of two algebraically closed fields K and K' of the same characteristic (with no connection between them).
- T_i is the expansion of T_{\cap} by an isomorphism $\sigma_i: K \rightarrow K'$.

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So T_i has **relatively disintegrated** acl over its reduct T_{\cap} :

$$\text{acl}_i(A) = \text{acl}_{\cap} \left(\bigcup_{a \in A} \text{acl}_i(a) \right)$$

Preservation of simplicity

T_i has **relatively disintegrated** acl if

$$\text{acl}_i(A) = \text{acl}_\cap \left(\bigcup_{a \in A} \text{acl}_i(a) \right)$$

(No (≥ 2) -ary algebraic dependencies that weren't already present in T_\cap .)

Theorem

If T_\cap is stable and each T_i is simple with relatively disintegrated acl , then T_\cup^ is simple.*

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If T_\cap is stable and each T_i is simple with relatively disintegrated acl , then T_\cup^ is simple.*

Idea of proof: We know T_\cup^* is NSOP_1 and

$$A \underset{M}{\downarrow}^K B \text{ in } T_\cup^* \iff \text{acl}_\cup(MA) \underset{M}{\downarrow}^f \text{acl}_\cup(MB) \text{ in } T_i \text{ for all } i \in I.$$

Using relatively disintegrated acl , we show that \downarrow^K satisfies base monotonicity in T_\cup^* .

Simplicity and generic (≥ 2)-ary dependences

The result on preservation of simplicity resonates with (and generalizes) the following theorem:

Theorem (K.-Ramsey, Jeřábek)

For any language L , the empty L -theory has a model companion T_L^ .*

- *T_L^* is always $NSOP_1$.*
- *If L has no function symbols of arity ≥ 2 , then T_L^* is simple.*

The Henson graph in a fusion of simple theories

Example:

- T_\cap = the theory of a generic 3-uniform hypergraph in the language $\{R\}$ (the Fraïssé limit of the class of finite 3-uniform hypergraphs).
- T_1 = the generic expansion of T_\cap by a graph relation E_1 such that:

$$\forall x, y, z, (E_1(x, y) \wedge E_1(x, z) \wedge E_1(y, z) \rightarrow R(x, y, z)).$$

- T_2 = the generic expansion of T_\cap by a graph relation E_2 such that:

$$\forall x, y, z, (E_2(x, y) \wedge E_2(x, z) \wedge E_2(y, z) \rightarrow \neg R(x, y, z)).$$

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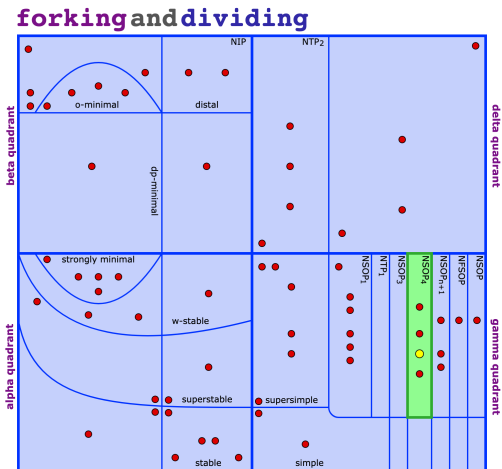
T_\cup^* exists and is \aleph_0 -categorical. Let $(M; R, E_1, E_2)$ be the countable model. Define a graph relation E on M by:

$$E(x, y) \iff E_1(x, y) \wedge E_2(x, y).$$

Then $(M; E)$ is isomorphic to the Henson graph (the Fraïssé limit of the class of finite \triangle -free graphs).

The Henson graph in a fusion of simple theories

In this example, T_\cap , T_1 , and T_2 are all simple theories, but T_\cup^* has SOP_3 , since it interprets the theory of the Henson graph.



Moral: Without stability of T_\cap , interpolative fusions may not be NSOP_1 .

Thank you!

For more information, see our papers:

- *Interpolative Fusions*, <https://arxiv.org/abs/1811.06108>.
- *Interpolative Fusions II: Preservation Results*, on arXiv soon!

I'll be happy to take questions.